


CONSTRUCTION CONTRACT DOCUMENTS
FOR THE
STREET REPAIR PROJECT
SELBY, SOUTH DAKOTA
May 2026
HELMS # A-10413

I hereby certify that this plan, specification, or report was prepared by me or under my direct supervision and that I am a duly Registered Professional Engineer under the laws of the State of South Dakota.

By: 
Brandon D. Smid, P.E.



Registration Number: 11937

Date: May 5, 2026



416 Production St. N.
Aberdeen, South Dakota 57401

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STREET REPAIR PROJECT
SELBY, SOUTH DAKOTA
HELMS #A-10413**

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**PART I – BIDDING AND CONTRACT
DOCUMENTS**

SECTION 00 11 13 - ADVERTISEMENT FOR BIDS

STREET REPAIR PROJECT

Selby, South Dakota

Sealed Bids for the construction of the Street Repair Project will be received by **the City of Selby, the Selby Finance Office** with the address of 3413 Main St., Selby, SD 57472 until **1:00 pm** local time on **May 27, 2026**, at which time the Bids received will be **publicly** opened and read.

The project consists of reconstructing 2nd Street, 5th Avenue and asphalt on Main Street in Selby, South Dakota.

Bids will be received for a single prime Contract. Bids shall be on a lump sum and unit price basis, as indicated in the Bid Form.

The Issuing Office for the Bidding Documents is: **Helms and Associates, 416 Production St. N, Aberdeen, South Dakota 57401 (605) 225-1212 operations@helmsengineering.com.**

Prospective Bidders may examine the Bidding Documents at the Issuing Office on Mondays through Fridays between the hours of **8:00 am to 5:00 pm** and may obtain copies of the Bidding Documents from the Issuing Office as described below.

Bidding Documents also may be examined at the Helms and Associates Office, on Mondays through Fridays between the hours of 9:00 am to 4:00 pm.

Bidding Documents may be viewed and ordered online upon a one-time payment of \$20.00 by registering with the Issuing Office at **<http://www.helmsengineering.com/biddocs.html>**.

Following registration, complete sets of Bidding Documents may be downloaded from the Issuing Office's website as portable document format (PDF) files. The 11"x17" paper drawings and Bidding Documents may be obtained from the Issuing Office upon payment to Helms and Associates in the amount of \$100.00 for shipping and handling. Cost of Bidding Documents and shipping is non-refundable. **Additionally, upon request, in accordance with South Dakota Codified Law 5-18B-1, one copy of Plans and Specifications shall be furnished, without charge, to each Contractor resident in South Dakota who intends, in good faith, to bid upon the project.** The date that the Bidding Documents are transmitted by the Issuing Office will be considered the Bidder's date of receipt of the Bidding Documents. Partial sets of the Bidding Documents will not be available from the Issuing Office.

Bid security shall be furnished in accordance with the Instructions to Bidders.

Owner: City of Selby

++ END OF ADVERTISEMENT FOR BIDS ++

SECTION 00 21 13 - INSTRUCTIONS TO BIDDERS

Prepared by



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INSTRUCTIONS TO BIDDERS

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ARTICLE 1 - DEFINED TERMS

- 1.01 Terms used in these Instructions to Bidders have the meanings indicated in the General Conditions and Supplementary Conditions. Additional terms used in these Instructions to Bidders have the meanings indicated below:
- A. Issuing Office – The office from which the Bidding Documents are to be issued.

ARTICLE 2 - COPIES OF BIDDING DOCUMENTS

- 2.01 Complete sets of the Bidding Documents may be obtained from the Issuing Office in the number and format stated in the advertisement or invitation to bid.
- 2.02 Complete sets of Bidding Documents shall be used in preparing Bids; neither Owner nor Engineer assumes any responsibility for errors or misinterpretations resulting from the use of incomplete sets of Bidding Documents.
- 2.03 Owner and Engineer, in making copies of Bidding Documents available on the above terms, do so only for the purpose of obtaining Bids for the Work and do not authorize or confer a license for any other use.

ARTICLE 3 - QUALIFICATIONS OF BIDDERS

- 3.01 To demonstrate Bidder's qualifications to perform the Work, after submitting its Bid and within ten (10) days of Owner's request, Bidder shall submit (a) written evidence establishing its qualifications such as financial data, previous experience, and present commitments, and (b) the following additional information:
- A. Evidence of Bidder's authority to do business in the state where the Project is located.]
- B. Bidder's state or other contractor license number, if applicable.]
- C. Subcontractor and Supplier qualification information; coordinate with provisions of Article 12 of these Instructions, "Subcontractors, Suppliers, and Others."]
- D. Other required information regarding qualifications
- 3.02 A Bidder's failure to submit required qualification information within the times indicated may disqualify Bidder from receiving an award of the Contract.
- 3.03 No requirement in this Article 3 to submit information will prejudice the right of Owner to seek additional pertinent information regarding Bidder's qualifications.
- 3.04 Bidder is advised to carefully review those portions of the Bid Form requiring Bidder's representations and certifications.

ARTICLE 4 - SITE AND OTHER AREAS; EXISTING SITE CONDITIONS; EXAMINATION OF SITE; OWNER'S SAFETY PROGRAM; OTHER WORK AT THE SITE

- 4.01 Site and Other Areas
- A. The Site is identified in the Bidding Documents. By definition, the Site includes rights-of-way, easements, and other lands furnished by Owner for the use of the Contractor.

Any additional lands required for temporary construction facilities, construction equipment, or storage of materials and equipment, and any access needed for such additional lands, are to be obtained and paid for by Contractor.

4.02 Existing Site Conditions

A. Subsurface and Physical Conditions; Hazardous Environmental Conditions

1. The Supplementary Conditions identify:

- a. those reports known to Owner of explorations and tests of subsurface conditions at or adjacent to the Site.
 - b. those drawings known to Owner of physical conditions relating to existing surface or subsurface structures at the Site (except Underground Facilities).
 - c. reports and drawings known to Owner relating to Hazardous Environmental Conditions that have been identified at or adjacent to the Site.
 - d. Technical Data contained in such reports and drawings.
2. Owner will make copies of reports and drawings referenced above available to any Bidder on request. These reports and drawings are not part of the Contract Documents, but the Technical Data contained therein upon whose accuracy Bidder is entitled to rely, as provided in the General Conditions, has been identified and established in the Supplementary Conditions. Bidder is responsible for any interpretation or conclusion Bidder draws from any Technical Data or any other data, interpretations, opinions, or information contained in such reports or shown or indicated in such drawings.
3. If the Supplementary Conditions do not identify Technical Data, the default definition of Technical Data set forth in Article 1 of the General Conditions will apply.
4. Geotechnical Baseline Report: None

B. Underground Facilities: Information and data shown or indicated in the Bidding Documents with respect to existing Underground Facilities at or contiguous to the Site are set forth in the Contract Documents and are based upon information and data furnished to Owner and Engineer by owners of such Underground Facilities, including Owner, or others.

C. Adequacy of Data: Provisions concerning responsibilities for the adequacy of data furnished to prospective Bidders with respect to subsurface conditions, other physical conditions, and Underground Facilities, and possible changes in the Bidding Documents due to differing or unanticipated subsurface or physical conditions appear in Paragraphs 5.03, 5.04, and 5.05 of the General Conditions. Provisions concerning responsibilities for the adequacy of data furnished to prospective Bidders with respect to a Hazardous Environmental Condition at the Site, if any, and possible changes in the Contract Documents due to any Hazardous Environmental Condition uncovered or revealed at the Site which was not shown or indicated in the Drawings or Specifications or identified in the Contract Documents to be within the scope of the Work, appear in Paragraph 5.06 of the General Conditions.

4.03 Site Visit and Testing by Bidders

- A. Bidder shall conduct the required Site visit during normal working hours, and shall not disturb any ongoing operations at the Site.
- B. Bidder is not required to conduct any subsurface testing, or exhaustive investigations of Site conditions.
- C. On request, and to the extent Owner has control over the Site, and schedule permitting, the Owner will provide Bidder access to the Site to conduct such additional examinations, investigations, explorations, tests, and studies as Bidder deems necessary for preparing and submitting a successful Bid. Owner will not have any obligation to grant such access if doing so is not practical because of existing operations, security or safety concerns, or restraints on Owner's authority regarding the Site.
- D. Bidder shall comply with all applicable Laws and Regulations regarding excavation and location of utilities, obtain all permits, and comply with all terms and conditions established by Owner or by property owners or other entities controlling the Site with respect to schedule, access, existing operations, security, liability insurance, and applicable safety programs.
- E. Bidder shall fill all holes and clean up and restore the Site to its former condition upon completion of such explorations, investigations, tests, and studies.

4.04 Owner's Safety Program

- A. Site visits and work at the Site may be governed by an Owner safety program. As the General Conditions indicate, if an Owner safety program exists, it will be noted in the Supplementary Conditions.

4.05 Other Work at the Site

- A. Reference is made to Article 8 of the Supplementary Conditions for the identification of the general nature of other work of which Owner is aware (if any) that is to be performed at the Site by Owner or others (such as utilities and other prime contractors) and relates to the Work contemplated by these Bidding Documents. If Owner is party to a written contract for such other work, then on request, Owner will provide to each Bidder access to examine such contracts (other than portions thereof related to price and other confidential matters), if any.

ARTICLE 5 - BIDDER'S REPRESENTATIONS

5.01 It is the responsibility of each Bidder before submitting a Bid to:

- A. examine and carefully study the Bidding Documents, and any data and reference items identified in the Bidding Documents;
- B. visit the Site, conduct a thorough, alert visual examination of the Site and adjacent areas, and become familiar with and satisfy itself as to the general, local, and Site conditions that may affect cost, progress, and performance of the Work;
- C. become familiar with and satisfy itself as to all Laws and Regulations that may affect cost, progress, and performance of the Work;
- D. consider the information known to Bidder itself; information commonly known to contractors doing business in the locality of the Site; information and observations

obtained from visits to the Site; the Bidding Documents; and the Site-related reports and drawings identified in the Bidding Documents, with respect to the effect of such information, observations, and documents on (1) the cost, progress, and performance of the Work; (2) the means, methods, techniques, sequences, and procedures of construction to be employed by Bidder; and (3) Bidder's safety precautions and programs;

- E. agree, based on the information and observations referred to in the preceding paragraph, that at the time of submitting its Bid no further examinations, investigations, explorations, tests, studies, or data are necessary for the determination of its Bid for performance of the Work at the price bid and within the times required, and in accordance with the other terms and conditions of the Bidding Documents;
- F. become aware of the general nature of the work to be performed by Owner and others at the Site that relates to the Work as indicated in the Bidding Documents;
- G. promptly give Engineer written notice of all conflicts, errors, ambiguities, or discrepancies that Bidder discovers in the Bidding Documents and confirm that the written resolution thereof by Engineer is acceptable to Bidder;
- H. determine that the Bidding Documents are generally sufficient to indicate and convey understanding of all terms and conditions for the performance and furnishing of the Work; and
- I. agree that the submission of a Bid will constitute an incontrovertible representation by Bidder that Bidder has complied with every requirement of this Article, that without exception the Bid and all prices in the Bid are premised upon performing and furnishing the Work required by the Bidding Documents.

ARTICLE 6 - INTERPRETATIONS AND ADDENDA

- 6.01 All questions about the meaning or intent of the Bidding Documents are to be submitted to Engineer in writing. Interpretations or clarifications considered necessary by Engineer in response to such questions will be issued by Addenda delivered to all parties recorded as having received the Bidding Documents. Questions received less than seven days prior to the date for opening of Bids may not be answered. Only questions answered by Addenda will be binding. Oral and other interpretations or clarifications will be without legal effect.
- 6.02 Addenda may be issued to clarify, correct, supplement, or change the Bidding Documents.

ARTICLE 7 - BID SECURITY

- 7.01 A Bid must be accompanied by Bid security made payable to Owner in an amount of **five (5) percent** of Bidder's maximum Bid price (determined by adding the base bid and all alternates) and in the form of a certified check, bank money order, or a **ten (10) percent** Bid bond (on the form included in the Bidding Documents) issued by a surety meeting the requirements of Paragraphs 6.01 and 6.02 of the General Conditions.
- 7.02 The Bid security of the apparent Successful Bidder will be retained until Owner awards the contract to such Bidder, and such Bidder has executed the Contract Documents,

furnished the required contract security, and met the other conditions of the Notice of Award, whereupon the Bid security will be released. If the Successful Bidder fails to execute and deliver the Contract Documents and furnish the required contract security within 15 days after the Notice of Award, Owner may consider Bidder to be in default, annul the Notice of Award, and the Bid security of that Bidder will be forfeited. Such forfeiture shall be Owner's exclusive remedy if Bidder defaults.

- 7.03 The Bid security of other Bidders that Owner believes to have a reasonable chance of receiving the award may be retained by Owner until the earlier of seven days after the Effective Date of the Contract or **30** days after the Bid opening, whereupon Bid security furnished by such Bidders will be released.
- 7.04 Bid security of other Bidders that Owner believes do not have a reasonable chance of receiving the award will be released within seven days after the Bid opening.

ARTICLE 8 - CONTRACT TIMES

- 8.01 The number of days within which, or the dates by which, the Work is to be substantially completed and ready for final payment are set forth in the Agreement.

ARTICLE 9 - LIQUIDATED DAMAGES

- 9.01 Provisions for liquidated damages, if any, for failure to timely attain a Milestone, Substantial Completion, or completion of the Work in readiness for final payment, **will be assessed in accordance with the following table.**

SCHEDULE OF LIQUIDATED DAMAGES

| Original Contract Amount | | Amount of Liquidated Damages Per Calendar Day |
|--------------------------|------------------|-----------------------------------------------|
| From More Than | To and Including | |
| \$0 | \$50,000 | \$250.00 |
| \$50,000 | \$100,000 | \$325.00 |
| \$100,000 | \$500,000 | \$500.00 |

- 9.02 **In addition to liquidated damages set forth above, CONTRACTOR shall be liable for all additional costs for ENGINEER'S services beyond substantial and final completion dates. OWNER will deduct these costs from any monies due or that may become due CONTRACTOR or Surety and pay ENGINEER for said services.**

ARTICLE 10 - SUBSTITUTE AND "OR-EQUAL" ITEMS

- 10.01 The Contract for the Work, if awarded, will be on the basis of materials and equipment specified or described in the Bidding Documents, and those "or-equal" or substitute materials and equipment subsequently approved by Engineer prior to the submittal of Bids and identified by Addendum. No item of material or equipment will be considered by Engineer as an "or-equal" or substitute unless written request for approval has been submitted by Bidder and has been received by Engineer at least 15 days prior to the date for receipt of Bids in the case of a proposed substitute and 5 days prior in the case of a proposed "or-equal." Each

such request shall comply with the requirements of Paragraphs 7.04 and 7.05 of the General Conditions. The burden of proof of the merit of the proposed item is upon Bidder. Engineer's decision of approval or disapproval of a proposed item will be final. If Engineer approves any such proposed item, such approval will be set forth in an Addendum issued to all prospective Bidders. Bidders shall not rely upon approvals made in any other manner. Substitutes and "or-equal" materials and equipment may be proposed by Contractor in accordance with Paragraphs 7.04 and 7.05 of the General Conditions after the Effective Date of the Contract.

- 10.01 All prices that Bidder sets forth in its Bid shall be based on the presumption that the Contractor will furnish the materials and equipment specified or described in the Bidding Documents, as supplemented by Addenda. Any assumptions regarding the possibility of post-Bid approvals of "or-equal" or substitution requests are made at Bidder's sole risk.
- 10.02 If an award is made, Contractor shall be allowed to submit proposed substitutes and "or-equals" in accordance with the General Conditions.

ARTICLE 11 - SUBCONTRACTORS, SUPPLIERS, AND OTHERS

- 11.01 The apparent Successful Bidder, and any other Bidder so requested, shall within five days after Bid opening, submit to Owner a list of the Subcontractors or Suppliers proposed for the following portions of the Work: asphalt installation
- If requested by Owner, such list shall be accompanied by an experience statement with pertinent information regarding similar projects and other evidence of qualification for each such Subcontractor, Supplier, or other individual or entity. If Owner or Engineer, after due investigation, has reasonable objection to any proposed Subcontractor, Supplier, individual, or entity, Owner may, before the Notice of Award is given, request apparent Successful Bidder to submit an acceptable substitute, in which case apparent Successful Bidder shall submit a substitute, Bidder's Bid price will be increased (or decreased) by the difference in cost occasioned by such substitution, and Owner may consider such price adjustment in evaluating Bids and making the Contract award.
- 11.02 If apparent Successful Bidder declines to make any such substitution, Owner may award the Contract to the next lowest Bidder that proposes to use acceptable Subcontractors, Suppliers, or other individuals or entities. Declining to make requested substitutions will constitute grounds for forfeiture of the Bid security of any Bidder. Any Subcontractor, Supplier, individual, or entity so listed and against which Owner or Engineer makes no written objection prior to the giving of the Notice of Award will be deemed acceptable to Owner and Engineer subject to subsequent revocation of such acceptance as provided in Paragraph 7.06 of the General Conditions.
- 11.03 Contractor shall not be required to employ any Subcontractor, Supplier, individual, or entity against whom Contractor has reasonable objection.
- 11.04 The Contractor shall not award work to Subcontractor(s) in excess of the limits stated in SC 7.06.

ARTICLE 12 - PREPARATION OF BID

12.01 The Bid Form is included with the Bidding Documents.

- A. All blanks on the Bid Form shall be completed in ink and the Bid Form signed in ink. Erasures or alterations shall be initialed in ink by the person signing the Bid Form. A Bid price shall be indicated for each section, Bid item, alternate, adjustment unit price item, and unit price item listed therein.
- B. If the Bid Form expressly indicates that submitting pricing on a specific alternate item is optional, and Bidder elects to not furnish pricing for such optional alternate item, then Bidder may enter the words “No Bid” or “Not Applicable.”
- C. **The bidder may attach a substitute Bid Schedule printed by a computer in lieu of completing the bound Bid Schedule in ink, provided all of the provisions of this section are complied with. If a substitute Bid Schedule is used, it shall be attached to the bound Bid Form. Such computer printed substitute Bid Schedule shall include the following at the top of each page:**
 1. **Project Description – Street Repair Project**
 2. **Project Number – A-10413**
 3. **Letting Date – May 27, 2026**
 4. **Bidder's Name and Address**
- D. **The substitute computer printed Bid Schedule shall have column headings that include the Line Number, Item Description, Quantity, Unit, Unit Bid Price, Total Price for each item, Total Bid below the last bid item, legal name of Company and an authorized representative's signature in ink and title at the end of the Bid Schedule as required by this section. In case of a discrepancy between the line number, bid item description, and/or quantity shown in the bound proposal and those shown on the substitute computer printed bid schedule, the bid item description and the quantity shown in the bound proposal shall govern. The unit bid price shown on the substitute computer printed bid schedule shall govern whether or not the amount bid shown is correct.**

12.02 A Bid by a corporation shall be executed in the corporate name by a corporate officer (whose title must appear under the signature), accompanied by evidence of authority to sign. The corporate address and state of incorporation shall be shown.

12.03 A Bid by a limited liability company shall be executed in the name of the firm by a member or other authorized person and accompanied by evidence of authority to sign. The state of formation of the firm and the official address of the firm shall be shown.

12.04 A Bid by an individual shall show the Bidder’s name and official address.

12.05 A Bid by a joint venture shall be executed by an authorized representative of each joint venturer in the manner indicated on the Bid Form. The official address of the joint venture shall be shown.

12.06 All names shall be printed in ink below the signatures.

- 12.07 The Bid shall contain an acknowledgment of receipt of all Addenda, the numbers of which shall be filled in on the Bid Form.
- 12.08 Postal and e-mail addresses and telephone number for communications regarding the Bid shall be shown.
- 12.09 The Bid shall contain evidence of Bidder's authority and qualification to do business in the state where the Project is located, or Bidder shall covenant in writing to obtain such authority and qualification prior to award of the Contract and attach such covenant to the Bid. Bidder's state contractor license number, if any, shall also be shown on the Bid Form.

ARTICLE 13 - BASIS OF BID

13.01 Unit Price

- A. Bidders shall submit a Bid on a unit price basis for each item of Work listed in the unit price section of the Bid Form.
- B. The "Bid Price" (sometimes referred to as the extended price) for each unit price Bid item will be the product of the "Estimated Quantity" (which Owner or its representative has set forth in the Bid Form) for the item and the corresponding "Bid Unit Price" offered by the Bidder. The total of all unit price Bid items will be the sum of these "Bid Prices"; such total will be used by Owner for Bid comparison purposes. The final quantities and Contract Price will be determined in accordance with Paragraph 13.03 of the General Conditions.
- C. Discrepancies between the multiplication of units of Work and unit prices will be resolved in favor of the unit prices. Discrepancies between the indicated sum of any column of figures and the correct sum thereof will be resolved in favor of the correct sum.

ARTICLE 14 - SUBMITTAL OF BID

- 14.01 With each copy of the Bidding Documents, a Bidder is furnished ~~one separate unbound copy of the Bid Form, and, if required, the Bid Bond Form. The unbound copy of the Bid Form is to be completed and submitted with the Bid security and the other documents required to be submitted under the terms of Article 7 of the Bid Form~~ **colored bidding documents bound into the booklet and numbered pages 23-48. The colored copies of the bidding documents are to be completed and submitted with all the attachments outlined in Article 7 of the Bid Form. Non-colored copies downloaded from the internet site will be allowed, but will include all applicable pages listed above.**
- 14.02 A Bid shall be received no later than the date and time prescribed and at the place indicated in the advertisement or invitation to bid and shall be enclosed in a plainly marked package with the Project title (and, if applicable, the designated portion of the Project for which the Bid is submitted), the name and address of Bidder, and shall be accompanied by the Bid security and other required documents. If a Bid is sent by mail or other delivery system, the sealed envelope containing the Bid shall be enclosed in a

separate package plainly marked on the outside with the notation "BID ENCLOSED." A mailed Bid shall be addressed to City of Selby.

- 14.03 Bids received after the date and time prescribed for the opening of bids, or not submitted at the correct location or in the designated manner, will not be accepted and will be returned to the Bidder unopened.

ARTICLE 15 - MODIFICATION AND WITHDRAWAL OF BID

- 15.01 A Bid may be withdrawn by an appropriate document duly executed in the same manner that a Bid must be executed and delivered to the place where Bids are to be submitted prior to the date and time for the opening of Bids. Upon receipt of such notice, the unopened Bid will be returned to the Bidder.
- 15.02 If a Bidder wishes to modify its Bid prior to Bid opening, Bidder must withdraw its initial Bid in the manner specified in Paragraph 16.01 and submit a new Bid prior to the date and time for the opening of Bids.
- 15.03 If within 24 hours after Bids are opened any Bidder files a duly signed written notice with Owner and promptly thereafter demonstrates to the reasonable satisfaction of Owner that there was a material and substantial mistake in the preparation of its Bid, that Bidder may withdraw its Bid, and the Bid security will be returned. Thereafter, if the Work is rebid, that Bidder will be disqualified from further bidding on the Work.

ARTICLE 16 - OPENING OF BIDS

- 16.01 Bids will be opened at the time and place indicated in the advertisement or invitation to bid and, unless obviously non-responsive, read aloud publicly. An abstract of the amounts of the base bids and major alternates, if any, will be made available to Bidders after the opening of Bids.

ARTICLE 17 - BIDS TO REMAIN SUBJECT TO ACCEPTANCE

- 17.01 All Bids will remain subject to acceptance for the period of time stated in the Bid Form, but Owner may, in its sole discretion, release any Bid and return the Bid security prior to the end of this period.

ARTICLE 18 - EVALUATION OF BIDS AND AWARD OF CONTRACT

- 18.01 Owner reserves the right to reject any or all Bids, including without limitation, nonconforming, nonresponsive, unbalanced, or conditional Bids. Owner will reject the Bid of any Bidder that Owner finds, after reasonable inquiry and evaluation, to not be responsible. If Bidder purports to add terms or conditions to its Bid, takes exception to any provision of the Bidding Documents, or attempts to alter the contents of the Contract Documents for purposes of the Bid, then the Owner will reject the Bid as nonresponsive; provided that Owner also reserves the right to waive all minor informalities not involving price, time, or changes in the Work.
- 18.02 If Owner awards the contract for the Work, such award shall be to the responsible Bidder submitting the lowest responsive Bid.

18.03 Evaluation of Bids

- A. In evaluating Bids, Owner will consider whether or not the Bids comply with the prescribed requirements, and such alternates, unit prices, and other data, as may be requested in the Bid Form or prior to the Notice of Award.
- B. For the determination of the apparent low Bidder when unit price bids are submitted, Bids will be compared on the basis of the total of the products of the estimated quantity of each item and unit price Bid for that item, together with any lump sum items.

18.04 In evaluating whether a Bidder is responsible, Owner will consider the qualifications of the Bidder and may consider the qualifications and experience of Subcontractors and Suppliers proposed for those portions of the Work for which the identity of Subcontractors and Suppliers must be submitted as provided in the Bidding Documents.

18.05 Owner may conduct such investigations as Owner deems necessary to establish the responsibility, qualifications, and financial ability of Bidders and any proposed Subcontractors or Suppliers.

ARTICLE 19 - BONDS AND INSURANCE

19.01 Article 6 of the General Conditions, as may be modified by the Supplementary Conditions, sets forth Owner's requirements as to performance and payment bonds and insurance. When the Successful Bidder delivers the Agreement (executed by Successful Bidder) to Owner, it shall be accompanied by required bonds and insurance documentation.

ARTICLE 20 - SIGNING OF AGREEMENT

20.01 When Owner issues a Notice of Award to the Successful Bidder, it shall be accompanied by the unexecuted counterparts of the Agreement along with the other Contract Documents as identified in the Agreement. Within 15 days thereafter, Successful Bidder shall execute and deliver the required number of counterparts of the Agreement (and any bonds and insurance documentation required to be delivered by the Contract Documents) to Owner. Within ten days thereafter, Owner shall deliver one fully executed counterpart of the Agreement to Successful Bidder, together with printed and electronic copies of the Contract Documents as stated in Paragraph 2.02 of the General Conditions.

ARTICLE 21 - NONDISCRIMINATION IN EMPLOYMENT

21.01 **Bidders on this work will be required to comply with the Presidents Executive Order Nos. 11246 as amended, 11518, and 11625 as amended. The requirements for bidders and contractors under these orders are explained in the Supplementary Conditions.**

ARTICLE 22 - WORKFORCE GOALS

22.01 **The following goals for minority and female utilization apply to construction contracts and subcontracts for the Project which are in excess of \$10,000. The goals are applicable to the contractor's aggregate on-site construction workforce, not**

merely that part of the workforce that is performing work on a Federal or Federally-assisted contract or subcontract.

WORKFORCE GOALS FOR ALL CRAFTS

DISADVANTAGED/MINORITY PARTICIPATION .. 7.9%

WORKFORCE GOALS FOR ALL CRAFTS

FEMALE PARTICIPATION 6.9%

22.02 **The workforce goals as shown are based on the total number of new positions created to complete the work generated by the contract. The requirements for bidders and contractors under these orders are explained in the Supplementary Conditions.**

ARTICLE 23 - ELIMINATION OF SEGREGATED FACILITIES CERTIFICATION

23.01 **Contractors and subcontractors for the Project shall not maintain facilities provided for employees in a segregated manner, or permit employees to perform their services at any location under contractor's or subcontractor's control where segregated facilities are maintained.**

23.02 **Additional requirements and information for contractors and subcontractors are included in the Supplementary Conditions.**

ARTICLE 24 - PROHIBITION AGAINST THE USE OF FEDERALLY-APPROPRIATED FUNDS FOR LOBBYING ACTIVITIES

24.01 **Restrictions on Lobbying is discussed in the Supplementary Conditions**

24.02 **The requirements for bidders and contractors under these orders are explained in the Supplementary Conditions,**

ARTICLE 25 - ENGINEER INFORMATION

25.01 **The Engineering firm is: Helms and Associates, Consulting Engineers and Land Surveyors**

The Engineering firm's contact person is: Brandon D. Smid, P.E. Project Engineer

25.02 **The firm's address is: 416 Production St. N**

Aberdeen, SD 57401

Phone (605) 225-1212

Email: BrandonS@HelmsEngineering.com

BIDDING DOCUMENTS

SECTION 00 42 43 - BID FORM – UNIT PRICE

Prepared by



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BID FORM

Street Repair Project

Selby, South Dakota

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ARTICLE 1 – BID RECIPIENT

1.01 This Bid is submitted to:

City of Selby

1.02 The undersigned Bidder proposes and agrees, if this Bid is accepted, to enter into an Agreement with Owner in the form included in the Bidding Documents to perform all Work as specified or indicated in the Bidding Documents for the prices and within the times indicated in this Bid and in accordance with the other terms and conditions of the Bidding Documents.

ARTICLE 2 – BIDDER’S ACKNOWLEDGEMENTS

2.01 Bidder accepts all of the terms and conditions of the Instructions to Bidders, including without limitation those dealing with the disposition of Bid security. This Bid will remain subject to acceptance for 60 days after the Bid opening, or for such longer period of time that Bidder may agree to in writing upon request of Owner.

ARTICLE 3 – BIDDER’S REPRESENTATIONS

3.01 In submitting this Bid, Bidder represents that:

- A. Bidder has examined and carefully studied the Bidding Documents, and any data and reference items identified in the Bidding Documents, and hereby acknowledges receipt of the following Addenda:

| <u>Addendum No.</u> | <u>Addendum, Date</u> |
|---------------------|-----------------------|
| _____ | _____ |
| _____ | _____ |

- B. Bidder has visited the Site, conducted a thorough, alert visual examination of the Site and adjacent areas, and become familiar with and satisfied itself as to the general, local, and Site conditions that may affect cost, progress, and performance of the Work.
- C. Bidder is familiar with and has satisfied itself as to all Laws and Regulations that may affect cost, progress, and performance of the Work.
- D. Bidder has considered the information known to Bidder itself; information commonly known to contractors doing business in the locality of the Site; information and observations obtained from visits to the Site; the Bidding Documents; and any Site-related reports and drawings identified in the Bidding Documents, with respect to the effect of such information, observations, and documents on (1) the cost, progress, and performance of the Work; (2) the means, methods, techniques, sequences, and procedures of construction to be employed by Bidder; and (3) Bidder’s safety precautions and programs.
- E. Bidder agrees, based on the information and observations referred to in the preceding paragraph, that no further examinations, investigations, explorations, tests, studies, or data are necessary for the determination of this Bid for performance of the Work at the price bid and within the times required, and in accordance with the other terms and conditions of the Bidding Documents.
- F. Bidder is aware of the general nature of work to be performed by Owner and others at the Site that relates to the Work as indicated in the Bidding Documents.
- G. Bidder has given Engineer written notice of all conflicts, errors, ambiguities, or discrepancies that Bidder has discovered in the Bidding Documents, and confirms that the written resolution thereof by Engineer is acceptable to Bidder.
- H. The Bidding Documents are generally sufficient to indicate and convey understanding of all terms and conditions for the performance and furnishing of the Work.
- I. The submission of this Bid constitutes an incontrovertible representation by Bidder that Bidder has complied with every requirement of this Article, and that without exception the Bid and all prices in the Bid are premised upon performing and furnishing the Work required by the Bidding Documents.

ARTICLE 4 – BIDDER’S CERTIFICATION

4.01 Bidder certifies that:

- A. This Bid is genuine and not made in the interest of or on behalf of any undisclosed individual or entity and is not submitted in conformity with any collusive agreement or rules of any group, association, organization, or corporation;
- B. Bidder has not directly or indirectly induced or solicited any other Bidder to submit a false or sham Bid;
- C. Bidder has not solicited or induced any individual or entity to refrain from bidding; and
- D. Bidder has not engaged in corrupt, fraudulent, collusive, or coercive practices in competing for the Contract. For the purposes of this Paragraph 4.01.D:
 - 1. “corrupt practice” means the offering, giving, receiving, or soliciting of anything of value likely to influence the action of a public official in the bidding process;
 - 2. “fraudulent practice” means an intentional misrepresentation of facts made (a) to influence the bidding process to the detriment of Owner, (b) to establish bid prices at artificial non-competitive levels, or (c) to deprive Owner of the benefits of free and open competition;
 - 3. “collusive practice” means a scheme or arrangement between two or more Bidders, with or without the knowledge of Owner, a purpose of which is to establish bid prices at artificial, non-competitive levels; and
 - 4. “coercive practice” means harming or threatening to harm, directly or indirectly, persons or their property to influence their participation in the bidding process or affect the execution of the Contract.

ARTICLE 5 – BASIS OF BID

5.01 Bidder may submit unit prices for the base bid.

5.02 Bidder will complete the Work in accordance with the Contract Documents for the following price(s):

Streets Improvement Project
Selby, South Dakota

| BID FORM | | | | | |
|-----------------------|------------------------------------------------------------------------------------------------------|----------|------|----------------|-------------|
| Item # | Item Description | Quantity | Unit | Unit Price | Total Price |
| 1 | Mobilization | 1 | L.S. | Lump Sum | \$ |
| 2 | Remove & Dispose Concrete Curb & Gutter/ Header Curb | 248 | L.F. | \$ | \$ |
| 3 | Remove & Savage Existing Gravel Surfacing | 288 | C.Y. | \$ | \$ |
| 4 | Mill & Salvage Asphalt Millings and Basecourse (4" Nominal) | 187 | C.Y. | \$ | \$ |
| 5 | Relay Salvaged Material (5th- 4" Nominal Depth Millings, 6" Nominal Depth Recycled Basecourse) | 475 | C.Y. | \$ | \$ |
| 6 | Unclassified Excavation (Including 6" Scarify & Recompact) | 970 | C.Y. | \$ | \$ |
| 7 | Geotextile Separator Fabric | 1588 | S.Y. | \$ | \$ |
| 8 | Geogrid | 1588 | S.Y. | \$ | \$ |
| 9 | Asphalt Concrete, Class E Type 1 (PG 58-28) | 705 | Tons | \$ | \$ |
| 10 | Concrete Curb & Gutter | 1169 | L.F. | \$ | \$ |
| 11 | Concrete Fillet | 750 | S.F. | \$ | \$ |
| 12 | Concrete Valley Gutter | 216 | S.F. | \$ | \$ |
| 13 | Concrete Sidewalk Ramp (Including Detectable Warning Pannels) | 42 | S.F. | \$ | \$ |
| 14 | Basecourse (8" Nominal Depth) | 640 | Tons | \$ | \$ |
| 15 | Inlet Protection (Sediment Control) | 5 | Each | \$ | \$ |
| 16 | Topsoil Grading, Shaping & Seeding | 1022 | S.Y. | \$ | \$ |
| 17 | Traffic Control | 1 | L.S. | Lump Sum | \$ |
| Total Base Bid | | | | \$ | |
| Written | | | | Dollars | |

ALL ITEMS FURNISHED AND INSTALLED

5.03 Bidder acknowledges that (1) each Bid Unit Price includes an amount considered by Bidder to be adequate to cover Contractor's overhead and profit for each separately identified item, and (2) estimated quantities are not guaranteed, and are solely for the purpose of comparison of Bids, and

final payment for all unit price Bid items will be based on actual quantities, determined as provided in the Contract Documents.

ARTICLE 6 – TIME OF COMPLETION

- 6.01 Bidder agrees that the Work, at Selby will be substantially complete on or before October 24, 2026 and will be completed and ready for final payment in accordance with Paragraph 15.06 of the General Conditions on or before October 31, 2026.
- 6.02 Bidder accepts the provisions of the Agreement as to liquidated damages.

ARTICLE 7 – ATTACHMENTS TO THIS BID

- 7.01 The following documents are submitted with and made a condition of this Bid:
- A. Required Bid security, **Section 00 43 13 - Bid Bond;**
 - B. List of Proposed Subcontractors;
 - C. List of Proposed Suppliers;
 - D. List of Project References;
 - E. Evidence of authority to do business in the state of the Project; or a written covenant to obtain such license within the time for acceptance of Bids;
 - F. Contractor's License No.: _____ [or] Evidence of Bidder's ability to obtain a State Contractor's License and a covenant by Bidder to obtain said license within the time for acceptance of Bids, **Section 00 45 90 - Contractor Excise Tax License;**
 - G. Required Bidder Qualification Statement with supporting data, **Section 00 45 13 – Bidder's Qualifications;** and
 - H. **Section 00 45 19 - Non Collusion Affidavit**
 - I. **Section 00 45 22 - Asbestos Certification;**
 - J. **Section 00 45 46 – Certification for Contracts, Grants and Loans**
 - K. **Disclosure of Lobbying Activities**
 - L. **Section 00 45 90 – Contractor Excise Tax License**

ARTICLE 8 – DEFINED TERMS

- 8.01 The terms used in this Bid with initial capital letters have the meanings stated in the Instructions to Bidders, the General Conditions, and the Supplementary Conditions.

BID SUBMITTAL

BIDDER: *[Indicate correct name of bidding entity]*

By:

[Signature] _____

[Printed name] _____

(If Bidder is a corporation, a limited liability company, a partnership, or a joint venture, attach evidence of authority to sign.)

Attest:

[Signature] _____

[Printed name] _____

Title: _____

Submittal Date: _____

Address for giving notices:

Telephone Number: _____

Fax Number: _____

Contact Name and e-mail address: _____

Bidder's License No.: _____

(where applicable)

NOTE TO USER: Use in those states or other jurisdictions where applicable or required.

END OF SECTION

SECTION 00 43 13 - BID BOND

Any singular reference to Bidder, Surety, Owner or other party shall be considered plural where applicable.

BIDDER (*Name and Address*):

SURETY (*Name, and Address of Principal Place of Business*):

OWNER (*Name and Address*):

BID

Bid Due Date:

Description (*Project Name— Include Location*):

BOND

Bond Number:

Date:

Penal sum

\$

(Words)

(Figures)

Surety and Bidder, intending to be legally bound hereby, subject to the terms set forth below, do each cause this Bid Bond to be duly executed by an authorized officer, agent, or representative.

BIDDER

SURETY

(Seal)

(Seal)

Bidder's Name and Corporate Seal

Surety's Name and Corporate Seal

By:

Signature

By:

Signature (Attach Power of Attorney)

Print Name

Print Name

Title

Title

Attest:

Signature

Attest:

Signature

Title

Title

Note: Addresses are to be used for giving any required notice.

Provide execution by any additional parties, such as joint venturers, if necessary.

1. Bidder and Surety, jointly and severally, bind themselves, their heirs, executors, administrators, successors, and assigns to pay to Owner upon default of Bidder the penal sum set forth on the face of this Bond. Payment of the penal sum is the extent of Bidder's and Surety's liability. Recovery of such penal sum under the terms of this Bond shall be Owner's sole and exclusive remedy upon default of Bidder.
2. Default of Bidder shall occur upon the failure of Bidder to deliver within the time required by the Bidding Documents (or any extension thereof agreed to in writing by Owner) the executed Agreement required by the Bidding Documents and any performance and payment bonds required by the Bidding Documents.
3. This obligation shall be null and void if:
 - 3.1 Owner accepts Bidder's Bid and Bidder delivers within the time required by the Bidding Documents (or any extension thereof agreed to in writing by Owner) the executed Agreement required by the Bidding Documents and any performance and payment bonds required by the Bidding Documents, or
 - 3.2 All Bids are rejected by Owner, or
 - 3.3 Owner fails to issue a Notice of Award to Bidder within the time specified in the Bidding Documents (or any extension thereof agreed to in writing by Bidder and, if applicable, consented to by Surety when required by Paragraph 5 hereof).
4. Payment under this Bond will be due and payable upon default of Bidder and within 30 calendar days after receipt by Bidder and Surety of written notice of default from Owner, which notice will be given with reasonable promptness, identifying this Bond and the Project and including a statement of the amount due.
5. Surety waives notice of any and all defenses based on or arising out of any time extension to issue Notice of Award agreed to in writing by Owner and Bidder, provided that the total time for issuing Notice of Award including extensions shall not in the aggregate exceed 120 days from the Bid due date without Surety's written consent.
6. No suit or action shall be commenced under this Bond prior to 30 calendar days after the notice of default required in Paragraph 4 above is received by Bidder and Surety and in no case later than one year after the Bid due date.
7. Any suit or action under this Bond shall be commenced only in a court of competent jurisdiction located in the state in which the Project is located.
8. Notices required hereunder shall be in writing and sent to Bidder and Surety at their respective addresses shown on the face of this Bond. Such notices may be sent by personal delivery, commercial courier, or by United States Registered or Certified Mail, return receipt requested, postage pre-paid, and shall be deemed to be effective upon receipt by the party concerned.
9. Surety shall cause to be attached to this Bond a current and effective Power of Attorney evidencing the authority of the officer, agent, or representative who executed this Bond on behalf of Surety to execute, seal, and deliver such Bond and bind the Surety thereby.
10. This Bond is intended to conform to all applicable statutory requirements. Any applicable requirement of any applicable statute that has been omitted from this Bond shall be deemed to be included herein as if set forth at length. If any provision of this Bond conflicts with any applicable statute, then the provision of said statute shall govern and the remainder of this Bond that is not in conflict therewith shall continue in full force and effect.
11. The term "Bid" as used herein includes a Bid, offer, or proposal as applicable.

SECTION 00 45 13 – BIDDER’S QUALIFICATIONS

To: _____

Project: _____
A-_____

At: _____, South Dakota

Date Submitted: _____ Bid Letting Date _____

The following statements of qualifications and experience are current for:

| Name of Organization | Address |
|----------------------|---------|
|----------------------|---------|

We are incorporated in the State of _____

Our principal work is _____

EXPERIENCE RECORD

A. **Completed projects:** (List several from past two years.)

| Location and Description of Work | Owner | Contract Amount | Date Completed |
|-------------------------------------|-------|--------------------|-------------------|
|-------------------------------------|-------|--------------------|-------------------|

B. **Work in Progress**

| Location and Description of Work | Owner | Contract Amount | Date Completed |
|-------------------------------------|-------|--------------------|-------------------|
|-------------------------------------|-------|--------------------|-------------------|

C. **Major Equipment:** The following list of major equipment is proposed for use on this Project:

| Description | Manufacturer | Size & Class | Capacity | Year Mfd. |
|-------------|--------------|--------------|----------|-----------|
|-------------|--------------|--------------|----------|-----------|

Our superintendent or foreman of this project will be:

His experience includes: _____

General statement of experience and qualification of this organization includes: _____

Our Bonding Company is: _____

Address: _____ Phone No. _____

Proposed Sub-Contractors: Identify and give brief narrative on each sub-contractor including name, address, work to be performed and qualifications. (Indicate which firms are MBE or WBE)

I certify that all of the statements outlined above are true representations.

Firm Name_____

Prepared by_____

Signature

Title_____

Address_____

Telephone No._____

END OF SECTION

SECTION 00 45 19 - NON-COLLUSION AFFIDAVIT

NON-COLLUSION AFFIDAVIT OF PRIME BIDDER

State of _____)

County of _____)

, being first duly sworn, deposes and says that:

(1) He is _____ of _____,
(Title) (Firm)
the Bidder that has submitted the attached Bid.

(2) He is fully informed respecting the preparation and contents of the attached Bid and of all pertinent circumstances respecting such Bid;

(3) Such Bid is genuine and is not a collusive or sham Bid;

(4) Neither the said Bidder nor any of its officers, partners, owners, agents, representatives, employees or parties in interest, including this affiant, has in any way colluded, conspired, connived, or agreed, directly or indirectly with any other Bidder, firm, or person to submit a collusive or sham Bid in connection with the Contract for which the attached Bid has been submitted or to refrain from bidding in connection with such Contract, or has in any manner, directly or indirectly, sought by agreement or collusion or communication or conference with any other Bidder, firm, or person to fix the price or prices in the attached Bid or of any other Bidder, or to fix any overhead, profit, or cost element of the Bid price or the Bid price of any other Bidder, or to secure through any collusion, conspiracy, connivance, or unlawful agreement any advantage against the Owner or any person interested in the proposed Contract; and

(5) The price or prices quoted in the attached Bid are fair and proper and are not tainted by any collusion, conspiracy, connivance, or unlawful agreement on the part of the Bidder or any of its agents, representatives, owners, employees, or parties in interest, including this affiant.

(Signed) _____

Title

Subscribed and sworn to before me this
day of _____, 2026.

Signature

Title

My commission expires _____

END OF SECTION

SECTION 00 45 22 - ASBESTOS REQUIREMENT

In accordance with the provisions of SDCL 34-44-8, all bidders and contractors are hereby notified that the project site does not contain asbestos containing materials.

Controlling Government Regulations:

OSHA's Construction Industry Standard for Occupational Exposure to Asbestos Subpart Z, 29 CFR 1926.1101 Asbestos.

South Dakota Department of Environment and Natural Resources: If more than 260 linear feet of regulated ACM will be disturbed, a Notification of Demolition and Renovation form must be submitted to the Department of Environment and Natural Resources at least 10 working days in advance. Once removed, the ACM must be disposed of in an approved landfill. The Notification of Demolition and Renovation form can be obtained at:

<http://denr.sd.gov/des/wm/asb/Documents/E0413V5-Asbestos%20Notification.pdf>. For further information regarding asbestos, please contact SD-DENR by calling (605) 773-3153.

The undersigned bidder, having read the asbestos requirements above and/or as contained in the General and Supplementary Conditions, hereby expressly acknowledges his understanding of and his agreement to comply during the performance of any work under any contract resulting from this bid with the above Asbestos Requirement.

Company: _____

By: _____

Signature

Date: _____

END OF SECTION



Environmental
Protection Agency

SECTION 00 45 46 - CERTIFICATION FOR CONTRACTS, GRANTS, AND LOANS

The undersigned certifies, to the best of his or her knowledge and belief that:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for Influencing or attempting to Influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant or Federal loan, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant or loan.

2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for Influencing or attempting to Influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant or loan, the undersigned shall complete and submit Standard Form - LLL, "Disclosure of Lobbying Activities," in accordance with its instructions.

3. The undersigned shall require that the language of this certification be included in the award documents for all sub-awards at all tiers (including contracts, subcontracts, and sub-grants under grants and loans) and that all sub-recipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

(name)

(date)

(title)

DISCLOSURE OF LOBBYING ACTIVITIES

Standard Form LLL

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352

(See reverse for instructions and public burden disclosure)

| | | | |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|
| <p>1. Type of Federal Action:</p> <p><input type="checkbox"/> a. contract</p> <p><input type="checkbox"/> b. grant</p> <p>c. cooperative agreement</p> <p>d. loan</p> <p>e. loan guarantee</p> <p>f. loan insurance</p> | <p>2. Status of Federal Action:</p> <p><input type="checkbox"/> a. bid/offer/application</p> <p>b. initial award</p> <p>c. post-award</p> | <p>3. Report Type:</p> <p><input type="checkbox"/> a. initial filing</p> <p>b. material change</p> <p style="text-align: right;">For Material Change Only:</p> <p style="text-align: right;">Year ____ quarter ____</p> <p style="text-align: right;">date of last report _____</p> | |
| <p>4. Name and Address of Reporting Entity:</p> <p><input type="checkbox"/> Prime <input type="checkbox"/> Subawardee</p> <p style="text-align: center;">Tier _____, if known:</p> <p style="text-align: center;">Congressional District, If known: _____</p> | <p>5. If Reporting Entity in No. 4 is Subawardee, Enter Name and Address of Prime:</p> <p style="text-align: center;">Congressional District, If known: _____</p> | | |
| <p>6. Federal Department/Agency:</p> | <p>7. Federal Program Name/Description:</p> <p style="text-align: center;">CDFA Number, if applicable: _____</p> | | |
| <p>8. Federal Action Number, if known:</p> | <p>9. Award Amount, if known:</p> | | |
| <p>10. a. Name and Address of Lobbying Entity (If individual, last name, first name, MI):</p> | | | <p>b. Name and Address of Lobbying Entity (If individual, last name, first name, MI):</p> |
| <p>(attach Continuation Sheets(s) SF-LLL-A, If necessary)</p> | | | |
| <p>11. Amount of Payment (check all that apply):</p> <p>\$ _____ <input type="checkbox"/> actual <input type="checkbox"/> planned</p> | <p>13. Type of Payment (check all that apply):</p> <p><input type="checkbox"/> a. retainer</p> <p><input type="checkbox"/> b. one-time fee</p> <p><input type="checkbox"/> c. commission</p> <p><input type="checkbox"/> d. contingent fee</p> <p><input type="checkbox"/> e. deferred</p> <p><input type="checkbox"/> f. other, specify: _____</p> | | |
| <p>12. Form of Payment (check all that apply):</p> <p><input type="checkbox"/> a. cash</p> <p><input type="checkbox"/> b. in-kind, specify: Nature _____</p> <p style="text-align: center;">Value _____</p> | | | |
| <p>14. Brief Description of Services Performed or to be Performed and Dates(s) of Service, including Officer(s), Employee(s), or Member(s) Contracted for Payment indicated in item 11:</p> <p style="text-align: center;">(Attach Continuation Sheet(s) SF-LLL-A, If necessary)</p> | | | |
| <p>15. Continuation Sheet(s) SF-LLL-A Attached: <input type="checkbox"/> Yes <input type="checkbox"/> No</p> | | | |
| <p>16. Information requested through this form is authorized by Title 31, U.S.C., Section 1352. This disclosure of lobbying activities is a</p> <p style="text-align: center;">material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to Title 31, U.S.C., Section 1352. This information will be reported to the Congress semiannually and will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.</p> | | <p>Signature _____</p> <p>Print Name: _____</p> <p>Title: _____</p> <p>Telephone No.: _____ Date: _____</p> | |
| <p>Federal Use Only</p> | | | <p>Authorized for Local Reproduction Standard Form-LLL</p> |

INSTRUCTIONS FOR COMPLETION OF SF-LLL, DISCLOSURE OF LOBBYING ACTIVITIES

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C. section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence the outcome of a covered Federal action.
2. Identify the status of the covered Federal action.
3. Identify the appropriate classification of this report. If this is a follow up report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last previously submitted report by this reporting entity for this covered Federal action.
4. Enter the full name, address, city, State and zip code of the reporting entity. Include Congressional District, if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or sub-award recipient. Identify the tier of the sub-awardee, e.g., the first sub-awardee of the prime is the 1st tier. Sub-awards include but are not limited to subcontracts, sub-grants and contract awards under grants.
5. If the organization filing the report in item 4 checks "Sub-awardee," then enter the full name, address, city, State and zip code of the prime Federal recipient. Include Congressional District, if known.
6. Enter the name of the Federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans, and loan commitments.
8. Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e.g., Request for Proposal (RFP) number; Invitation for Bid (IFB) number; grant announcement number; the contract, grant, or loan award number; the application/proposal control number assigned by the Federal agency). Include prefixes, e.g., "RFP-DE-90-001."
9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitment for the prime entity identified in item 4 or 5.
10. (a) Enter the full name, address, city, State and zip code of the lobbying registrant under the Lobbying Disclosure Act of 1995 engaged by the reporting entity identified in item 4 to influence the covered Federal action.

(b) Enter the full names of the individual(s) performing services, and include full address if different from 10 (a). Enter Last Name, First Name, and Middle Initial (MI).
11. The certifying official shall sign and date the form, print his/her name, title, and telephone number.

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is OMB No. 0348-0046. Public reporting burden for this collection of information is estimated to average 10 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, DC 20503.

END OF SECTION

SECTION 00 45 90- CONTRACTOR EXCISE TAX LICENSE

No public corporation may award any contract for the construction of any public improvement unless the contractor has a South Dakota Contractors' Excise Tax License.

PLEASE COMPLETE THE FOLLOWING:

1. Owner Name: _____
2. Business Name: _____
3. South Dakota Tax Permit Number: _____

1. If you applied for a Contractors' Excise Tax License but have not received the license, please list your federal identification number and date license applies for:

EIN _____ Application Date _____

I certify that to the best of my knowledge, the above information is accurate and complete.

Contractor Signature

Date

* *If the contractor indicates a license has been applied for but not received, please call the South Dakota Department of Revenue at 1-800-829-9288 to verify the status of the application.*

* *An application for a Contractors' Excise Tax License may be obtained from the South Dakota Department of Revenue's website www.state.sd.us/revenue or by calling 1800-829-9188.*

THESE FORMS MUST BE SUBMITTED WITH THE CONTRACTORS' BID

END OF SECTION

SECTION 00 50 00 - CONTRACT DOCUMENTS

SECTION 00 51 00 - NOTICE OF AWARD

Date of Issuance:

Owner: City of Selby Owner's Contract No.:
Engineer: Helms and Associates Engineer's Project No.: A-10413
Project: Selby Street Repair Project Contract Name: Base Bid

Bidder:

Bidder's
Address:

TO BIDDER:

You are notified that Owner has accepted your Bid dated May 27, 2026 for the above Contract, and that you are the Successful Bidder and are awarded a Contract for: Street Repair Project.

The Contract Price of the awarded Contract is: \$_____ subject to unit prices.

[3] unexecuted counterparts of the Agreement accompany this Notice of Award, and one copy of the Contract Documents accompanies this Notice of Award, or has been transmitted or made available to Bidder electronically. *[revise if multiple copies accompany the Notice of Award]*

You must comply with the following conditions precedent within 15 days of the date of receipt of this Notice of Award:

1. Deliver to Owner three (3) counterparts of the Agreement, fully executed by Bidder.
2. Deliver with the executed Agreement(s) the Contract security *[e.g., performance and payment bonds]* and insurance documentation as specified in the Instructions to Bidders and General Conditions, Articles 2 and 6.
3. Other conditions precedent (if any): NA

Failure to comply with these conditions within the time specified will entitle Owner to consider you in default, annul this Notice of Award, and declare your Bid security forfeited.

Within ten days after you comply with the above conditions, Owner will return to you one fully executed counterpart of the Agreement, together with any additional copies of the Contract Documents as indicated in Paragraph 2.02 of the General Conditions.

Owner: _____

Authorized Signature

By: Doug Frank

Copy: Engineer

END OF SECTION

SECTION 00 52 14 - AGREEMENT BETWEEN OWNER AND CONTRACTOR FOR CONSTRUCTION CONTRACT (UNIT PRICE)

Prepared by



Issued and Published Jointly by



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**AGREEMENT
BETWEEN OWNER AND CONTRACTOR
FOR CONSTRUCTION CONTRACT (STIPULATED PRICE)**

THIS AGREEMENT is by and between _____ (“Owner”) and _____ (“Contractor”).
City of Selby

Owner and Contractor hereby agree as follows:

ARTICLE 1 – WORK

1.01 Contractor shall complete all Work as specified or indicated in the Contract Documents. The Work is generally described as follows:

ARTICLE 2 – THE PROJECT

2.01 The Project, of which the Work under the Contract Documents is a part, is generally described as follows: Street Repair Project.

ARTICLE 3 – ENGINEER

3.01 The Project has been designed by Helms & Associates.

3.02 The Owner has retained Helms & Associates (“Engineer”) to act as Owner’s representative, assume all duties and responsibilities, and have the rights and authority assigned to Engineer in the Contract Documents in connection with the completion of the Work in accordance with the Contract Documents.

ARTICLE 4 – CONTRACT TIMES

4.01 Time of the Essence

A. All time limits for Milestones, if any, Substantial Completion, and completion and readiness for final payment as stated in the Contract Documents are of the essence of the Contract.

4.02 Contract Times: Dates

A. The Work, at Selby, will be substantially completed on or before October 24, 2026, and completed and ready for final payment in accordance with Paragraph 15.06 of the General Conditions on or before October 31, 2026.

4.03 Liquidated Damages

A. Contractor and Owner recognize that time is of the essence as stated in Paragraph 4.01 above and that Owner will suffer financial and other losses if the Work is not completed and Milestones not achieved within the times specified in Paragraph 4.02 above, plus any extensions thereof allowed in accordance with the Contract. The parties also recognize the delays, expense, and difficulties involved in proving in a legal or arbitration proceeding the actual loss suffered by Owner if the Work is not completed on time. Accordingly, instead

of requiring any such proof, Owner and Contractor agree that as liquidated damages for delay (but not as a penalty):

1. Substantial Completion: Contractor shall pay Owner (Based on Table for Schedule of Liquidated Damages) for each day that expires after the time (as duly adjusted pursuant to the Contract) specified in Paragraph 4.02.A above for Substantial Completion until the Work is substantially complete.
2. Completion of Remaining Work: After Substantial Completion, if Contractor shall neglect, refuse, or fail to complete the remaining Work within the Contract Time (as duly adjusted pursuant to the Contract) for completion and readiness for final payment, Contractor shall pay Owner (Based on Table for Schedule of Liquidated Damages) for each day that expires after such time until the Work is completed and ready for final payment.
3. Liquidated damages for failing to timely attain Substantial Completion and final completion are not additive and will not be imposed concurrently.

4.04 Special Damages (Deleted)

ARTICLE 5 – CONTRACT PRICE

5.01 Owner shall pay Contractor for completion of the Work in accordance with the Contract Documents the amounts that follow, subject to adjustment under the Contract:

- A. For all Work, at the prices stated in Contractor's Bid, attached hereto as an exhibit.

ARTICLE 6 – PAYMENT PROCEDURES

6.01 Submittal and Processing of Payments

- A. Contractor shall submit Applications for Payment in accordance with Article 15 of the General Conditions. Applications for Payment will be processed by Engineer as provided in the General Conditions.

6.02 Progress Payments; Retainage

- A. Owner shall make progress payments on account of the Contract Price on the basis of Contractor's Applications for Payment on or about the 1st Monday of each month during performance of the Work as provided in Paragraph 6.02.A.1 below, provided that such Applications for Payment have been submitted in a timely manner and otherwise meet the requirements of the Contract. All such payments will be measured by the Schedule of Values established as provided in the General Conditions (and in the case of Unit Price Work based on the number of units completed) or, in the event there is no Schedule of Values, as provided elsewhere in the Contract.
 1. Prior to Substantial Completion, progress payments will be made in an amount equal to the percentage indicated below but, in each case, less the aggregate of payments previously made and less such amounts as Owner may withhold, including but not limited to liquidated damages, in accordance with the Contract:
 - a. 95 percent of Work completed (with the balance being retainage)-and

- b. **95** percent of cost of materials and equipment not incorporated in the Work (with the balance being retainage).
- B. Upon Substantial Completion of the entire construction to be provided under the Contract Documents, Owner shall pay an amount sufficient to increase total payments to Contractor to 100 percent of the Work completed, less such amounts set off by Owner pursuant to Paragraph 15.01.E of the General Conditions, and less 200 percent of Engineer's estimate of the value of Work to be completed or corrected as shown on the punch list of items to be completed or corrected prior to final payment.

6.03 Final Payment

- A. Upon final completion and acceptance of the Work in accordance with Paragraph 15.06 of the General Conditions, Owner shall pay the remainder of the Contract Price as recommended by Engineer as provided in said Paragraph 15.06.

ARTICLE 7 – INTEREST

7.01 — ~~All amounts not paid when due shall bear interest at the rate of 12 percent per annum.~~

ARTICLE 8 – CONTRACTOR'S REPRESENTATIONS

- 8.01 In order to induce Owner to enter into this Contract, Contractor makes the following representations:
 - A. Contractor has examined and carefully studied the Contract Documents, and any data and reference items identified in the Contract Documents.
 - B. Contractor has visited the Site, conducted a thorough, alert visual examination of the Site and adjacent areas, and become familiar with and is satisfied as to the general, local, and Site conditions that may affect cost, progress, and performance of the Work.
 - C. Contractor is familiar with and is satisfied as to all Laws and Regulations that may affect cost, progress, and performance of the Work.
 - D. Contractor has considered the information known to Contractor itself; information commonly known to contractors doing business in the locality of the Site; information and observations obtained from visits to the Site; the Contract Documents; and the Site-related reports and drawings identified in the Contract Documents, with respect to the effect of such information, observations, and documents on (1) the cost, progress, and performance of the Work; (2) the means, methods, techniques, sequences, and procedures of construction to be employed by Contractor; and (3) Contractor's safety precautions and programs.
 - E. Based on the information and observations referred to in the preceding paragraph, Contractor agrees that no further examinations, investigations, explorations, tests, studies, or data are necessary for the performance of the Work at the Contract Price, within the Contract Times, and in accordance with the other terms and conditions of the Contract.
 - F. Contractor is aware of the general nature of work to be performed by Owner and others at the Site that relates to the Work as indicated in the Contract Documents.

- G. Contractor has given Engineer written notice of all conflicts, errors, ambiguities, or discrepancies that Contractor has discovered in the Contract Documents, and the written resolution thereof by Engineer is acceptable to Contractor.
- H. The Contract Documents are generally sufficient to indicate and convey understanding of all terms and conditions for performance and furnishing of the Work.
- I. Contractor's entry into this Contract constitutes an incontrovertible representation by Contractor that without exception all prices in the Agreement are premised upon performing and furnishing the Work required by the Contract Documents.

ARTICLE 9 – CONTRACT DOCUMENTS

9.01 Contents

- A. The Contract Documents consist of the following:
 - 1. This Agreement (pages 1 to 78 inclusive).
 - 2. Performance bond (pages 65 to 68 inclusive).
 - 3. Payment bond (pages 69 to 74 inclusive).
 - 4. General Conditions (pages 81 to 150, inclusive).
 - 5. Supplementary Conditions (pages 151 to 167, inclusive).
 - 6. Drawings (not attached but incorporated by reference) with each sheet bearing the following general title: Street Repair Project
 - 7. Addenda (numbers __ to __, inclusive).
 - 8. Exhibits to this Agreement (enumerated as follows):
 - a. Contractor's Bid (pages ___ to ___, inclusive).
 - 9. The following which may be delivered or issued on or after the Effective Date of the Contract and are not attached hereto:
 - a. Notice to Proceed.
 - b. Work Change Directives.
 - c. Change Orders.
 - d. Field Orders.
- B. The documents listed in Paragraph 9.01.A are attached to this Agreement (except as expressly noted otherwise above).
- C. There are no Contract Documents other than those listed above in this Article 9.
- D. The Contract Documents may only be amended, modified, or supplemented as provided in the General Conditions.

ARTICLE 10 – MISCELLANEOUS

10.01 Terms

- A. Terms used in this Agreement will have the meanings stated in the General Conditions and the Supplementary Conditions.

10.02 Assignment of Contract

- A. Unless expressly agreed to elsewhere in the Contract, no assignment by a party hereto of any rights under or interests in the Contract will be binding on another party hereto without the written consent of the party sought to be bound; and, specifically but without limitation, money that may become due and money that is due may not be assigned without such consent (except to the extent that the effect of this restriction may be limited by law), and unless specifically stated to the contrary in any written consent to an assignment, no assignment will release or discharge the assignor from any duty or responsibility under the Contract Documents.

10.03 Successors and Assigns

- A. Owner and Contractor each binds itself, its successors, assigns, and legal representatives to the other party hereto, its successors, assigns, and legal representatives in respect to all covenants, agreements, and obligations contained in the Contract Documents.

10.04 Severability

- A. Any provision or part of the Contract Documents held to be void or unenforceable under any Law or Regulation shall be deemed stricken, and all remaining provisions shall continue to be valid and binding upon Owner and Contractor, who agree that the Contract Documents shall be reformed to replace such stricken provision or part thereof with a valid and enforceable provision that comes as close as possible to expressing the intention of the stricken provision.

10.05 Contractor's Certifications

- A. Contractor certifies that it has not engaged in corrupt, fraudulent, collusive, or coercive practices in competing for or in executing the Contract. For the purposes of this Paragraph 10.05:
 1. "corrupt practice" means the offering, giving, receiving, or soliciting of any thing of value likely to influence the action of a public official in the bidding process or in the Contract execution;
 2. "fraudulent practice" means an intentional misrepresentation of facts made (a) to influence the bidding process or the execution of the Contract to the detriment of Owner, (b) to establish Bid or Contract prices at artificial non-competitive levels, or (c) to deprive Owner of the benefits of free and open competition;
 3. "collusive practice" means a scheme or arrangement between two or more Bidders, with or without the knowledge of Owner, a purpose of which is to establish Bid prices at artificial, non-competitive levels; and
 4. "coercive practice" means harming or threatening to harm, directly or indirectly, persons or their property to influence their participation in the bidding process or affect the execution of the Contract.

10.06 Other Provisions

- A. Owner stipulates that if the General Conditions that are made a part of this Contract are based on EJCDC® C-700, Standard General Conditions for the Construction Contract,

published by the Engineers Joint Contract Documents Committee®, and if Owner is the party that has furnished said General Conditions, then Owner has plainly shown all modifications to the standard wording of such published document to the Contractor, through a process such as highlighting or “track changes” (redline/strikeout), or in the Supplementary Conditions.

IN WITNESS WHEREOF, Owner and Contractor have signed this Agreement.

This Agreement will be effective on _____ (which is the Effective Date of the Contract).

OWNER:

CONTRACTOR:

By: _____

By: _____

Title: _____

Title: _____

(If Contractor is a corporation, a partnership, or a joint venture, attach evidence of authority to sign.)

Attest: _____

Title: _____

Address for giving notices:

Address for giving notices:

City of Selby

PO Box 346

Selby, Sd 57472

License No.: _____

(where applicable)

(If Owner is a corporation, attach evidence of authority to sign. If Owner is a public body, attach evidence of authority to sign and resolution or other documents authorizing execution of this Agreement.)

NOTE TO USER: Use in those states or other jurisdictions where applicable or required.

SECTION 00 55 00 - NOTICE TO PROCEED

| | | | |
|-------------|-----------------------------|-----------------------------|----------|
| Owner: | City of Selby | Owner's Contract No.: | |
| Contractor: | | Engineer's Project No.: | A-10413 |
| Engineer: | Helms & Associates | Contract Name: | Base Bid |
| Project: | Selby Street Repair Project | Effective Date of Contract: | |

TO CONTRACTOR:

Owner hereby notifies Contractor that the Contract Times under the above Contract will commence to run on _____. *[see Paragraph 4.01 of the General Conditions]*

On that date, Contractor shall start performing its obligations under the Contract Documents. No Work shall be done at the Site prior to such date. In accordance with the Agreement, the date of Substantial Completion for the work is October 24, 2026 and the date of readiness for final payment is October 31, 2026.

Before starting any Work at the Site, Contractor must comply with the following:

- 1. Submit the following to Engineer for review and acceptance**
 - a. Progress Schedule,**
 - b. Schedule of Submittals,**
- 2. Attend a Pre-Construction Conference to discuss**
 - a. schedules,**
 - b. procedures for handling Shop Drawings and submittals,**
 - c. procedures for processing Applications for Payment,**
 - d. necessary record-keeping,**
 - e. other matters.**
- 3. Submit Shop Drawings and Samples to Engineer for review and approval.**
- 4. Provide written notice to Engineer regarding Contractor's assigned resident Superintendent, safety representative, traffic control person, and erosion and sediment control person, as applicable.**
- 5. Ensure that Certificates of Insurance for the project contain policies of insurance with effective policy periods that cover the period during which construction activities occur. Provide updated and renewed Certificates of Insurance as necessary.**
- 6. Obtain necessary permits and/or notify applicable permitting authority representatives.**

Owner: _____

Authorized Signature

By: _____

Title: _____

Date Issued: _____

Copy: Engineer

END OF SECTION

SECTION 00 60 00 - PROJECT FORMS

SECTION 00 61 13.13 - PERFORMANCE BOND

CONTRACTOR *(name and address)*:

SURETY *(name and address of principal place of business)*:

OWNER *(name and address)*:

CONSTRUCTION CONTRACT

Effective Date of the Agreement:

Amount:

Description *(name and location)*:

BOND

Bond Number:

Date *(not earlier than the Effective Date of the Agreement of the Construction Contract)*:

Amount:

Modifications to this Bond Form Non See Paragraph 16

Surety and Contractor, intending to be legally bound hereby, subject to the terms set forth below, do each cause this Performance Bond to be duly executed by an authorized officer, agent, or representative.

CONTRACTOR AS PRINCIPAL

SURETY

Contractor's Name and Corporate Seal *(seal)*

Surety's Name and Corporate Seal *(seal)*

By: _____
Signature

By: _____
Signature *(attach power of attorney)*

Print Name

Print Name

Title

Title

Attest: _____
Signature

Attest: _____
Signature

Title

Title

Notes: (1) Provide supplemental execution by any additional parties, such as joint venturers. (2) Any singular reference to Contractor, Surety, Owner, or other party shall be considered plural where applicable.

1. The Contractor and Surety, jointly and severally, bind themselves, their heirs, executors, administrators, successors, and assigns to the Owner for the performance of the Construction Contract, which is incorporated herein by reference.

2. If the Contractor performs the Construction Contract, the Surety and the Contractor shall have no obligation under this Bond, except when applicable to participate in a conference as provided in Paragraph 3.

3. If there is no Owner Default under the Construction Contract, the Surety's obligation under this Bond shall arise after:

3.1 The Owner first provides notice to the Contractor and the Surety that the Owner is considering declaring a Contractor Default. Such notice shall indicate whether the Owner is requesting a conference among the Owner, Contractor, and Surety to discuss the Contractor's performance. If the Owner does not request a conference, the Surety may, within five (5) business days after receipt of the Owner's notice, request such a conference. If the Surety timely requests a conference, the Owner shall attend. Unless the Owner agrees otherwise, any conference requested under this Paragraph 3.1 shall be held within ten (10) business days of the Surety's receipt of the Owner's notice. If the Owner, the Contractor, and the Surety agree, the Contractor shall be allowed a reasonable time to perform the Construction Contract, but such an agreement shall not waive the Owner's right, if any, subsequently to declare a Contractor Default;

3.2 The Owner declares a Contractor Default, terminates the Construction Contract and notifies the Surety; and

3.3 The Owner has agreed to pay the Balance of the Contract Price in accordance with the terms of the Construction Contract to the Surety or to a contractor selected to perform the Construction Contract.

4. Failure on the part of the Owner to comply with the notice requirement in Paragraph 3.1 shall not constitute a failure to comply with a condition precedent to the Surety's obligations, or release the Surety from its obligations, except to the extent the Surety demonstrates actual prejudice.

5. When the Owner has satisfied the conditions of Paragraph 3, the Surety shall promptly and at the Surety's expense take one of the following actions:

5.1 Arrange for the Contractor, with the consent of the Owner, to perform and complete the Construction Contract;

5.2 Undertake to perform and complete the Construction Contract itself, through its agents or independent contractors;

5.3 Obtain bids or negotiated proposals from qualified contractors acceptable to the Owner for a contract for performance and completion of the Construction Contract, arrange for a contract to be prepared for execution by the Owner and a contractor selected with the Owners concurrence, to be secured with performance and payment bonds executed by a qualified surety equivalent to the bonds issued on the Construction Contract, and pay to the Owner the amount of damages as described in Paragraph 7 in excess of the Balance of the Contract Price incurred by the Owner as a result of the Contractor Default; or

5.4 Waive its right to perform and complete, arrange for completion, or obtain a new contractor, and with reasonable promptness under the circumstances:

5.4.1 After investigation, determine the amount for which it may be liable to the Owner and, as soon as practicable after the amount is determined, make payment to the Owner; or

5.4.2 Deny liability in whole or in part and notify the Owner, citing the reasons for denial.

6. If the Surety does not proceed as provided in Paragraph 5 with reasonable promptness, the Surety shall be deemed to be in default on this Bond seven days after receipt of an additional written notice from the Owner to the Surety demanding that the Surety perform its obligations under this Bond, and the Owner shall be entitled to enforce any remedy available to the Owner. If the Surety proceeds as provided in Paragraph 5.4, and the Owner refuses the payment or the Surety has denied liability, in whole or in part, without further notice the Owner shall be entitled to enforce any remedy available to the Owner.

7. If the Surety elects to act under Paragraph 5.1, 5.2, or 5.3, then the responsibilities of the Surety to the Owner shall not be greater than those of the Contractor under the Construction Contract, and the responsibilities of the Owner to the Surety shall not be greater than those of the Owner under the Construction Contract. Subject to the commitment by the Owner to pay the Balance of the Contract Price, the Surety is obligated, without duplication for:

7.1 the responsibilities of the Contractor for correction of defective work and completion of the Construction Contract;

- 7.2 additional legal, design professional, and delay costs resulting from the Contractor's Default, and resulting from the actions or failure to act of the Surety under Paragraph 5; and
- 7.3 liquidated damages, or if no liquidated damages are specified in the Construction Contract, actual damages caused by delayed performance or non-performance of the Contractor.
8. If the Surety elects to act under Paragraph 5.1, 5.3, or 5.4, the Surety's liability is limited to the amount of this Bond.
9. The Surety shall not be liable to the Owner or others for obligations of the Contractor that are unrelated to the Construction Contract, and the Balance of the Contract Price shall not be reduced or set off on account of any such unrelated obligations. No right of action shall accrue on this Bond to any person or entity other than the Owner or its heirs, executors, administrators, successors, and assigns.
10. The Surety hereby waives notice of any change, including changes of time, to the Construction Contract or to related subcontracts, purchase orders, and other obligations.
11. Any proceeding, legal or equitable, under this Bond may be instituted in any court of competent jurisdiction in the location in which the work or part of the work is located and shall be instituted within two years after a declaration of Contractor Default or within two years after the Contractor ceased working or within two years after the Surety refuses or fails to perform its obligations under this Bond, whichever occurs first. If the provisions of this paragraph are void or prohibited by law, the minimum periods of limitations available to sureties as a defense in the jurisdiction of the suit shall be applicable.
12. Notice to the Surety, the Owner, or the Contractor shall be mailed or delivered to the address shown on the page on which their signature appears.
13. When this Bond has been furnished to comply with a statutory or other legal requirement in the location where the construction was to be performed, any provision in this Bond conflicting with said statutory or legal requirement shall be deemed deleted herefrom and provisions conforming to such statutory or other legal requirement shall be deemed incorporated herein. When so furnished, the intent is that this Bond shall be construed as a statutory bond and not as a common law bond.
14. Definitions
- 14.1 Balance of the Contract Price: The total amount payable by the Owner to the Contractor under the Construction Contract after all proper adjustments have been made including allowance for the Contractor for any amounts received or to be received by the Owner in settlement of insurance or other claims for damages to which the Contractor is entitled, reduced by all valid and proper payments made to or on behalf of the Contractor under the Construction Contract.
- 14.2 Construction Contract: The agreement between the Owner and Contractor identified on the cover page, including all Contract Documents and changes made to the agreement and the Contract Documents.
- 14.3 Contractor Default: Failure of the Contractor, which has not been remedied or waived, to perform or otherwise to comply with a material term of the Construction Contract.
- 14.4 Owner Default: Failure of the Owner, which has not been remedied or waived, to pay the Contractor as required under the Construction Contract or to perform and complete or comply with the other material terms of the Construction Contract.
- 14.5 Contract Documents: All the documents that comprise the agreement between the Owner and Contractor.
15. If this Bond is issued for an agreement between a contractor and subcontractor, the term Contractor in this Bond shall be deemed to be Subcontractor and the term Owner shall be deemed to be Contractor.
16. Modifications to this Bond are as follows:

END OF SECTION

SECTION 00 61 13.16 - PAYMENT BOND

CONTRACTOR *(name and address)*:

SURETY *(name and address of principal place of business)*:

OWNER *(name and address)*:

CONSTRUCTION CONTRACT

Effective Date of the Agreement:

Amount:

Description *(name and location)*:

BOND

Bond Number:

Date *(not earlier than the Effective Date of the Agreement of the Construction Contract)*:

Amount:

Modifications to this Bond Form Nor See Paragraph 18

Surety and Contractor, intending to be legally bound hereby, subject to the terms set forth below, do each cause this Payment Bond to be duly executed by an authorized officer, agent, or representative.

CONTRACTOR AS PRINCIPAL

SURETY

 Contractor's Name and Corporate Seal *(seal)*

 Surety's Name and Corporate Seal *(seal)*

By: _____
 Signature

By: _____
 Signature *(attach power of attorney)*

 Print Name

 Print Name

 Title

 Title

Attest: _____
 Signature

Attest: _____
 Signature

 Title

 Title

Notes: (1) Provide supplemental execution by any additional parties, such as joint venturers. (2) Any singular reference to Contractor, Surety, Owner, or other party shall be considered plural where applicable.

1. The Contractor and Surety, jointly and severally, bind themselves, their heirs, executors, administrators, successors, and assigns to the Owner to pay for labor, materials, and equipment furnished for use in the performance of the Construction Contract, which is incorporated herein by reference, subject to the following terms.
2. If the Contractor promptly makes payment of all sums due to Claimants, and defends, indemnifies, and holds harmless the Owner from claims, demands, liens, or suits by any person or entity seeking payment for labor, materials, or equipment furnished for use in the performance of the Construction Contract, then the Surety and the Contractor shall have no obligation under this Bond.
3. If there is no Owner Default under the Construction Contract, the Surety's obligation to the Owner under this Bond shall arise after the Owner has promptly notified the Contractor and the Surety (at the address described in Paragraph 13) of claims, demands, liens, or suits against the Owner or the Owner's property by any person or entity seeking payment for labor, materials, or equipment furnished for use in the performance of the Construction Contract, and tendered defense of such claims, demands, liens, or suits to the Contractor and the Surety.
4. When the Owner has satisfied the conditions in Paragraph 3, the Surety shall promptly and at the Surety's expense defend, indemnify, and hold harmless the Owner against a duly tendered claim, demand, lien, or suit.
5. The Surety's obligations to a Claimant under this Bond shall arise after the following:
 - 5.1 Claimants who do not have a direct contract with the Contractor,
 - 5.1.1 have furnished a written notice of non-payment to the Contractor, stating with substantial accuracy the amount claimed and the name of the party to whom the materials were, or equipment was, furnished or supplied or for whom the labor was done or performed, within ninety (90) days after having last performed labor or last furnished materials or equipment included in the Claim; and
 - 5.1.2 have sent a Claim to the Surety (at the address described in Paragraph 13).
 - 5.2 Claimants who are employed by or have a direct contract with the Contractor have sent a Claim to the Surety (at the address described in Paragraph 13).
6. If a notice of non-payment required by Paragraph 5.1.1 is given by the Owner to the Contractor, that is sufficient to satisfy a Claimant's obligation to furnish a written notice of non-payment under Paragraph 5.1.1.
7. When a Claimant has satisfied the conditions of Paragraph 5.1 or 5.2, whichever is applicable, the Surety shall promptly and at the Surety's expense take the following actions:
 - 7.1 Send an answer to the Claimant, with a copy to the Owner, within sixty (60) days after receipt of the Claim, stating the amounts that are undisputed and the basis for challenging any amounts that are disputed; and
 - 7.2 Pay or arrange for payment of any undisputed amounts.
 - 7.3 The Surety's failure to discharge its obligations under Paragraph 7.1 or 7.2 shall not be deemed to constitute a waiver of defenses the Surety or Contractor may have or acquire as to a Claim, except as to undisputed amounts for which the Surety and Claimant have reached agreement. If, however, the Surety fails to discharge its obligations under Paragraph 7.1 or 7.2, the Surety shall indemnify the Claimant for the reasonable attorney's fees the Claimant incurs thereafter to recover any sums found to be due and owing to the Claimant.
8. The Surety's total obligation shall not exceed the amount of this Bond, plus the amount of reasonable attorney's fees provided under Paragraph 7.3, and the amount of this Bond shall be credited for any payments made in good faith by the Surety.
9. Amounts owed by the Owner to the Contractor under the Construction Contract shall be used for the performance of the Construction Contract and to satisfy claims, if any, under any construction performance bond. By the Contractor furnishing and the Owner accepting this Bond, they agree that all funds earned by the Contractor in the performance of the Construction Contract are dedicated to satisfy obligations of the Contractor and Surety under this Bond, subject to the Owner's priority to use the funds for the completion of the work.
10. The Surety shall not be liable to the Owner, Claimants, or others for obligations of the Contractor that are unrelated to the Construction Contract. The Owner shall not be liable for the payment of any costs or expenses of any Claimant under this Bond, and shall have under this Bond no obligation to make payments to or give notice on behalf of Claimants, or otherwise have any obligations to Claimants under this Bond.
11. The Surety hereby waives notice of any change, including changes of time, to the Construction Contract or to related subcontracts, purchase orders, and other obligations.
12. No suit or action shall be commenced by a Claimant under this Bond other than in a court of competent jurisdiction in the state in which the project that is the subject of the Construction Contract is located or after the expiration of one year from the date (1) on which the Claimant sent a Claim to the Surety pursuant to Paragraph 5.1.2 or 5.2, or (2) on which the last labor or service was performed by anyone or the last materials or equipment were furnished by anyone under the Construction Contract, whichever of (1) or (2) first

occurs. If the provisions of this paragraph are void or prohibited by law, the minimum period of limitation available to sureties as a defense in the jurisdiction of the suit shall be applicable.

13. Notice and Claims to the Surety, the Owner, or the Contractor shall be mailed or delivered to the address shown on the page on which their signature appears. Actual receipt of notice or Claims, however accomplished, shall be sufficient compliance as of the date received.
14. When this Bond has been furnished to comply with a statutory or other legal requirement in the location where the construction was to be performed, any provision in this Bond conflicting with said statutory or legal requirement shall be deemed deleted herefrom and provisions conforming to such statutory or other legal requirement shall be deemed incorporated herein. When so furnished, the intent is that this Bond shall be construed as a statutory bond and not as a common law bond.
15. Upon requests by any person or entity appearing to be a potential beneficiary of this Bond, the Contractor and Owner shall promptly furnish a copy of this Bond or shall permit a copy to be made.

16. Definitions

16.1 **Claim:** A written statement by the Claimant including at a minimum:

1. The name of the Claimant;
2. The name of the person for whom the labor was done, or materials or equipment furnished;
3. A copy of the agreement or purchase order pursuant to which labor, materials, or equipment was furnished for use in the performance of the Construction Contract;
4. A brief description of the labor, materials, or equipment furnished;
5. The date on which the Claimant last performed labor or last furnished materials or equipment for use in the performance of the Construction Contract;
6. The total amount earned by the Claimant for labor, materials, or equipment furnished as of the date of the Claim;
7. The total amount of previous payments received by the Claimant; and
8. The total amount due and unpaid to the Claimant for labor, materials, or equipment furnished as of the date of the Claim.

16.2 **Claimant:** An individual or entity having a direct contract with the Contractor or with a subcontractor of the Contractor to furnish labor, materials, or equipment for use in the performance of the Construction Contract. The term Claimant also includes any individual or entity that has rightfully asserted a claim under an applicable mechanic's lien

or similar statute against the real property upon which the Project is located. The intent of this Bond shall be to include without limitation in the terms of "labor, materials, or equipment" that part of the water, gas, power, light, heat, oil, gasoline, telephone service, or rental equipment used in the Construction Contract, architectural and engineering services required for performance of the work of the Contractor and the Contractor's subcontractors, and all other items for which a mechanic's lien may be asserted in the jurisdiction where the labor, materials, or equipment were furnished.

16.3 **Construction Contract:** The agreement between the Owner and Contractor identified on the cover page, including all Contract Documents and all changes made to the agreement and the Contract Documents.

16.4 **Owner Default:** Failure of the Owner, which has not been remedied or waived, to pay the Contractor as required under the Construction Contract or to perform and complete or comply with the other material terms of the Construction Contract.

16.5 **Contract Documents:** All the documents that comprise the agreement between the Owner and Contractor.

17. If this Bond is issued for an agreement between a contractor and subcontractor, the term Contractor in this Bond shall be deemed to be Subcontractor and the term Owner shall be deemed to be Contractor.

18. Modifications to this Bond are as follows:

END OF SECTION



ENGINEERS JOINT CONTRACT DOCUMENTS COMMITTEE

SECTION 00 62 76 - CONTRACTOR'S APPLICATION FOR PAYMENT NO.

| | | |
|-----------------------|---------------------------|-------------------------|
| | Application Period: | Application Date: |
| | From (Contractor): | Via (Engineer): |
| Project: | Contract: | |
| Owner's Contract No.: | Contractor's Project No.: | Engineer's Project No.: |

Application For Payment Change Order Summary

| Approved Change Orders | Number | Additions | Deductions | | |
|------------------------|--------|-----------|------------|------------------------------------------------------------------|----------|
| | | | | 1. ORIGINAL CONTRACT PRICE | \$ _____ |
| | | | | 2. Net change by Change Orders | \$ _____ |
| | | | | 3. Current Contract Price (Line 1 ± 2) | \$ _____ |
| | | | | 4. TOTAL COMPLETED AND STORED TO DATE | |
| | | | | (Column F total on Progress Estimates) | \$ _____ |
| | | | | 5. RETAINAGE: | |
| | | | | a. X _____ Work Completed | \$ _____ |
| | | | | b. X _____ Stored Material. | \$ _____ |
| | | | | c. Total Retainage (Line 5.a + Line 5.b). | \$ _____ |
| | | | | 6. AMOUNT ELIGIBLE TO DATE (Line 4 - Line 5.c) | \$ _____ |
| | | | | 7. LESS PREVIOUS PAYMENTS (Line 6 from prior Application) | \$ _____ |
| | | | | 8. AMOUNT DUE THIS APPLICATION | \$ _____ |
| | | | | 9. BALANCE TO FINISH, PLUS RETAINAGE | |
| | | | | (Column G total on Progress Estimates + Line 5.c above) | \$ _____ |
| | | | | Payment of: \$ _____ | |
| | | | | (Line 8 or other - attach explanation of the other amount) | |
| | | | | is recommended by: _____ | |
| | | | | (Engineer) | (Date) |
| | | | | Payment of: \$ _____ | |
| | | | | (Line 8 or other - attach explanation of the other amount) | |
| | | | | is approved by: _____ | |
| | | | | (Owner) | (Date) |
| | | | | Approved by: _____ | |
| | | | | Funding or Financing Entity (if applicable) | (Date) |

Contractor's Certification

The undersigned Contractor certifies, to the best of its knowledge, the following:

(1) All previous progress payments received from Owner on account of Work done under the Contract have been applied on account to discharge Contractor's legitimate obligations incurred in connection with the Work covered by prior Applications for Payment;

(2) Title to all Work, materials and equipment incorporated in said Work, or otherwise listed in or covered by this Application for Payment, will pass to Owner at time of payment free and clear of all Liens, security interests, and encumbrances (except such as are covered by a bond acceptable to Owner indemnifying Owner against any such Liens, security interest, or encumbrances); and

(3) All the Work covered by this Application for Payment is in accordance with the Contract Documents and is not defective.

Contractor Signature

| | | |
|-----|--|-------|
| By: | | Date: |
|-----|--|-------|

Progress Estimate - Unit Price Work

Contractor's Application

| | | | | | | | | | | | | |
|---------------------|-------------|------------------|----------------------|------------|-----------------------------|---|------------------------------------|------------------------------------------|---------------------------------------------|-----------------------------------------------------|--------------|------------------------------|
| For (Contract): | | | | | | | Application Number: | | | | | |
| Application Period: | | | | | | | Application Date: | | | | | |
| A | | | | | | B | C | D | E | F | | |
| Item | | | Contract Information | | | | Estimated Quantity Installed | Value of Work Installed to Date | Materials Presently Stored (not in C) | Total Completed and Stored to Date (D + E) | % (F / B) | Balance to Finish (B - F) |
| Bid Item No. | Description | Item Quantity | Units | Unit Price | Total Value of Item (\$) | | | | | | | |
| | | | | | | | | | | | | |
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| Totals | | | | | | | | | | | | |

END OF SECTION

SECTION 00 63 63 - WORK CHANGE ORDER DIRECTIVE

Work Change Directive No.

Date of Issuance: _____ Effective Date: _____
 Owner: _____ Owner's Contract No.: _____
 Contractor: _____ Contractor's Project No.: _____
 Engineer: _____ Engineer's Project No.: _____
 Project: _____ Contract Name: _____

Contractor is directed to proceed promptly with the following change(s):

Description:

Attachments: *[List documents supporting change]*

Purpose for Work Change Directive:

Directive to proceed promptly with the Work described herein, prior to agreeing to changes on Contract Price and Contract Time, is issued due to: *[check one or both of the following]*

- Non-agreement on pricing of proposed change.
 Necessity to proceed for schedule or other Project reasons.

Estimated Change in Contract Price and Contract Times (non-binding, preliminary):

Contract Price \$ _____ [increase] [decrease].
 Contract Time _____ days [increase] [decrease].

Basis of estimated change in Contract Price:

- Lump Sum Unit Price
 Cost of the Work Other

RECOMMENDED:

AUTHORIZED BY:

RECEIVED:

| | | |
|----------------------------------------------|-------------------------------------------|------------------------------------------------|
| By: _____ Engineer (Authorized Signature) | By: _____ Owner (Authorized Signature) | By: _____ Contractor (Authorized Signature) |
| Title: _____ | Title: _____ | Title: _____ |
| Date: _____ | Date: _____ | Date: _____ |

Approved by Funding Agency (if applicable)

By: _____ Date: _____

Title: _____

END OF SECTION

SECTION 00 65 16 - CERTIFICATE OF SUBSTANTIAL COMPLETION

| | |
|--------------------------------------|---------------------------------|
| Owner: City of Selby | Owner's Contract No.: |
| Contractor: | Contractor's Project No.: |
| Engineer: Helms & Associates | Engineer's Project No.: A-10413 |
| Project: Selby Street Repair Project | Contract Name: Base Bid |

This final Certificate of Substantial Completion applies to:

All Work The following specified portions of the Work:

Date of Substantial Completion

The Work to which this Certificate applies has been inspected by authorized representatives of Owner, Contractor, and Engineer, and found to be substantially complete. The Date of Substantial Completion of the Work or portion thereof designated above is hereby established, subject to the provisions of the Contract pertaining to Substantial Completion. The date of Substantial Completion in the final Certificate of Substantial Completion marks the commencement of the contractual correction period and applicable warranties required by the Contract.

A punch list of items to be completed or corrected is attached to this Certificate. This list may not be all-inclusive, and the failure to include any items on such list does not alter the responsibility of the Contractor to complete all Work in accordance with the Contract.

The responsibilities between Owner and Contractor for security, operation, safety, maintenance, heat, utilities, insurance, and warranties upon Owner's use or occupancy of the Work shall be as provided in the Contract, except as amended as follows: *[Note: Amendments of contractual responsibilities recorded in this Certificate should be the product of mutual agreement of Owner and Contractor; see Paragraph 15.03.D of the General Conditions.]*

Amendments to Owner's responsibilities: None As follows

Amendments to Contractor's responsibilities: None As follows:

The following documents are attached to and made a part of this Certificate: *[punch list; others]*

This Certificate does not constitute an acceptance of Work not in accordance with the Contract Documents, nor is it a release of Contractor's obligation to complete the Work in accordance with the Contract.

| | | |
|---------------------------------------|-------------------------------------------|-------------------------------------|
| EXECUTED BY ENGINEER: | RECEIVED: | RECEIVED: |
| By: _____ (Authorized signature) | By: _____ Owner (Authorized Signature) | By: _____ Contractor (Authorized |
| Title: Associate @ Helms & Associates | Title: _____ | Title: _____ |
| Date: _____ | Date: _____ | Date: _____ |

END OF SECTION

SECTION 00 70 00 - GENERAL CONDITIONS

SECTION 00 72 00 - STANDARD GENERAL CONDITIONS OF THE CONSTRUCTION CONTRACT

Prepared by



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STANDARD GENERAL CONDITIONS OF THE CONSTRUCTION CONTRACT

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ARTICLE 1 – DEFINITIONS AND TERMINOLOGY

1.01 *Defined Terms*

- A. Wherever used in the Bidding Requirements or Contract Documents, a term printed with initial capital letters, including the term's singular and plural forms, will have the meaning indicated in the definitions below. In addition to terms specifically defined, terms with initial capital letters in the Contract Documents include references to identified articles and paragraphs, and the titles of other documents or forms.
1. *Addenda*—Written or graphic instruments issued prior to the opening of Bids which clarify, correct, or change the Bidding Requirements or the proposed Contract Documents.
 2. *Agreement*—The written instrument, executed by Owner and Contractor, that sets forth the Contract Price and Contract Times, identifies the parties and the Engineer, and designates the specific items that are Contract Documents.
 3. *Application for Payment*—The form acceptable to Engineer which is to be used by Contractor during the course of the Work in requesting progress or final payments and which is to be accompanied by such supporting documentation as is required by the Contract Documents.
 4. *Bid*—The offer of a Bidder submitted on the prescribed form setting forth the prices for the Work to be performed.
 5. *Bidder*—An individual or entity that submits a Bid to Owner.
 6. *Bidding Documents*—The Bidding Requirements, the proposed Contract Documents, and all Addenda.
 7. *Bidding Requirements*—The advertisement or invitation to bid, Instructions to Bidders, Bid Bond or other Bid security, if any, the Bid Form, and the Bid with any attachments.
 8. *Change Order*—A document which is signed by Contractor and Owner and authorizes an addition, deletion, or revision in the Work or an adjustment in the Contract Price or the Contract Times, or other revision to the Contract, issued on or after the Effective Date of the Contract.
 9. *Change Proposal*—A written request by Contractor, duly submitted in compliance with the procedural requirements set forth herein, seeking an adjustment in Contract Price or Contract Times, or both; contesting an initial decision by Engineer concerning the requirements of the Contract Documents or the acceptability of Work under the Contract Documents; challenging a set-off against payments due; or seeking other relief with respect to the terms of the Contract.
 10. *Claim*—(a) A demand or assertion by Owner directly to Contractor, duly submitted in compliance with the procedural requirements set forth herein: seeking an adjustment of Contract Price or Contract Times, or both; contesting an initial decision by Engineer concerning the requirements of the Contract Documents or the acceptability of Work under the Contract Documents; contesting Engineer's decision regarding a Change Proposal; seeking resolution of a contractual issue that Engineer has declined to address; or seeking other relief with respect to the terms of the Contract; or (b) a demand or assertion by Contractor directly to Owner, duly submitted in compliance with the procedural requirements set forth herein, contesting Engineer's decision regarding a Change Proposal;

or seeking resolution of a contractual issue that Engineer has declined to address. A demand for money or services by a third party is not a Claim.

11. *Constituent of Concern*—Asbestos, petroleum, radioactive materials, polychlorinated biphenyls (PCBs), hazardous waste, and any substance, product, waste, or other material of any nature whatsoever that is or becomes listed, regulated, or addressed pursuant to (a) the Comprehensive Environmental Response, Compensation and Liability Act, 42 U.S.C. §§9601 et seq. (“CERCLA”); (b) the Hazardous Materials Transportation Act, 49 U.S.C. §§5501 et seq.; (c) the Resource Conservation and Recovery Act, 42 U.S.C. §§6901 et seq. (“RCRA”); (d) the Toxic Substances Control Act, 15 U.S.C. §§2601 et seq.; (e) the Clean Water Act, 33 U.S.C. §§1251 et seq.; (f) the Clean Air Act, 42 U.S.C. §§7401 et seq.; or (g) any other federal, state, or local statute, law, rule, regulation, ordinance, resolution, code, order, or decree regulating, relating to, or imposing liability or standards of conduct concerning, any hazardous, toxic, or dangerous waste, substance, or material.
12. *Contract*—The entire and integrated written contract between the Owner and Contractor concerning the Work.
13. *Contract Documents*—Those items so designated in the Agreement, and which together comprise the Contract.
14. *Contract Price*—The money that Owner has agreed to pay Contractor for completion of the Work in accordance with the Contract Documents. .
15. *Contract Times*—The number of days or the dates by which Contractor shall: (a) achieve Milestones, if any; (b) achieve Substantial Completion; and (c) complete the Work.
16. *Contractor*—The individual or entity with which Owner has contracted for performance of the Work.
17. *Cost of the Work*—See Paragraph 13.01 for definition.
18. *Drawings*—The part of the Contract that graphically shows the scope, extent, and character of the Work to be performed by Contractor.
19. *Effective Date of the Contract*—The date, indicated in the Agreement, on which the Contract becomes effective.
20. *Engineer*—The individual or entity named as such in the Agreement.
21. *Field Order*—A written order issued by Engineer which requires minor changes in the Work but does not change the Contract Price or the Contract Times.
22. *Hazardous Environmental Condition*—The presence at the Site of Constituents of Concern in such quantities or circumstances that may present a danger to persons or property exposed thereto. The presence at the Site of materials that are necessary for the execution of the Work, or that are to be incorporated in the Work, and that are controlled and contained pursuant to industry practices, Laws and Regulations, and the requirements of the Contract, does not establish a Hazardous Environmental Condition.
23. *Laws and Regulations; Laws or Regulations*—Any and all applicable laws, statutes, rules, regulations, ordinances, codes, and orders of any and all governmental bodies, agencies, authorities, and courts having jurisdiction.
24. *Liens*—Charges, security interests, or encumbrances upon Contract-related funds, real property, or personal property.

25. *Milestone*—A principal event in the performance of the Work that the Contract requires Contractor to achieve by an intermediate completion date or by a time prior to Substantial Completion of all the Work.
26. *Notice of Award*—The written notice by Owner to a Bidder of Owner’s acceptance of the Bid.
27. *Notice to Proceed*—A written notice by Owner to Contractor fixing the date on which the Contract Times will commence to run and on which Contractor shall start to perform the Work.
28. *Owner*—The individual or entity with which Contractor has contracted regarding the Work, and which has agreed to pay Contractor for the performance of the Work, pursuant to the terms of the Contract.
29. *Progress Schedule*—A schedule, prepared and maintained by Contractor, describing the sequence and duration of the activities comprising the Contractor’s plan to accomplish the Work within the Contract Times.
30. *Project*—The total undertaking to be accomplished for Owner by engineers, contractors, and others, including planning, study, design, construction, testing, commissioning, and start-up, and of which the Work to be performed under the Contract Documents is a part.
31. *Project Manual*—The written documents prepared for, or made available for, procuring and constructing the Work, including but not limited to the Bidding Documents or other construction procurement documents, geotechnical and existing conditions information, the Agreement, bond forms, General Conditions, Supplementary Conditions, and Specifications. The contents of the Project Manual may be bound in one or more volumes.
32. *Resident Project Representative*—The authorized representative of Engineer assigned to assist Engineer at the Site. As used herein, the term Resident Project Representative or “RPR” includes any assistants or field staff of Resident Project Representative.
33. *Samples*—Physical examples of materials, equipment, or workmanship that are representative of some portion of the Work and that establish the standards by which such portion of the Work will be judged.
34. *Schedule of Submittals*—A schedule, prepared and maintained by Contractor, of required submittals and the time requirements for Engineer’s review of the submittals and the performance of related construction activities.
35. *Schedule of Values*—A schedule, prepared and maintained by Contractor, allocating portions of the Contract Price to various portions of the Work and used as the basis for reviewing Contractor’s Applications for Payment.
36. *Shop Drawings*—All drawings, diagrams, illustrations, schedules, and other data or information that are specifically prepared or assembled by or for Contractor and submitted by Contractor to illustrate some portion of the Work. Shop Drawings, whether approved or not, are not Drawings and are not Contract Documents.
37. *Site*—Lands or areas indicated in the Contract Documents as being furnished by Owner upon which the Work is to be performed, including rights-of-way and easements, and such other lands furnished by Owner which are designated for the use of Contractor.

38. *Specifications*—The part of the Contract that consists of written requirements for materials, equipment, systems, standards, and workmanship as applied to the Work, and certain administrative requirements and procedural matters applicable to the Work.
39. *Subcontractor*—An individual or entity having a direct contract with Contractor or with any other Subcontractor for the performance of a part of the Work.
40. *Substantial Completion*—The time at which the Work (or a specified part thereof) has progressed to the point where, in the opinion of Engineer, the Work (or a specified part thereof) is sufficiently complete, in accordance with the Contract Documents, so that the Work (or a specified part thereof) can be utilized for the purposes for which it is intended. The terms “substantially complete” and “substantially completed” as applied to all or part of the Work refer to Substantial Completion thereof.
41. *Successful Bidder*—The Bidder whose Bid the Owner accepts, and to which the Owner makes an award of contract, subject to stated conditions.
42. *Supplementary Conditions*—The part of the Contract that amends or supplements these General Conditions.
43. *Supplier*—A manufacturer, fabricator, supplier, distributor, materialman, or vendor having a direct contract with Contractor or with any Subcontractor to furnish materials or equipment to be incorporated in the Work by Contractor or a Subcontractor.
44. *Technical Data*—Those items expressly identified as Technical Data in the Supplementary Conditions, with respect to either (a) subsurface conditions at the Site, or physical conditions relating to existing surface or subsurface structures at the Site (except Underground Facilities) or (b) Hazardous Environmental Conditions at the Site. If no such express identifications of Technical Data have been made with respect to conditions at the Site, then the data contained in boring logs, recorded measurements of subsurface water levels, laboratory test results, and other factual, objective information regarding conditions at the Site that are set forth in any geotechnical or environmental report prepared for the Project and made available to Contractor are hereby defined as Technical Data with respect to conditions at the Site under Paragraphs 5.03, 5.04, and 5.06.
45. *Underground Facilities*—All underground pipelines, conduits, ducts, cables, wires, manholes, vaults, tanks, tunnels, or other such facilities or attachments, and any encasements containing such facilities, including but not limited to those that convey electricity, gases, steam, liquid petroleum products, telephone or other communications, fiber optic transmissions, cable television, water, wastewater, storm water, other liquids or chemicals, or traffic or other control systems.
46. *Unit Price Work*—Work to be paid for on the basis of unit prices.
47. *Work*—The entire construction or the various separately identifiable parts thereof required to be provided under the Contract Documents. Work includes and is the result of performing or providing all labor, services, and documentation necessary to produce such construction; furnishing, installing, and incorporating all materials and equipment into such construction; and may include related services such as testing, start-up, and commissioning, all as required by the Contract Documents.
48. *Work Change Directive*—A written directive to Contractor issued on or after the Effective Date of the Contract, signed by Owner and recommended by Engineer, ordering an addition, deletion, or revision in the Work.

1.02 *Terminology*

- A. The words and terms discussed in the following paragraphs are not defined but, when used in the Bidding Requirements or Contract Documents, have the indicated meaning.
- B. Intent of Certain Terms or Adjectives:
1. The Contract Documents include the terms “as allowed,” “as approved,” “as ordered,” “as directed” or terms of like effect or import to authorize an exercise of professional judgment by Engineer. In addition, the adjectives “reasonable,” “suitable,” “acceptable,” “proper,” “satisfactory,” or adjectives of like effect or import are used to describe an action or determination of Engineer as to the Work. It is intended that such exercise of professional judgment, action, or determination will be solely to evaluate, in general, the Work for compliance with the information in the Contract Documents and with the design concept of the Project as a functioning whole as shown or indicated in the Contract Documents (unless there is a specific statement indicating otherwise). The use of any such term or adjective is not intended to and shall not be effective to assign to Engineer any duty or authority to supervise or direct the performance of the Work, or any duty or authority to undertake responsibility contrary to the provisions of Article 10 or any other provision of the Contract Documents.
- C. Day:
1. The word “day” means a calendar day of 24 hours measured from midnight to the next midnight.
- D. Defective:
1. The word “defective,” when modifying the word “Work,” refers to Work that is unsatisfactory, faulty, or deficient in that it:
 - a. does not conform to the Contract Documents; or
 - b. does not meet the requirements of any applicable inspection, reference standard, test, or approval referred to in the Contract Documents; or
 - c. has been damaged prior to Engineer’s recommendation of final payment (unless responsibility for the protection thereof has been assumed by Owner at Substantial Completion in accordance with Paragraph 15.03 or 15.04).
- E. Furnish, Install, Perform, Provide:
1. The word “furnish,” when used in connection with services, materials, or equipment, shall mean to supply and deliver said services, materials, or equipment to the Site (or some other specified location) ready for use or installation and in usable or operable condition.
 2. The word “install,” when used in connection with services, materials, or equipment, shall mean to put into use or place in final position said services, materials, or equipment complete and ready for intended use.
 3. The words “perform” or “provide,” when used in connection with services, materials, or equipment, shall mean to furnish and install said services, materials, or equipment complete and ready for intended use.
 4. If the Contract Documents establish an obligation of Contractor with respect to specific services, materials, or equipment, but do not expressly use any of the four words “furnish,”

“install,” “perform,” or “provide,” then Contractor shall furnish and install said services, materials, or equipment complete and ready for intended use.

- F. Unless stated otherwise in the Contract Documents, words or phrases that have a well-known technical or construction industry or trade meaning are used in the Contract Documents in accordance with such recognized meaning.

ARTICLE 2 – PRELIMINARY MATTERS

2.01 *Delivery of Bonds and Evidence of Insurance*

- A. *Bonds*: When Contractor delivers the executed counterparts of the Agreement to Owner, Contractor shall also deliver to Owner such bonds as Contractor may be required to furnish.
- B. *Evidence of Contractor’s Insurance*: When Contractor delivers the executed counterparts of the Agreement to Owner, Contractor shall also deliver to Owner, with copies to each named insured and additional insured (as identified in the Supplementary Conditions or elsewhere in the Contract), the certificates and other evidence of insurance required to be provided by Contractor in accordance with Article 6.
- C. *Evidence of Owner’s Insurance*: After receipt of the executed counterparts of the Agreement and all required bonds and insurance documentation, Owner shall promptly deliver to Contractor, with copies to each named insured and additional insured (as identified in the Supplementary Conditions or otherwise), the certificates and other evidence of insurance required to be provided by Owner under Article 6.

2.02 *Copies of Documents*

- A. Owner shall furnish to Contractor four printed copies of the Contract (including one fully executed counterpart of the Agreement), and one copy in electronic portable document format (PDF). Additional printed copies will be furnished upon request at the cost of reproduction.
- B. Owner shall maintain and safeguard at least one original printed record version of the Contract, including Drawings and Specifications signed and sealed by Engineer and other design professionals. Owner shall make such original printed record version of the Contract available to Contractor for review. Owner may delegate the responsibilities under this provision to Engineer.

2.03 *Before Starting Construction*

- A. *Preliminary Schedules*: Within 10 days after the Effective Date of the Contract (or as otherwise specifically required by the Contract Documents), Contractor shall submit to Engineer for timely review:
1. a preliminary Progress Schedule indicating the times (numbers of days or dates) for starting and completing the various stages of the Work, including any Milestones specified in the Contract;
 2. a preliminary Schedule of Submittals; and
 3. a preliminary Schedule of Values for all of the Work which includes quantities and prices of items which when added together equal the Contract Price and subdivides the Work into component parts in sufficient detail to serve as the basis for progress payments during performance of the Work. Such prices will include an appropriate amount of overhead and profit applicable to each item of Work.

2.04 *Preconstruction Conference; Designation of Authorized Representatives*

- A. Before any Work at the Site is started, a conference attended by Owner, Contractor, Engineer, and others as appropriate will be held to establish a working understanding among the parties as to the Work and to discuss the schedules referred to in Paragraph 2.03.A, procedures for handling Shop Drawings, Samples, and other submittals, processing Applications for Payment, electronic or digital transmittals, and maintaining required records.
- B. At this conference Owner and Contractor each shall designate, in writing, a specific individual to act as its authorized representative with respect to the services and responsibilities under the Contract. Such individuals shall have the authority to transmit and receive information, render decisions relative to the Contract, and otherwise act on behalf of each respective party.

2.05 *Initial Acceptance of Schedules*

- A. At least 10 days before submission of the first Application for Payment a conference, attended by Contractor, Engineer, and others as appropriate, will be held to review for acceptability to Engineer as provided below the schedules submitted in accordance with Paragraph 2.03.A. Contractor shall have an additional 10 days to make corrections and adjustments and to complete and resubmit the schedules. No progress payment shall be made to Contractor until acceptable schedules are submitted to Engineer.
 - 1. The Progress Schedule will be acceptable to Engineer if it provides an orderly progression of the Work to completion within the Contract Times. Such acceptance will not impose on Engineer responsibility for the Progress Schedule, for sequencing, scheduling, or progress of the Work, nor interfere with or relieve Contractor from Contractor's full responsibility therefor.
 - 2. Contractor's Schedule of Submittals will be acceptable to Engineer if it provides a workable arrangement for reviewing and processing the required submittals.
 - 3. Contractor's Schedule of Values will be acceptable to Engineer as to form and substance if it provides a reasonable allocation of the Contract Price to the component parts of the Work.

2.06 *Electronic Transmittals*

- A. Except as otherwise stated elsewhere in the Contract, the Owner, Engineer, and Contractor may transmit, and shall accept, Project-related correspondence, text, data, documents, drawings, information, and graphics, including but not limited to Shop Drawings and other submittals, in electronic media or digital format, either directly, or through access to a secure Project website.
- B. If the Contract does not establish protocols for electronic or digital transmittals, then Owner, Engineer, and Contractor shall jointly develop such protocols.
- C. When transmitting items in electronic media or digital format, the transmitting party makes no representations as to long term compatibility, usability, or readability of the items resulting from the recipient's use of software application packages, operating systems, or computer hardware differing from those used in the drafting or transmittal of the items, or from those established in applicable transmittal protocols.

ARTICLE 3 – DOCUMENTS: INTENT, REQUIREMENTS, REUSE

3.01 *Intent*

- A. The Contract Documents are complementary; what is required by one is as binding as if required by all.
- B. It is the intent of the Contract Documents to describe a functionally complete project (or part thereof) to be constructed in accordance with the Contract Documents.
- C. Unless otherwise stated in the Contract Documents, if there is a discrepancy between the electronic or digital versions of the Contract Documents (including any printed copies derived from such electronic or digital versions) and the printed record version, the printed record version shall govern.
- D. The Contract supersedes prior negotiations, representations, and agreements, whether written or oral.
- E. Engineer will issue clarifications and interpretations of the Contract Documents as provided herein.

3.02 *Reference Standards*

- A. Standards Specifications, Codes, Laws and Regulations
 1. Reference in the Contract Documents to standard specifications, manuals, reference standards, or codes of any technical society, organization, or association, or to Laws or Regulations, whether such reference be specific or by implication, shall mean the standard specification, manual, reference standard, code, or Laws or Regulations in effect at the time of opening of Bids (or on the Effective Date of the Contract if there were no Bids), except as may be otherwise specifically stated in the Contract Documents.
 2. No provision of any such standard specification, manual, reference standard, or code, or any instruction of a Supplier, shall be effective to change the duties or responsibilities of Owner, Contractor, or Engineer, or any of their subcontractors, consultants, agents, or employees, from those set forth in the part of the Contract Documents prepared by or for Engineer. No such provision or instruction shall be effective to assign to Owner, Engineer, or any of their officers, directors, members, partners, employees, agents, consultants, or subcontractors, any duty or authority to supervise or direct the performance of the Work or any duty or authority to undertake responsibility inconsistent with the provisions of the part of the Contract Documents prepared by or for Engineer.

3.03 *Reporting and Resolving Discrepancies*

- A. Reporting Discrepancies:
 1. *Contractor's Verification of Figures and Field Measurements:* Before undertaking each part of the Work, Contractor shall carefully study the Contract Documents, and check and verify pertinent figures and dimensions therein, particularly with respect to applicable field measurements. Contractor shall promptly report in writing to Engineer any conflict, error, ambiguity, or discrepancy that Contractor discovers, or has actual knowledge of, and shall not proceed with any Work affected thereby until the conflict, error, ambiguity, or discrepancy is resolved, by a clarification or interpretation by Engineer, or by an amendment or supplement to the Contract Documents issued pursuant to Paragraph 11.01.

2. *Contractor's Review of Contract Documents*: If, before or during the performance of the Work, Contractor discovers any conflict, error, ambiguity, or discrepancy within the Contract Documents, or between the Contract Documents and (a) any applicable Law or Regulation, (b) actual field conditions, (c) any standard specification, manual, reference standard, or code, or (d) any instruction of any Supplier, then Contractor shall promptly report it to Engineer in writing. Contractor shall not proceed with the Work affected thereby (except in an emergency as required by Paragraph 7.15) until the conflict, error, ambiguity, or discrepancy is resolved, by a clarification or interpretation by Engineer, or by an amendment or supplement to the Contract Documents issued pursuant to Paragraph 11.01.
3. Contractor shall not be liable to Owner or Engineer for failure to report any conflict, error, ambiguity, or discrepancy in the Contract Documents unless Contractor had actual knowledge thereof.

B. Resolving Discrepancies:

1. Except as may be otherwise specifically stated in the Contract Documents, the provisions of the part of the Contract Documents prepared by or for Engineer shall take precedence in resolving any conflict, error, ambiguity, or discrepancy between such provisions of the Contract Documents and:
 - a. the provisions of any standard specification, manual, reference standard, or code, or the instruction of any Supplier (whether or not specifically incorporated by reference as a Contract Document); or
 - 1) the provisions of any Laws or Regulations applicable to the performance of the Work (unless such an interpretation of the provisions of the Contract Documents would result in violation of such Law or Regulation).

3.04 *Requirements of the Contract Documents*

- A. During the performance of the Work and until final payment, Contractor and Owner shall submit to the Engineer all matters in question concerning the requirements of the Contract Documents (sometimes referred to as requests for information or interpretation—RFIs), or relating to the acceptability of the Work under the Contract Documents, as soon as possible after such matters arise. Engineer will be the initial interpreter of the requirements of the Contract Documents, and judge of the acceptability of the Work thereunder.
- B. Engineer will, with reasonable promptness, render a written clarification, interpretation, or decision on the issue submitted, or initiate an amendment or supplement to the Contract Documents. Engineer's written clarification, interpretation, or decision will be final and binding on Contractor, unless it appeals by submitting a Change Proposal, and on Owner, unless it appeals by filing a Claim.
- C. If a submitted matter in question concerns terms and conditions of the Contract Documents that do not involve (1) the performance or acceptability of the Work under the Contract Documents, (2) the design (as set forth in the Drawings, Specifications, or otherwise), or (3) other engineering or technical matters, then Engineer will promptly give written notice to Owner and Contractor that Engineer is unable to provide a decision or interpretation. If Owner and Contractor are unable to agree on resolution of such a matter in question, either party may pursue resolution as provided in Article 12.

3.05 *Reuse of Documents*

- A. Contractor and its Subcontractors and Suppliers shall not:

1. have or acquire any title to or ownership rights in any of the Drawings, Specifications, or other documents (or copies of any thereof) prepared by or bearing the seal of Engineer or its consultants, including electronic media editions, or reuse any such Drawings, Specifications, other documents, or copies thereof on extensions of the Project or any other project without written consent of Owner and Engineer and specific written verification or adaptation by Engineer; or
 2. have or acquire any title or ownership rights in any other Contract Documents, reuse any such Contract Documents for any purpose without Owner's express written consent, or violate any copyrights pertaining to such Contract Documents.
- B. The prohibitions of this Paragraph 3.05 will survive final payment, or termination of the Contract. Nothing herein shall preclude Contractor from retaining copies of the Contract Documents for record purposes.

ARTICLE 4 – COMMENCEMENT AND PROGRESS OF THE WORK

4.01 Commencement of Contract Times; Notice to Proceed

- A. The Contract Times will commence to run on the thirtieth day after the Effective Date of the Contract or, if a Notice to Proceed is given, on the day indicated in the Notice to Proceed. A Notice to Proceed may be given at any time within 30 days after the Effective Date of the Contract. In no event will the Contract Times commence to run later than the sixtieth day after the day of Bid opening or the thirtieth day after the Effective Date of the Contract, whichever date is earlier.

4.02 Starting the Work

- A. Contractor shall start to perform the Work on the date when the Contract Times commence to run. No Work shall be done at the Site prior to such date.

4.03 Reference Points

- A. Owner shall provide engineering surveys to establish reference points for construction which in Engineer's judgment are necessary to enable Contractor to proceed with the Work. Contractor shall be responsible for laying out the Work, shall protect and preserve the established reference points and property monuments, and shall make no changes or relocations without the prior written approval of Owner. Contractor shall report to Engineer whenever any reference point or property monument is lost or destroyed or requires relocation because of necessary changes in grades or locations, and shall be responsible for the accurate replacement or relocation of such reference points or property monuments by professionally qualified personnel.

4.04 Progress Schedule

- A. Contractor shall adhere to the Progress Schedule established in accordance with Paragraph 2.05 as it may be adjusted from time to time as provided below.
1. Contractor shall submit to Engineer for acceptance (to the extent indicated in Paragraph 2.05) proposed adjustments in the Progress Schedule that will not result in changing the Contract Times.
 2. Proposed adjustments in the Progress Schedule that will change the Contract Times shall be submitted in accordance with the requirements of Article 11.

- B. Contractor shall carry on the Work and adhere to the Progress Schedule during all disputes or disagreements with Owner. No Work shall be delayed or postponed pending resolution of any disputes or disagreements, or during any appeal process, except as permitted by Paragraph 16.04, or as Owner and Contractor may otherwise agree in writing.

4.05 *Delays in Contractor's Progress*

- A. If Owner, Engineer, or anyone for whom Owner is responsible, delays, disrupts, or interferes with the performance or progress of the Work, then Contractor shall be entitled to an equitable adjustment in the Contract Times and Contract Price. Contractor's entitlement to an adjustment of the Contract Times is conditioned on such adjustment being essential to Contractor's ability to complete the Work within the Contract Times.
- B. Contractor shall not be entitled to an adjustment in Contract Price or Contract Times for delay, disruption, or interference caused by or within the control of Contractor. Delay, disruption, and interference attributable to and within the control of a Subcontractor or Supplier shall be deemed to be within the control of Contractor.
- C. If Contractor's performance or progress is delayed, disrupted, or interfered with by unanticipated causes not the fault of and beyond the control of Owner, Contractor, and those for which they are responsible, then Contractor shall be entitled to an equitable adjustment in Contract Times. Contractor's entitlement to an adjustment of the Contract Times is conditioned on such adjustment being essential to Contractor's ability to complete the Work within the Contract Times. Such an adjustment shall be Contractor's sole and exclusive remedy for the delays, disruption, and interference described in this paragraph. Causes of delay, disruption, or interference that may give rise to an adjustment in Contract Times under this paragraph include but are not limited to the following:
 - 1. severe and unavoidable natural catastrophes such as fires, floods, epidemics, and earthquakes;
 - 2. abnormal weather conditions;
 - 3. acts or failures to act of utility owners (other than those performing other work at or adjacent to the Site by arrangement with the Owner, as contemplated in Article 8); and
 - 4. acts of war or terrorism.
- D. Delays, disruption, and interference to the performance or progress of the Work resulting from the existence of a differing subsurface or physical condition, an Underground Facility that was not shown or indicated by the Contract Documents, or not shown or indicated with reasonable accuracy, and those resulting from Hazardous Environmental Conditions, are governed by Article 5.
- E. Paragraph 8.03 governs delays, disruption, and interference to the performance or progress of the Work resulting from the performance of certain other work at or adjacent to the Site.
- F. Contractor shall not be entitled to an adjustment in Contract Price or Contract Times for any delay, disruption, or interference if such delay is concurrent with a delay, disruption, or interference caused by or within the control of Contractor.
- G. Contractor must submit any Change Proposal seeking an adjustment in Contract Price or Contract Times under this paragraph within 30 days of the commencement of the delaying, disrupting, or interfering event.

ARTICLE 5 – AVAILABILITY OF LANDS; SUBSURFACE AND PHYSICAL CONDITIONS; HAZARDOUS ENVIRONMENTAL CONDITIONS

5.01 *Availability of Lands*

- A. Owner shall furnish the Site. Owner shall notify Contractor of any encumbrances or restrictions not of general application but specifically related to use of the Site with which Contractor must comply in performing the Work.
- B. Upon reasonable written request, Owner shall furnish Contractor with a current statement of record legal title and legal description of the lands upon which permanent improvements are to be made and Owner's interest therein as necessary for giving notice of or filing a mechanic's or construction lien against such lands in accordance with applicable Laws and Regulations.
- C. Contractor shall provide for all additional lands and access thereto that may be required for temporary construction facilities or storage of materials and equipment.

5.02 *Use of Site and Other Areas*

- A. **Limitation on Use of Site and Other Areas:**
 1. Contractor shall confine construction equipment, temporary construction facilities, the storage of materials and equipment, and the operations of workers to the Site, adjacent areas that Contractor has arranged to use through construction easements or otherwise, and other adjacent areas permitted by Laws and Regulations, and shall not unreasonably encumber the Site and such other adjacent areas with construction equipment or other materials or equipment. Contractor shall assume full responsibility for (a) damage to the Site; (b) damage to any such other adjacent areas used for Contractor's operations; (c) damage to any other adjacent land or areas; and (d) for injuries and losses sustained by the owners or occupants of any such land or areas; provided that such damage or injuries result from the performance of the Work or from other actions or conduct of the Contractor or those for which Contractor is responsible.
 2. If a damage or injury claim is made by the owner or occupant of any such land or area because of the performance of the Work, or because of other actions or conduct of the Contractor or those for which Contractor is responsible, Contractor shall (a) take immediate corrective or remedial action as required by Paragraph 7.12, or otherwise; (b) promptly attempt to settle the claim as to all parties through negotiations with such owner or occupant, or otherwise resolve the claim by arbitration or other dispute resolution proceeding, or at law; and (c) to the fullest extent permitted by Laws and Regulations, indemnify and hold harmless Owner and Engineer, and the officers, directors, members, partners, employees, agents, consultants and subcontractors of each and any of them from and against any such claim, and against all costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to any claim or action, legal or equitable, brought by any such owner or occupant against Owner, Engineer, or any other party indemnified hereunder to the extent caused directly or indirectly, in whole or in part by, or based upon, Contractor's performance of the Work, or because of other actions or conduct of the Contractor or those for which Contractor is responsible.
- B. ***Removal of Debris During Performance of the Work:*** During the progress of the Work the Contractor shall keep the Site and other adjacent areas free from accumulations of waste

materials, rubbish, and other debris. Removal and disposal of such waste materials, rubbish, and other debris shall conform to applicable Laws and Regulations.

- C. *Cleaning*: Prior to Substantial Completion of the Work Contractor shall clean the Site and the Work and make it ready for utilization by Owner. At the completion of the Work Contractor shall remove from the Site and adjacent areas all tools, appliances, construction equipment and machinery, and surplus materials and shall restore to original condition all property not designated for alteration by the Contract Documents.
- D. *Loading of Structures*: Contractor shall not load nor permit any part of any structure to be loaded in any manner that will endanger the structure, nor shall Contractor subject any part of the Work or adjacent structures or land to stresses or pressures that will endanger them.

5.03 *Subsurface and Physical Conditions*

- A. *Reports and Drawings*: The Supplementary Conditions identify:
 - 1. those reports known to Owner of explorations and tests of subsurface conditions at or adjacent to the Site;
 - 2. those drawings known to Owner of physical conditions relating to existing surface or subsurface structures at the Site (except Underground Facilities); and
 - 3. Technical Data contained in such reports and drawings.
- B. *Reliance by Contractor on Technical Data Authorized*: Contractor may rely upon the accuracy of the Technical Data expressly identified in the Supplementary Conditions with respect to such reports and drawings, but such reports and drawings are not Contract Documents. If no such express identification has been made, then Contractor may rely upon the accuracy of the Technical Data (as defined in Article 1) contained in any geotechnical or environmental report prepared for the Project and made available to Contractor. Except for such reliance on Technical Data, Contractor may not rely upon or make any claim against Owner or Engineer, or any of their officers, directors, members, partners, employees, agents, consultants, or subcontractors, with respect to:
 - 1. the completeness of such reports and drawings for Contractor's purposes, including, but not limited to, any aspects of the means, methods, techniques, sequences, and procedures of construction to be employed by Contractor, and safety precautions and programs incident thereto; or
 - 2. other data, interpretations, opinions, and information contained in such reports or shown or indicated in such drawings; or
 - 3. any Contractor interpretation of or conclusion drawn from any Technical Data or any such other data, interpretations, opinions, or information.

5.04 *Differing Subsurface or Physical Conditions*

- A. *Notice by Contractor*: If Contractor believes that any subsurface or physical condition that is uncovered or revealed at the Site either:
 - 1. is of such a nature as to establish that any Technical Data on which Contractor is entitled to rely as provided in Paragraph 5.03 is materially inaccurate; or
 - 2. is of such a nature as to require a change in the Drawings or Specifications; or
 - 3. differs materially from that shown or indicated in the Contract Documents; or

4. is of an unusual nature, and differs materially from conditions ordinarily encountered and generally recognized as inherent in work of the character provided for in the Contract Documents;

then Contractor shall, promptly after becoming aware thereof and before further disturbing the subsurface or physical conditions or performing any Work in connection therewith (except in an emergency as required by Paragraph 7.15), notify Owner and Engineer in writing about such condition. Contractor shall not further disturb such condition or perform any Work in connection therewith (except with respect to an emergency) until receipt of a written statement permitting Contractor to do so.

- B. *Engineer's Review:* After receipt of written notice as required by the preceding paragraph, Engineer will promptly review the subsurface or physical condition in question; determine the necessity of Owner's obtaining additional exploration or tests with respect to the condition; conclude whether the condition falls within any one or more of the differing site condition categories in Paragraph 5.04.A above; obtain any pertinent cost or schedule information from Contractor; prepare recommendations to Owner regarding the Contractor's resumption of Work in connection with the subsurface or physical condition in question and the need for any change in the Drawings or Specifications; and advise Owner in writing of Engineer's findings, conclusions, and recommendations.
- C. *Owner's Statement to Contractor Regarding Site Condition:* After receipt of Engineer's written findings, conclusions, and recommendations, Owner shall issue a written statement to Contractor (with a copy to Engineer) regarding the subsurface or physical condition in question, addressing the resumption of Work in connection with such condition, indicating whether any change in the Drawings or Specifications will be made, and adopting or rejecting Engineer's written findings, conclusions, and recommendations, in whole or in part.
- D. Possible Price and Times Adjustments:
 1. Contractor shall be entitled to an equitable adjustment in Contract Price or Contract Times, or both, to the extent that the existence of a differing subsurface or physical condition, or any related delay, disruption, or interference, causes an increase or decrease in Contractor's cost of, or time required for, performance of the Work; subject, however, to the following:
 - a. such condition must fall within any one or more of the categories described in Paragraph 5.04.A;
 - b. with respect to Work that is paid for on a unit price basis, any adjustment in Contract Price will be subject to the provisions of Paragraph 13.03; and,
 - c. Contractor's entitlement to an adjustment of the Contract Times is conditioned on such adjustment being essential to Contractor's ability to complete the Work within the Contract Times.
 2. Contractor shall not be entitled to any adjustment in the Contract Price or Contract Times with respect to a subsurface or physical condition if:
 - a. Contractor knew of the existence of such condition at the time Contractor made a commitment to Owner with respect to Contract Price and Contract Times by the submission of a Bid or becoming bound under a negotiated contract, or otherwise; or
 - b. the existence of such condition reasonably could have been discovered or revealed as a result of any examination, investigation, exploration, test, or study of the Site and contiguous areas expressly required by the Bidding Requirements or Contract

Documents to be conducted by or for Contractor prior to Contractor's making such commitment; or

- c. Contractor failed to give the written notice as required by Paragraph 5.04.A.
3. If Owner and Contractor agree regarding Contractor's entitlement to and the amount or extent of any adjustment in the Contract Price or Contract Times, or both, then any such adjustment shall be set forth in a Change Order.
4. Contractor may submit a Change Proposal regarding its entitlement to or the amount or extent of any adjustment in the Contract Price or Contract Times, or both, no later than 30 days after Owner's issuance of the Owner's written statement to Contractor regarding the subsurface or physical condition in question.

5.05 *Underground Facilities*

- A. *Contractor's Responsibilities:* The information and data shown or indicated in the Contract Documents with respect to existing Underground Facilities at or adjacent to the Site is based on information and data furnished to Owner or Engineer by the owners of such Underground Facilities, including Owner, or by others. Unless it is otherwise expressly provided in the Supplementary Conditions:
 1. Owner and Engineer do not warrant or guarantee the accuracy or completeness of any such information or data provided by others; and
 2. the cost of all of the following will be included in the Contract Price, and Contractor shall have full responsibility for:
 - a. reviewing and checking all information and data regarding existing Underground Facilities at the Site;
 - b. locating all Underground Facilities shown or indicated in the Contract Documents as being at the Site;
 - c. coordination of the Work with the owners (including Owner) of such Underground Facilities, during construction; and
 - d. the safety and protection of all existing Underground Facilities at the Site, and repairing any damage thereto resulting from the Work.
- B. *Notice by Contractor:* If Contractor believes that an Underground Facility that is uncovered or revealed at the Site was not shown or indicated in the Contract Documents, or was not shown or indicated with reasonable accuracy, then Contractor shall, promptly after becoming aware thereof and before further disturbing conditions affected thereby or performing any Work in connection therewith (except in an emergency as required by Paragraph 7.15), identify the owner of such Underground Facility and give written notice to that owner and to Owner and Engineer.
- C. *Engineer's Review:* Engineer will promptly review the Underground Facility and conclude whether such Underground Facility was not shown or indicated in the Contract Documents, or was not shown or indicated with reasonable accuracy; obtain any pertinent cost or schedule information from Contractor; prepare recommendations to Owner regarding the Contractor's resumption of Work in connection with the Underground Facility in question; determine the extent, if any, to which a change is required in the Drawings or Specifications to reflect and document the consequences of the existence or location of the Underground Facility; and advise

Owner in writing of Engineer's findings, conclusions, and recommendations. During such time, Contractor shall be responsible for the safety and protection of such Underground Facility.

- D. *Owner's Statement to Contractor Regarding Underground Facility:* After receipt of Engineer's written findings, conclusions, and recommendations, Owner shall issue a written statement to Contractor (with a copy to Engineer) regarding the Underground Facility in question, addressing the resumption of Work in connection with such Underground Facility, indicating whether any change in the Drawings or Specifications will be made, and adopting or rejecting Engineer's written findings, conclusions, and recommendations in whole or in part.
- E. Possible Price and Times Adjustments:

1. Contractor shall be entitled to an equitable adjustment in the Contract Price or Contract Times, or both, to the extent that any existing Underground Facility at the Site that was not shown or indicated in the Contract Documents, or was not shown or indicated with reasonable accuracy, or any related delay, disruption, or interference, causes an increase or decrease in Contractor's cost of, or time required for, performance of the Work; subject, however, to the following:
 - a. Contractor did not know of and could not reasonably have been expected to be aware of or to have anticipated the existence or actual location of the Underground Facility in question;
 - b. With respect to Work that is paid for on a unit price basis, any adjustment in Contract Price will be subject to the provisions of Paragraph 13.03;
 - c. Contractor's entitlement to an adjustment of the Contract Times is conditioned on such adjustment being essential to Contractor's ability to complete the Work within the Contract Times; and
 - d. Contractor gave the notice required in Paragraph 5.05.B.
2. If Owner and Contractor agree regarding Contractor's entitlement to and the amount or extent of any adjustment in the Contract Price or Contract Times, or both, then any such adjustment shall be set forth in a Change Order.
3. Contractor may submit a Change Proposal regarding its entitlement to or the amount or extent of any adjustment in the Contract Price or Contract Times, or both, no later than 30 days after Owner's issuance of the Owner's written statement to Contractor regarding the Underground Facility in question.

5.06 *Hazardous Environmental Conditions at Site*

- A. *Reports and Drawings:* The Supplementary Conditions identify:
1. those reports and drawings known to Owner relating to Hazardous Environmental Conditions that have been identified at or adjacent to the Site; and
 2. Technical Data contained in such reports and drawings.
- B. *Reliance by Contractor on Technical Data Authorized:* Contractor may rely upon the accuracy of the Technical Data expressly identified in the Supplementary Conditions with respect to such reports and drawings, but such reports and drawings are not Contract Documents. If no such express identification has been made, then Contractor may rely on the accuracy of the Technical Data (as defined in Article 1) contained in any geotechnical or environmental report prepared for the Project and made available to Contractor. Except for such reliance on Technical Data, Contractor may not rely upon or make any claim against Owner or Engineer, or any of their

officers, directors, members, partners, employees, agents, consultants, or subcontractors with respect to:

1. the completeness of such reports and drawings for Contractor's purposes, including, but not limited to, any aspects of the means, methods, techniques, sequences and procedures of construction to be employed by Contractor and safety precautions and programs incident thereto; or
 2. other data, interpretations, opinions and information contained in such reports or shown or indicated in such drawings; or
 3. any Contractor interpretation of or conclusion drawn from any Technical Data or any such other data, interpretations, opinions or information.
- C. Contractor shall not be responsible for removing or remediating any Hazardous Environmental Condition encountered, uncovered, or revealed at the Site unless such removal or remediation is expressly identified in the Contract Documents to be within the scope of the Work.
- D. Contractor shall be responsible for controlling, containing, and duly removing all Constituents of Concern brought to the Site by Contractor, Subcontractors, Suppliers, or anyone else for whom Contractor is responsible, and for any associated costs; and for the costs of removing and remediating any Hazardous Environmental Condition created by the presence of any such Constituents of Concern.
- E. If Contractor encounters, uncovers, or reveals a Hazardous Environmental Condition whose removal or remediation is not expressly identified in the Contract Documents as being within the scope of the Work, or if Contractor or anyone for whom Contractor is responsible creates a Hazardous Environmental Condition, then Contractor shall immediately: (1) secure or otherwise isolate such condition; (2) stop all Work in connection with such condition and in any area affected thereby (except in an emergency as required by Paragraph 7.15); and (3) notify Owner and Engineer (and promptly thereafter confirm such notice in writing). Owner shall promptly consult with Engineer concerning the necessity for Owner to retain a qualified expert to evaluate such condition or take corrective action, if any. Promptly after consulting with Engineer, Owner shall take such actions as are necessary to permit Owner to timely obtain required permits and provide Contractor the written notice required by Paragraph 5.06.F. If Contractor or anyone for whom Contractor is responsible created the Hazardous Environmental Condition in question, then Owner may remove and remediate the Hazardous Environmental Condition, and impose a set-off against payments to account for the associated costs.
- F. Contractor shall not resume Work in connection with such Hazardous Environmental Condition or in any affected area until after Owner has obtained any required permits related thereto, and delivered written notice to Contractor either (1) specifying that such condition and any affected area is or has been rendered safe for the resumption of Work, or (2) specifying any special conditions under which such Work may be resumed safely.
- G. If Owner and Contractor cannot agree as to entitlement to or on the amount or extent, if any, of any adjustment in Contract Price or Contract Times, or both, as a result of such Work stoppage or such special conditions under which Work is agreed to be resumed by Contractor, then within 30 days of Owner's written notice regarding the resumption of Work, Contractor may submit a Change Proposal, or Owner may impose a set-off.
- H. If after receipt of such written notice Contractor does not agree to resume such Work based on a reasonable belief it is unsafe, or does not agree to resume such Work under such special conditions, then Owner may order the portion of the Work that is in the area affected by such

condition to be deleted from the Work, following the contractual change procedures in Article 11. Owner may have such deleted portion of the Work performed by Owner's own forces or others in accordance with Article 8.

- I. To the fullest extent permitted by Laws and Regulations, Owner shall indemnify and hold harmless Contractor, Subcontractors, and Engineer, and the officers, directors, members, partners, employees, agents, consultants, and subcontractors of each and any of them from and against all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to a Hazardous Environmental Condition, provided that such Hazardous Environmental Condition (1) was not shown or indicated in the Drawings, Specifications, or other Contract Documents, identified as Technical Data entitled to limited reliance pursuant to Paragraph 5.06.B, or identified in the Contract Documents to be included within the scope of the Work, and (2) was not created by Contractor or by anyone for whom Contractor is responsible. Nothing in this Paragraph 5.06.H shall obligate Owner to indemnify any individual or entity from and against the consequences of that individual's or entity's own negligence.
- J. To the fullest extent permitted by Laws and Regulations, Contractor shall indemnify and hold harmless Owner and Engineer, and the officers, directors, members, partners, employees, agents, consultants, and subcontractors of each and any of them from and against all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to the failure to control, contain, or remove a Constituent of Concern brought to the Site by Contractor or by anyone for whom Contractor is responsible, or to a Hazardous Environmental Condition created by Contractor or by anyone for whom Contractor is responsible. Nothing in this Paragraph 5.06.J shall obligate Contractor to indemnify any individual or entity from and against the consequences of that individual's or entity's own negligence.
- K. The provisions of Paragraphs 5.03, 5.04, and 5.05 do not apply to the presence of Constituents of Concern or to a Hazardous Environmental Condition uncovered or revealed at the Site.

ARTICLE 6 – BONDS AND INSURANCE

6.01 *Performance, Payment, and Other Bonds*

- A. Contractor shall furnish a performance bond and a payment bond, each in an amount at least equal to the Contract Price, as security for the faithful performance and payment of all of Contractor's obligations under the Contract. These bonds shall remain in effect until one year after the date when final payment becomes due or until completion of the correction period specified in Paragraph 15.08, whichever is later, except as provided otherwise by Laws or Regulations, the Supplementary Conditions, or other specific provisions of the Contract. Contractor shall also furnish such other bonds as are required by the Supplementary Conditions or other specific provisions of the Contract.
- B. All bonds shall be in the form prescribed by the Contract except as provided otherwise by Laws or Regulations, and shall be executed by such sureties as are named in "Companies Holding Certificates of Authority as Acceptable Sureties on Federal Bonds and as Acceptable Reinsuring Companies" as published in Circular 570 (as amended and supplemented) by the Financial Management Service, Surety Bond Branch, U.S. Department of the Treasury. A bond signed by an agent or attorney-in-fact must be accompanied by a certified copy of that

individual's authority to bind the surety. The evidence of authority shall show that it is effective on the date the agent or attorney-in-fact signed the accompanying bond.

- C. Contractor shall obtain the required bonds from surety companies that are duly licensed or authorized in the jurisdiction in which the Project is located to issue bonds in the required amounts.
- D. If the surety on a bond furnished by Contractor is declared bankrupt or becomes insolvent, or its right to do business is terminated in any state or jurisdiction where any part of the Project is located, or the surety ceases to meet the requirements above, then Contractor shall promptly notify Owner and Engineer and shall, within 20 days after the event giving rise to such notification, provide another bond and surety, both of which shall comply with the bond and surety requirements above.
- E. If Contractor has failed to obtain a required bond, Owner may exclude the Contractor from the Site and exercise Owner's termination rights under Article 16.
- F. Upon request, Owner shall provide a copy of the payment bond to any Subcontractor, Supplier, or other person or entity claiming to have furnished labor or materials used in the performance of the Work.

6.02 *Insurance—General Provisions*

- A. Owner and Contractor shall obtain and maintain insurance as required in this Article and in the Supplementary Conditions.
- B. All insurance required by the Contract to be purchased and maintained by Owner or Contractor shall be obtained from insurance companies that are duly licensed or authorized, in the state or jurisdiction in which the Project is located, to issue insurance policies for the required limits and coverages. Unless a different standard is indicated in the Supplementary Conditions, all companies that provide insurance policies required under this Contract shall have an A.M. Best rating of A-VII or better.
- C. Contractor shall deliver to Owner, with copies to each named insured and additional insured (as identified in this Article, in the Supplementary Conditions, or elsewhere in the Contract), certificates of insurance establishing that Contractor has obtained and is maintaining the policies, coverages, and endorsements required by the Contract. Upon request by Owner or any other insured, Contractor shall also furnish other evidence of such required insurance, including but not limited to copies of policies and endorsements, and documentation of applicable self-insured retentions and deductibles. Contractor may block out (redact) any confidential premium or pricing information contained in any policy or endorsement furnished under this provision.
- D. Owner shall deliver to Contractor, with copies to each named insured and additional insured (as identified in this Article, the Supplementary Conditions, or elsewhere in the Contract), certificates of insurance establishing that Owner has obtained and is maintaining the policies, coverages, and endorsements required of Owner by the Contract (if any). Upon request by Contractor or any other insured, Owner shall also provide other evidence of such required insurance (if any), including but not limited to copies of policies and endorsements, and documentation of applicable self-insured retentions and deductibles. Owner may block out (redact) any confidential premium or pricing information contained in any policy or endorsement furnished under this provision.

- E. Failure of Owner or Contractor to demand such certificates or other evidence of the other party's full compliance with these insurance requirements, or failure of Owner or Contractor to identify a deficiency in compliance from the evidence provided, shall not be construed as a waiver of the other party's obligation to obtain and maintain such insurance.
- F. If either party does not purchase or maintain all of the insurance required of such party by the Contract, such party shall notify the other party in writing of such failure to purchase prior to the start of the Work, or of such failure to maintain prior to any change in the required coverage.
- G. If Contractor has failed to obtain and maintain required insurance, Owner may exclude the Contractor from the Site, impose an appropriate set-off against payment, and exercise Owner's termination rights under Article 16.
- H. Without prejudice to any other right or remedy, if a party has failed to obtain required insurance, the other party may elect to obtain equivalent insurance to protect such other party's interests at the expense of the party who was required to provide such coverage, and the Contract Price shall be adjusted accordingly.
- I. Owner does not represent that insurance coverage and limits established in this Contract necessarily will be adequate to protect Contractor or Contractor's interests.
- J. The insurance and insurance limits required herein shall not be deemed as a limitation on Contractor's liability under the indemnities granted to Owner and other individuals and entities in the Contract.

6.03 *Contractor's Insurance*

- A. *Workers' Compensation*: Contractor shall purchase and maintain workers' compensation and employer's liability insurance for:
 - 1. claims under workers' compensation, disability benefits, and other similar employee benefit acts.
 - 2. United States Longshoreman and Harbor Workers' Compensation Act and Jones Act coverage (if applicable).
 - 3. claims for damages because of bodily injury, occupational sickness or disease, or death of Contractor's employees (by stop-gap endorsement in monopolist worker's compensation states).
 - 4. Foreign voluntary worker compensation (if applicable).
- B. *Commercial General Liability—Claims Covered*: Contractor shall purchase and maintain commercial general liability insurance, covering all operations by or on behalf of Contractor, on an occurrence basis, against:
 - 1. claims for damages because of bodily injury, sickness or disease, or death of any person other than Contractor's employees.
 - 2. claims for damages insured by reasonably available personal injury liability coverage.
 - 3. claims for damages, other than to the Work itself, because of injury to or destruction of tangible property wherever located, including loss of use resulting therefrom.
- C. *Commercial General Liability—Form and Content*: Contractor's commercial liability policy shall be written on a 1996 (or later) ISO commercial general liability form (occurrence form) and include the following coverages and endorsements:

1. Products and completed operations coverage:
 - a. Such insurance shall be maintained for three years after final payment.
 - b. Contractor shall furnish Owner and each other additional insured (as identified in the Supplementary Conditions or elsewhere in the Contract) evidence of continuation of such insurance at final payment and three years thereafter.
 2. Blanket contractual liability coverage, to the extent permitted by law, including but not limited to coverage of Contractor's contractual indemnity obligations in Paragraph 7.18.
 3. Broad form property damage coverage.
 4. Severability of interest.
 5. Underground, explosion, and collapse coverage.
 6. Personal injury coverage.
 7. Additional insured endorsements that include both ongoing operations and products and completed operations coverage through ISO Endorsements CG 20 10 10 01 and CG 20 37 10 01 (together); or CG 20 10 07 04 and CG 20 37 07 04 (together); or their equivalent.
 8. For design professional additional insureds, ISO Endorsement CG 20 32 07 04, "Additional Insured—Engineers, Architects or Surveyors Not Engaged by the Named Insured" or its equivalent.
- D. *Automobile liability*: Contractor shall purchase and maintain automobile liability insurance against claims for damages because of bodily injury or death of any person or property damage arising out of the ownership, maintenance, or use of any motor vehicle. The automobile liability policy shall be written on an occurrence basis.
- E. *Umbrella or excess liability*: Contractor shall purchase and maintain umbrella or excess liability insurance written over the underlying employer's liability, commercial general liability, and automobile liability insurance described in the paragraphs above. Subject to industry-standard exclusions, the coverage afforded shall follow form as to each and every one of the underlying policies.
- F. *Contractor's pollution liability insurance*: Contractor shall purchase and maintain a policy covering third-party injury and property damage claims, including clean-up costs, as a result of pollution conditions arising from Contractor's operations and completed operations. This insurance shall be maintained for no less than three years after final completion.
- G. *Additional insureds*: The Contractor's commercial general liability, automobile liability, umbrella or excess, and pollution liability policies shall include and list as additional insureds Owner and Engineer, and any individuals or entities identified in the Supplementary Conditions; include coverage for the respective officers, directors, members, partners, employees, agents, consultants, and subcontractors of each and any of all such additional insureds; and the insurance afforded to these additional insureds shall provide primary coverage for all claims covered thereby (including as applicable those arising from both ongoing and completed operations) on a non-contributory basis. Contractor shall obtain all necessary endorsements to support these requirements.
- H. *Contractor's professional liability insurance*: If Contractor will provide or furnish professional services under this Contract, through a delegation of professional design services or otherwise, then Contractor shall be responsible for purchasing and maintaining applicable professional liability insurance. This insurance shall provide protection against claims arising out of

performance of professional design or related services, and caused by a negligent error, omission, or act for which the insured party is legally liable. It shall be maintained throughout the duration of the Contract and for a minimum of two years after Substantial Completion. If such professional design services are performed by a Subcontractor, and not by Contractor itself, then the requirements of this paragraph may be satisfied through the purchasing and maintenance of such insurance by such Subcontractor.

- I. *General provisions:* The policies of insurance required by this Paragraph 6.03 shall:
 1. include at least the specific coverages provided in this Article.
 2. be written for not less than the limits of liability provided in this Article and in the Supplementary Conditions, or required by Laws or Regulations, whichever is greater.
 3. contain a provision or endorsement that the coverage afforded will not be canceled, materially changed, or renewal refused until at least 10 days prior written notice has been given to Contractor. Within three days of receipt of any such written notice, Contractor shall provide a copy of the notice to Owner, Engineer, and each other insured under the policy.
 4. remain in effect at least until final payment (and longer if expressly required in this Article) and at all times thereafter when Contractor may be correcting, removing, or replacing defective Work as a warranty or correction obligation, or otherwise, or returning to the Site to conduct other tasks arising from the Contract Documents.
 5. be appropriate for the Work being performed and provide protection from claims that may arise out of or result from Contractor's performance of the Work and Contractor's other obligations under the Contract Documents, whether it is to be performed by Contractor, any Subcontractor or Supplier, or by anyone directly or indirectly employed by any of them to perform any of the Work, or by anyone for whose acts any of them may be liable.
- J. The coverage requirements for specific policies of insurance must be met by such policies, and not by reference to excess or umbrella insurance provided in other policies.

6.04 *Owner's Liability Insurance*

- A. In addition to the insurance required to be provided by Contractor under Paragraph 6.03, Owner, at Owner's option, may purchase and maintain at Owner's expense Owner's own liability insurance as will protect Owner against claims which may arise from operations under the Contract Documents.
- B. Owner's liability policies, if any, operate separately and independently from policies required to be provided by Contractor, and Contractor cannot rely upon Owner's liability policies for any of Contractor's obligations to the Owner, Engineer, or third parties.

6.05 *Property Insurance*

- A. *Builder's Risk:* Unless otherwise provided in the Supplementary Conditions, Contractor shall purchase and maintain builder's risk insurance upon the Work on a completed value basis, in the amount of the full insurable replacement cost thereof (subject to such deductible amounts as may be provided in the Supplementary Conditions or required by Laws and Regulations). This insurance shall:
 1. include the Owner and Contractor as named insureds, and all Subcontractors, and any individuals or entities required by the Supplementary Conditions to be insured under such builder's risk policy, as insureds or named insureds. For purposes of the remainder of this

Paragraph 6.05, Paragraphs 6.06 and 6.07, and any corresponding Supplementary Conditions, the parties required to be insured shall collectively be referred to as “insureds.”

2. be written on a builder’s risk “all risk” policy form that shall at least include insurance for physical loss or damage to the Work, temporary buildings, falsework, and materials and equipment in transit, and shall insure against at least the following perils or causes of loss: fire; lightning; windstorm; riot; civil commotion; terrorism; vehicle impact; aircraft; smoke; theft; vandalism and malicious mischief; mechanical breakdown, boiler explosion, and artificially generated electric current; earthquake; volcanic activity, and other earth movement; collapse; explosion; debris removal; demolition occasioned by enforcement of Laws and Regulations; water damage (other than that caused by flood); and such other perils or causes of loss as may be specifically required by the Supplementary Conditions. If insurance against mechanical breakdown, boiler explosion, and artificially generated electric current; earthquake; volcanic activity, and other earth movement; or flood, are not commercially available under builder’s risk policies, by endorsement or otherwise, such insurance may be provided through other insurance policies acceptable to Owner and Contractor.
3. cover, as insured property, at least the following: (a) the Work and all materials, supplies, machinery, apparatus, equipment, fixtures, and other property of a similar nature that are to be incorporated into or used in the preparation, fabrication, construction, erection, or completion of the Work, including Owner-furnished or assigned property; (b) spare parts inventory required within the scope of the Contract; and (c) temporary works which are not intended to form part of the permanent constructed Work but which are intended to provide working access to the Site, or to the Work under construction, or which are intended to provide temporary support for the Work under construction, including scaffolding, form work, fences, shoring, falsework, and temporary structures.
4. cover expenses incurred in the repair or replacement of any insured property (including but not limited to fees and charges of engineers and architects).
5. extend to cover damage or loss to insured property while in temporary storage at the Site or in a storage location outside the Site (but not including property stored at the premises of a manufacturer or Supplier).
6. extend to cover damage or loss to insured property while in transit.
7. allow for partial occupation or use of the Work by Owner, such that those portions of the Work that are not yet occupied or used by Owner shall remain covered by the builder’s risk insurance.
8. allow for the waiver of the insurer’s subrogation rights, as set forth below.
9. provide primary coverage for all losses and damages caused by the perils or causes of loss covered.
10. not include a co-insurance clause.
11. include an exception for ensuing losses from physical damage or loss with respect to any defective workmanship, design, or materials exclusions.
12. include performance/hot testing and start-up.
13. be maintained in effect, subject to the provisions herein regarding Substantial Completion and partial occupancy or use of the Work by Owner, until the Work is complete.

- B. *Notice of Cancellation or Change*: All the policies of insurance (and the certificates or other evidence thereof) required to be purchased and maintained in accordance with this Paragraph 6.05 will contain a provision or endorsement that the coverage afforded will not be canceled or materially changed or renewal refused until at least 10 days prior written notice has been given to the purchasing policyholder. Within three days of receipt of any such written notice, the purchasing policyholder shall provide a copy of the notice to each other insured.
- C. *Deductibles*: The purchaser of any required builder's risk or property insurance shall pay for costs not covered because of the application of a policy deductible.
- D. *Partial Occupancy or Use by Owner*: If Owner will occupy or use a portion or portions of the Work prior to Substantial Completion of all the Work as provided in Paragraph 15.04, then Owner (directly, if it is the purchaser of the builder's risk policy, or through Contractor) will provide notice of such occupancy or use to the builder's risk insurer. The builder's risk insurance shall not be canceled or permitted to lapse on account of any such partial use or occupancy; rather, those portions of the Work that are occupied or used by Owner may come off the builder's risk policy, while those portions of the Work not yet occupied or used by Owner shall remain covered by the builder's risk insurance.
- E. *Additional Insurance*: If Contractor elects to obtain other special insurance to be included in or supplement the builder's risk or property insurance policies provided under this Paragraph 6.05, it may do so at Contractor's expense.
- F. *Insurance of Other Property*: If the express insurance provisions of the Contract do not require or address the insurance of a property item or interest, such as tools, construction equipment, or other personal property owned by Contractor, a Subcontractor, or an employee of Contractor or a Subcontractor, then the entity or individual owning such property item will be responsible for deciding whether to insure it, and if so in what amount.

6.06 *Waiver of Rights*

- A. All policies purchased in accordance with Paragraph 6.05, expressly including the builder's risk policy, shall contain provisions to the effect that in the event of payment of any loss or damage the insurers will have no rights of recovery against any insureds thereunder, or against Engineer or its consultants, or their officers, directors, members, partners, employees, agents, consultants, or subcontractors. Owner and Contractor waive all rights against each other and the respective officers, directors, members, partners, employees, agents, consultants, and subcontractors of each and any of them, for all losses and damages caused by, arising out of, or resulting from any of the perils or causes of loss covered by such policies and any other property insurance applicable to the Work; and, in addition, waive all such rights against Engineer, its consultants, all Subcontractors, all individuals or entities identified in the Supplementary Conditions as insureds, and the officers, directors, members, partners, employees, agents, consultants, and subcontractors of each and any of them, under such policies for losses and damages so caused. None of the above waivers shall extend to the rights that any party making such waiver may have to the proceeds of insurance held by Owner or Contractor as trustee or fiduciary, or otherwise payable under any policy so issued.
- B. Owner waives all rights against Contractor, Subcontractors, and Engineer, and the officers, directors, members, partners, employees, agents, consultants and subcontractors of each and any of them, for:

1. loss due to business interruption, loss of use, or other consequential loss extending beyond direct physical loss or damage to Owner's property or the Work caused by, arising out of, or resulting from fire or other perils whether or not insured by Owner; and
 2. loss or damage to the completed Project or part thereof caused by, arising out of, or resulting from fire or other insured peril or cause of loss covered by any property insurance maintained on the completed Project or part thereof by Owner during partial occupancy or use pursuant to Paragraph 15.04, after Substantial Completion pursuant to Paragraph 15.03, or after final payment pursuant to Paragraph 15.06.
- C. Any insurance policy maintained by Owner covering any loss, damage or consequential loss referred to in Paragraph 6.06.B shall contain provisions to the effect that in the event of payment of any such loss, damage, or consequential loss, the insurers will have no rights of recovery against Contractor, Subcontractors, or Engineer, or the officers, directors, members, partners, employees, agents, consultants, or subcontractors of each and any of them.
- D. Contractor shall be responsible for assuring that the agreement under which a Subcontractor performs a portion of the Work contains provisions whereby the Subcontractor waives all rights against Owner, Contractor, all individuals or entities identified in the Supplementary Conditions as insureds, the Engineer and its consultants, and the officers, directors, members, partners, employees, agents, consultants, and subcontractors of each and any of them, for all losses and damages caused by, arising out of, relating to, or resulting from any of the perils or causes of loss covered by builder's risk insurance and any other property insurance applicable to the Work.

6.07 *Receipt and Application of Property Insurance Proceeds*

- A. Any insured loss under the builder's risk and other policies of insurance required by Paragraph 6.05 will be adjusted and settled with the named insured that purchased the policy. Such named insured shall act as fiduciary for the other insureds, and give notice to such other insureds that adjustment and settlement of a claim is in progress. Any other insured may state its position regarding a claim for insured loss in writing within 15 days after notice of such claim.
- B. Proceeds for such insured losses may be made payable by the insurer either jointly to multiple insureds, or to the named insured that purchased the policy in its own right and as fiduciary for other insureds, subject to the requirements of any applicable mortgage clause. A named insured receiving insurance proceeds under the builder's risk and other policies of insurance required by Paragraph 6.05 shall distribute such proceeds in accordance with such agreement as the parties in interest may reach, or as otherwise required under the dispute resolution provisions of this Contract or applicable Laws and Regulations.
- C. If no other special agreement is reached, the damaged Work shall be repaired or replaced, the money so received applied on account thereof, and the Work and the cost thereof covered by Change Order, if needed.

ARTICLE 7 – CONTRACTOR'S RESPONSIBILITIES

7.01 *Supervision and Superintendence*

- A. Contractor shall supervise, inspect, and direct the Work competently and efficiently, devoting such attention thereto and applying such skills and expertise as may be necessary to perform the Work in accordance with the Contract Documents. Contractor shall be solely responsible for the means, methods, techniques, sequences, and procedures of construction.

- B. At all times during the progress of the Work, Contractor shall assign a competent resident superintendent who shall not be replaced without written notice to Owner and Engineer except under extraordinary circumstances.

7.02 *Labor; Working Hours*

- A. Contractor shall provide competent, suitably qualified personnel to survey and lay out the Work and perform construction as required by the Contract Documents. Contractor shall at all times maintain good discipline and order at the Site.
- B. Except as otherwise required for the safety or protection of persons or the Work or property at the Site or adjacent thereto, and except as otherwise stated in the Contract Documents, all Work at the Site shall be performed during regular working hours, Monday through Friday. Contractor will not perform Work on a Saturday, Sunday, or any legal holiday. Contractor may perform Work outside regular working hours or on Saturdays, Sundays, or legal holidays only with Owner's written consent, which will not be unreasonably withheld.

7.03 *Services, Materials, and Equipment*

- A. Unless otherwise specified in the Contract Documents, Contractor shall provide and assume full responsibility for all services, materials, equipment, labor, transportation, construction equipment and machinery, tools, appliances, fuel, power, light, heat, telephone, water, sanitary facilities, temporary facilities, and all other facilities and incidentals necessary for the performance, testing, start up, and completion of the Work, whether or not such items are specifically called for in the Contract Documents.
- B. All materials and equipment incorporated into the Work shall be of good quality and new, except as otherwise provided in the Contract Documents. All special warranties and guarantees required by the Specifications shall expressly run to the benefit of Owner. If required by Engineer, Contractor shall furnish satisfactory evidence (including reports of required tests) as to the source, kind, and quality of materials and equipment.
- C. All materials and equipment shall be stored, applied, installed, connected, erected, protected, used, cleaned, and conditioned in accordance with instructions of the applicable Supplier, except as otherwise may be provided in the Contract Documents.

7.04 *"Or Equals"*

- A. Whenever an item of material or equipment is specified or described in the Contract Documents by using the name of a proprietary item or the name of a particular Supplier, the Contract Price has been based upon Contractor furnishing such item as specified. The specification or description of such an item is intended to establish the type, function, appearance, and quality required. Unless the specification or description contains or is followed by words reading that no like, equivalent, or "or equal" item is permitted, Contractor may request that Engineer authorize the use of other items of material or equipment, or items from other proposed suppliers under the circumstances described below.
 - 1. If Engineer in its sole discretion determines that an item of material or equipment proposed by Contractor is functionally equal to that named and sufficiently similar so that no change in related Work will be required, Engineer shall deem it an "or equal" item. For the purposes of this paragraph, a proposed item of material or equipment will be considered functionally equal to an item so named if:
 - a. in the exercise of reasonable judgment Engineer determines that:

- 1) it is at least equal in materials of construction, quality, durability, appearance, strength, and design characteristics;
 - 2) it will reliably perform at least equally well the function and achieve the results imposed by the design concept of the completed Project as a functioning whole;
 - 3) it has a proven record of performance and availability of responsive service; and
 - 4) it is not objectionable to Owner.
- b. Contractor certifies that, if approved and incorporated into the Work:
- 1) there will be no increase in cost to the Owner or increase in Contract Times; and
 - 2) it will conform substantially to the detailed requirements of the item named in the Contract Documents.
- B. *Contractor's Expense:* Contractor shall provide all data in support of any proposed "or equal" item at Contractor's expense.
- C. *Engineer's Evaluation and Determination:* Engineer will be allowed a reasonable time to evaluate each "or-equal" request. Engineer may require Contractor to furnish additional data about the proposed "or-equal" item. Engineer will be the sole judge of acceptability. No "or-equal" item will be ordered, furnished, installed, or utilized until Engineer's review is complete and Engineer determines that the proposed item is an "or-equal", which will be evidenced by an approved Shop Drawing or other written communication. Engineer will advise Contractor in writing of any negative determination.
- D. *Effect of Engineer's Determination:* Neither approval nor denial of an "or-equal" request shall result in any change in Contract Price. The Engineer's denial of an "or-equal" request shall be final and binding, and may not be reversed through an appeal under any provision of the Contract Documents.
- E. *Treatment as a Substitution Request:* If Engineer determines that an item of material or equipment proposed by Contractor does not qualify as an "or-equal" item, Contractor may request that Engineer considered the proposed item as a substitute pursuant to Paragraph 7.05.

7.05 *Substitutes*

- A. Unless the specification or description of an item of material or equipment required to be furnished under the Contract Documents contains or is followed by words reading that no substitution is permitted, Contractor may request that Engineer authorize the use of other items of material or equipment under the circumstances described below. To the extent possible such requests shall be made before commencement of related construction at the Site.
1. Contractor shall submit sufficient information as provided below to allow Engineer to determine if the item of material or equipment proposed is functionally equivalent to that named and an acceptable substitute therefor. Engineer will not accept requests for review of proposed substitute items of material or equipment from anyone other than Contractor.
 2. The requirements for review by Engineer will be as set forth in Paragraph 7.05.B, as supplemented by the Specifications, and as Engineer may decide is appropriate under the circumstances.
 3. Contractor shall make written application to Engineer for review of a proposed substitute item of material or equipment that Contractor seeks to furnish or use. The application:
 - a. shall certify that the proposed substitute item will:

- 1) perform adequately the functions and achieve the results called for by the general design,
 - 2) be similar in substance to that specified, and
 - 3) be suited to the same use as that specified.
- b. will state:
- 1) the extent, if any, to which the use of the proposed substitute item will necessitate a change in Contract Times,
 - 2) whether use of the proposed substitute item in the Work will require a change in any of the Contract Documents (or in the provisions of any other direct contract with Owner for other work on the Project) to adapt the design to the proposed substitute item, and
 - 3) whether incorporation or use of the proposed substitute item in connection with the Work is subject to payment of any license fee or royalty.
- c. will identify:
- 1) all variations of the proposed substitute item from that specified, and
 - 2) available engineering, sales, maintenance, repair, and replacement services.
- d. shall contain an itemized estimate of all costs or credits that will result directly or indirectly from use of such substitute item, including but not limited to changes in Contract Price, shared savings, costs of redesign, and claims of other contractors affected by any resulting change.
- B. *Engineer's Evaluation and Determination:* Engineer will be allowed a reasonable time to evaluate each substitute request, and to obtain comments and direction from Owner. Engineer may require Contractor to furnish additional data about the proposed substitute item. Engineer will be the sole judge of acceptability. No substitute will be ordered, furnished, installed, or utilized until Engineer's review is complete and Engineer determines that the proposed item is an acceptable substitute. Engineer's determination will be evidenced by a Field Order or a proposed Change Order accounting for the substitution itself and all related impacts, including changes in Contract Price or Contract Times. Engineer will advise Contractor in writing of any negative determination.
- C. *Special Guarantee:* Owner may require Contractor to furnish at Contractor's expense a special performance guarantee or other surety with respect to any substitute.
- D. *Reimbursement of Engineer's Cost:* Engineer will record Engineer's costs in evaluating a substitute proposed or submitted by Contractor. Whether or not Engineer approves a substitute so proposed or submitted by Contractor, Contractor shall reimburse Owner for the reasonable charges of Engineer for evaluating each such proposed substitute. Contractor shall also reimburse Owner for the reasonable charges of Engineer for making changes in the Contract Documents (or in the provisions of any other direct contract with Owner) resulting from the acceptance of each proposed substitute.
- E. *Contractor's Expense:* Contractor shall provide all data in support of any proposed substitute at Contractor's expense.
- F. *Effect of Engineer's Determination:* If Engineer approves the substitution request, Contractor shall execute the proposed Change Order and proceed with the substitution. The Engineer's

denial of a substitution request shall be final and binding, and may not be reversed through an appeal under any provision of the Contract Documents. Contractor may challenge the scope of reimbursement costs imposed under Paragraph 7.05.D, by timely submittal of a Change Proposal.

7.06 *Concerning Subcontractors, Suppliers, and Others*

- A. Contractor may retain Subcontractors and Suppliers for the performance of parts of the Work. Such Subcontractors and Suppliers must be acceptable to Owner.
- B. Contractor shall retain specific Subcontractors, Suppliers, or other individuals or entities for the performance of designated parts of the Work if required by the Contract to do so.
- C. Subsequent to the submittal of Contractor's Bid or final negotiation of the terms of the Contract, Owner may not require Contractor to retain any Subcontractor, Supplier, or other individual or entity to furnish or perform any of the Work against which Contractor has reasonable objection.
- D. Prior to entry into any binding subcontract or purchase order, Contractor shall submit to Owner the identity of the proposed Subcontractor or Supplier (unless Owner has already deemed such proposed Subcontractor or Supplier acceptable, during the bidding process or otherwise). Such proposed Subcontractor or Supplier shall be deemed acceptable to Owner unless Owner raises a substantive, reasonable objection within five days.
- E. Owner may require the replacement of any Subcontractor, Supplier, or other individual or entity retained by Contractor to perform any part of the Work. Owner also may require Contractor to retain specific replacements; provided, however, that Owner may not require a replacement to which Contractor has a reasonable objection. If Contractor has submitted the identity of certain Subcontractors, Suppliers, or other individuals or entities for acceptance by Owner, and Owner has accepted it (either in writing or by failing to make written objection thereto), then Owner may subsequently revoke the acceptance of any such Subcontractor, Supplier, or other individual or entity so identified solely on the basis of substantive, reasonable objection after due investigation. Contractor shall submit an acceptable replacement for the rejected Subcontractor, Supplier, or other individual or entity.
- F. If Owner requires the replacement of any Subcontractor, Supplier, or other individual or entity retained by Contractor to perform any part of the Work, then Contractor shall be entitled to an adjustment in Contract Price or Contract Times, or both, with respect to the replacement; and Contractor shall initiate a Change Proposal for such adjustment within 30 days of Owner's requirement of replacement.
- G. No acceptance by Owner of any such Subcontractor, Supplier, or other individual or entity, whether initially or as a replacement, shall constitute a waiver of the right of Owner to the completion of the Work in accordance with the Contract Documents.
- H. On a monthly basis Contractor shall submit to Engineer a complete list of all Subcontractors and Suppliers having a direct contract with Contractor, and of all other Subcontractors and Suppliers known to Contractor at the time of submittal.
- I. Contractor shall be fully responsible to Owner and Engineer for all acts and omissions of the Subcontractors, Suppliers, and other individuals or entities performing or furnishing any of the Work just as Contractor is responsible for Contractor's own acts and omissions.
- J. Contractor shall be solely responsible for scheduling and coordinating the work of Subcontractors, Suppliers, and all other individuals or entities performing or furnishing any of the Work.

- K. Contractor shall restrict all Subcontractors, Suppliers, and such other individuals or entities performing or furnishing any of the Work from communicating with Engineer or Owner, except through Contractor or in case of an emergency, or as otherwise expressly allowed herein.
- L. The divisions and sections of the Specifications and the identifications of any Drawings shall not control Contractor in dividing the Work among Subcontractors or Suppliers or delineating the Work to be performed by any specific trade.
- M. All Work performed for Contractor by a Subcontractor or Supplier shall be pursuant to an appropriate contractual agreement that specifically binds the Subcontractor or Supplier to the applicable terms and conditions of the Contract Documents for the benefit of Owner and Engineer.
- N. Owner may furnish to any Subcontractor or Supplier, to the extent practicable, information about amounts paid to Contractor on account of Work performed for Contractor by the particular Subcontractor or Supplier.
- O. Nothing in the Contract Documents:
 - 1. shall create for the benefit of any such Subcontractor, Supplier, or other individual or entity any contractual relationship between Owner or Engineer and any such Subcontractor, Supplier, or other individual or entity; nor
 - 2. shall create any obligation on the part of Owner or Engineer to pay or to see to the payment of any money due any such Subcontractor, Supplier, or other individual or entity except as may otherwise be required by Laws and Regulations.

7.07 *Patent Fees and Royalties*

- A. Contractor shall pay all license fees and royalties and assume all costs incident to the use in the performance of the Work or the incorporation in the Work of any invention, design, process, product, or device which is the subject of patent rights or copyrights held by others. If a particular invention, design, process, product, or device is specified in the Contract Documents for use in the performance of the Work and if, to the actual knowledge of Owner or Engineer, its use is subject to patent rights or copyrights calling for the payment of any license fee or royalty to others, the existence of such rights shall be disclosed by Owner in the Contract Documents.
- B. To the fullest extent permitted by Laws and Regulations, Owner shall indemnify and hold harmless Contractor, and its officers, directors, members, partners, employees, agents, consultants, and subcontractors from and against all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals, and all court or arbitration or other dispute resolution costs) arising out of or relating to any infringement of patent rights or copyrights incident to the use in the performance of the Work or resulting from the incorporation in the Work of any invention, design, process, product, or device specified in the Contract Documents, but not identified as being subject to payment of any license fee or royalty to others required by patent rights or copyrights.
- C. To the fullest extent permitted by Laws and Regulations, Contractor shall indemnify and hold harmless Owner and Engineer, and the officers, directors, members, partners, employees, agents, consultants and subcontractors of each and any of them from and against all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to any infringement of patent rights or copyrights

incident to the use in the performance of the Work or resulting from the incorporation in the Work of any invention, design, process, product, or device not specified in the Contract Documents.

7.08 *Permits*

- A. Unless otherwise provided in the Contract Documents, Contractor shall obtain and pay for all construction permits and licenses. Owner shall assist Contractor, when necessary, in obtaining such permits and licenses. Contractor shall pay all governmental charges and inspection fees necessary for the prosecution of the Work which are applicable at the time of the submission of Contractor's Bid (or when Contractor became bound under a negotiated contract). Owner shall pay all charges of utility owners for connections for providing permanent service to the Work

7.09 *Taxes*

- A. Contractor shall pay all sales, consumer, use, and other similar taxes required to be paid by Contractor in accordance with the Laws and Regulations of the place of the Project which are applicable during the performance of the Work.

7.10 *Laws and Regulations*

- A. Contractor shall give all notices required by and shall comply with all Laws and Regulations applicable to the performance of the Work. Except where otherwise expressly required by applicable Laws and Regulations, neither Owner nor Engineer shall be responsible for monitoring Contractor's compliance with any Laws or Regulations.
- B. If Contractor performs any Work or takes any other action knowing or having reason to know that it is contrary to Laws or Regulations, Contractor shall bear all resulting costs and losses, and shall indemnify and hold harmless Owner and Engineer, and the officers, directors, members, partners, employees, agents, consultants, and subcontractors of each and any of them from and against all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to such Work or other action. It shall not be Contractor's responsibility to make certain that the Work described in the Contract Documents is in accordance with Laws and Regulations, but this shall not relieve Contractor of Contractor's obligations under Paragraph 3.03.
- C. Owner or Contractor may give notice to the other party of any changes after the submission of Contractor's Bid (or after the date when Contractor became bound under a negotiated contract) in Laws or Regulations having an effect on the cost or time of performance of the Work, including but not limited to changes in Laws or Regulations having an effect on procuring permits and on sales, use, value-added, consumption, and other similar taxes. If Owner and Contractor are unable to agree on entitlement to or on the amount or extent, if any, of any adjustment in Contract Price or Contract Times resulting from such changes, then within 30 days of such notice Contractor may submit a Change Proposal, or Owner may initiate a Claim.

7.11 *Record Documents*

- A. Contractor shall maintain in a safe place at the Site one printed record copy of all Drawings, Specifications, Addenda, Change Orders, Work Change Directives, Field Orders, written interpretations and clarifications, and approved Shop Drawings. Contractor shall keep such record documents in good order and annotate them to show changes made during construction. These record documents, together with all approved Samples, will be available to Engineer for

reference. Upon completion of the Work, Contractor shall deliver these record documents to Engineer.

7.12 *Safety and Protection*

- A. Contractor shall be solely responsible for initiating, maintaining, and supervising all safety precautions and programs in connection with the Work. Such responsibility does not relieve Subcontractors of their responsibility for the safety of persons or property in the performance of their work, nor for compliance with applicable safety Laws and Regulations. Contractor shall take all necessary precautions for the safety of, and shall provide the necessary protection to prevent damage, injury, or loss to:
1. all persons on the Site or who may be affected by the Work;
 2. all the Work and materials and equipment to be incorporated therein, whether in storage on or off the Site; and
 3. other property at the Site or adjacent thereto, including trees, shrubs, lawns, walks, pavements, roadways, structures, other work in progress, utilities, and Underground Facilities not designated for removal, relocation, or replacement in the course of construction.
- B. Contractor shall comply with all applicable Laws and Regulations relating to the safety of persons or property, or to the protection of persons or property from damage, injury, or loss; and shall erect and maintain all necessary safeguards for such safety and protection. Contractor shall notify Owner; the owners of adjacent property, Underground Facilities, and other utilities; and other contractors and utility owners performing work at or adjacent to the Site, when prosecution of the Work may affect them, and shall cooperate with them in the protection, removal, relocation, and replacement of their property or work in progress.
- C. Contractor shall comply with the applicable requirements of Owner's safety programs, if any. The Supplementary Conditions identify any Owner's safety programs that are applicable to the Work.
- D. Contractor shall inform Owner and Engineer of the specific requirements of Contractor's safety program with which Owner's and Engineer's employees and representatives must comply while at the Site.
- E. All damage, injury, or loss to any property referred to in Paragraph 7.12.A.2 or 7.12.A.3 caused, directly or indirectly, in whole or in part, by Contractor, any Subcontractor, Supplier, or any other individual or entity directly or indirectly employed by any of them to perform any of the Work, or anyone for whose acts any of them may be liable, shall be remedied by Contractor at its expense (except damage or loss attributable to the fault of Drawings or Specifications or to the acts or omissions of Owner or Engineer or anyone employed by any of them, or anyone for whose acts any of them may be liable, and not attributable, directly or indirectly, in whole or in part, to the fault or negligence of Contractor or any Subcontractor, Supplier, or other individual or entity directly or indirectly employed by any of them).
- F. Contractor's duties and responsibilities for safety and protection shall continue until such time as all the Work is completed and Engineer has issued a notice to Owner and Contractor in accordance with Paragraph 15.06.B that the Work is acceptable (except as otherwise expressly provided in connection with Substantial Completion).

- G. Contractor's duties and responsibilities for safety and protection shall resume whenever Contractor or any Subcontractor or Supplier returns to the Site to fulfill warranty or correction obligations, or to conduct other tasks arising from the Contract Documents.

7.13 *Safety Representative*

- A. Contractor shall designate a qualified and experienced safety representative at the Site whose duties and responsibilities shall be the prevention of accidents and the maintaining and supervising of safety precautions and programs.

7.14 *Hazard Communication Programs*

- A. Contractor shall be responsible for coordinating any exchange of material safety data sheets or other hazard communication information required to be made available to or exchanged between or among employers at the Site in accordance with Laws or Regulations.

7.15 *Emergencies*

- A. In emergencies affecting the safety or protection of persons or the Work or property at the Site or adjacent thereto, Contractor is obligated to act to prevent threatened damage, injury, or loss. Contractor shall give Engineer prompt written notice if Contractor believes that any significant changes in the Work or variations from the Contract Documents have been caused thereby or are required as a result thereof. If Engineer determines that a change in the Contract Documents is required because of the action taken by Contractor in response to such an emergency, a Work Change Directive or Change Order will be issued.

7.16 *Shop Drawings, Samples, and Other Submittals*

- A. Shop Drawing and Sample Submittal Requirements:

1. Before submitting a Shop Drawing or Sample, Contractor shall have:
 - a. reviewed and coordinated the Shop Drawing or Sample with other Shop Drawings and Samples and with the requirements of the Work and the Contract Documents;
 - b. determined and verified all field measurements, quantities, dimensions, specified performance and design criteria, installation requirements, materials, catalog numbers, and similar information with respect thereto;
 - c. determined and verified the suitability of all materials and equipment offered with respect to the indicated application, fabrication, shipping, handling, storage, assembly, and installation pertaining to the performance of the Work; and
 - d. determined and verified all information relative to Contractor's responsibilities for means, methods, techniques, sequences, and procedures of construction, and safety precautions and programs incident thereto.
2. Each submittal shall bear a stamp or specific written certification that Contractor has satisfied Contractor's obligations under the Contract Documents with respect to Contractor's review of that submittal, and that Contractor approves the submittal.
3. With each submittal, Contractor shall give Engineer specific written notice of any variations that the Shop Drawing or Sample may have from the requirements of the Contract Documents. This notice shall be set forth in a written communication separate from the Shop Drawings or Sample submittal; and, in addition, in the case of Shop Drawings by a specific notation made on each Shop Drawing submitted to Engineer for review and approval of each such variation.

- B. *Submittal Procedures for Shop Drawings and Samples:* Contractor shall submit Shop Drawings and Samples to Engineer for review and approval in accordance with the accepted Schedule of Submittals. Each submittal will be identified as Engineer may require.
1. *Shop Drawings:*
 - a. Contractor shall submit the number of copies required in the Specifications.
 - b. Data shown on the Shop Drawings will be complete with respect to quantities, dimensions, specified performance and design criteria, materials, and similar data to show Engineer the services, materials, and equipment Contractor proposes to provide and to enable Engineer to review the information for the limited purposes required by Paragraph 7.16.D.
 2. *Samples:*
 - a. Contractor shall submit the number of Samples required in the Specifications.
 - b. Contractor shall clearly identify each Sample as to material, Supplier, pertinent data such as catalog numbers, the use for which intended and other data as Engineer may require to enable Engineer to review the submittal for the limited purposes required by Paragraph 7.16.D.
 3. Where a Shop Drawing or Sample is required by the Contract Documents or the Schedule of Submittals, any related Work performed prior to Engineer's review and approval of the pertinent submittal will be at the sole expense and responsibility of Contractor.
- C. *Other Submittals:* Contractor shall submit other submittals to Engineer in accordance with the accepted Schedule of Submittals, and pursuant to the applicable terms of the Specifications.
- D. *Engineer's Review:*
1. Engineer will provide timely review of Shop Drawings and Samples in accordance with the Schedule of Submittals acceptable to Engineer. Engineer's review and approval will be only to determine if the items covered by the submittals will, after installation or incorporation in the Work, conform to the information given in the Contract Documents and be compatible with the design concept of the completed Project as a functioning whole as indicated by the Contract Documents.
 2. Engineer's review and approval will not extend to means, methods, techniques, sequences, or procedures of construction or to safety precautions or programs incident thereto.
 3. Engineer's review and approval of a separate item as such will not indicate approval of the assembly in which the item functions.
 4. Engineer's review and approval of a Shop Drawing or Sample shall not relieve Contractor from responsibility for any variation from the requirements of the Contract Documents unless Contractor has complied with the requirements of Paragraph 7.16.A.3 and Engineer has given written approval of each such variation by specific written notation thereof incorporated in or accompanying the Shop Drawing or Sample. Engineer will document any such approved variation from the requirements of the Contract Documents in a Field Order.
 5. Engineer's review and approval of a Shop Drawing or Sample shall not relieve Contractor from responsibility for complying with the requirements of Paragraph 7.16.A and B.

6. Engineer's review and approval of a Shop Drawing or Sample, or of a variation from the requirements of the Contract Documents, shall not, under any circumstances, change the Contract Times or Contract Price, unless such changes are included in a Change Order.
7. Neither Engineer's receipt, review, acceptance or approval of a Shop Drawing, Sample, or other submittal shall result in such item becoming a Contract Document.
8. Contractor shall perform the Work in compliance with the requirements and commitments set forth in approved Shop Drawings and Samples, subject to the provisions of Paragraph 7.16.D.4.

E. Resubmittal Procedures:

1. Contractor shall make corrections required by Engineer and shall return the required number of corrected copies of Shop Drawings and submit, as required, new Samples for review and approval. Contractor shall direct specific attention in writing to revisions other than the corrections called for by Engineer on previous submittals.
2. Contractor shall furnish required submittals with sufficient information and accuracy to obtain required approval of an item with no more than three submittals. Engineer will record Engineer's time for reviewing a fourth or subsequent submittal of a Shop Drawings, sample, or other item requiring approval, and Contractor shall be responsible for Engineer's charges to Owner for such time. Owner may impose a set-off against payments due to Contractor to secure reimbursement for such charges.
3. If Contractor requests a change of a previously approved submittal item, Contractor shall be responsible for Engineer's charges to Owner for its review time, and Owner may impose a set-off against payments due to Contractor to secure reimbursement for such charges, unless the need for such change is beyond the control of Contractor.

7.17 *Contractor's General Warranty and Guarantee*

- A. Contractor warrants and guarantees to Owner that all Work will be in accordance with the Contract Documents and will not be defective. Engineer and its officers, directors, members, partners, employees, agents, consultants, and subcontractors shall be entitled to rely on Contractor's warranty and guarantee.
- B. Contractor's warranty and guarantee hereunder excludes defects or damage caused by:
 1. abuse, modification, or improper maintenance or operation by persons other than Contractor, Subcontractors, Suppliers, or any other individual or entity for whom Contractor is responsible; or
 2. normal wear and tear under normal usage.
- C. Contractor's obligation to perform and complete the Work in accordance with the Contract Documents shall be absolute. None of the following will constitute an acceptance of Work that is not in accordance with the Contract Documents or a release of Contractor's obligation to perform the Work in accordance with the Contract Documents:
 1. observations by Engineer;
 2. recommendation by Engineer or payment by Owner of any progress or final payment;
 3. the issuance of a certificate of Substantial Completion by Engineer or any payment related thereto by Owner;
 4. use or occupancy of the Work or any part thereof by Owner;

5. any review and approval of a Shop Drawing or Sample submittal;
 6. the issuance of a notice of acceptability by Engineer;
 7. any inspection, test, or approval by others; or
 8. any correction of defective Work by Owner.
- D. If the Contract requires the Contractor to accept the assignment of a contract entered into by Owner, then the specific warranties, guarantees, and correction obligations contained in the assigned contract shall govern with respect to Contractor's performance obligations to Owner for the Work described in the assigned contract.

7.18 *Indemnification*

- A. To the fullest extent permitted by Laws and Regulations, and in addition to any other obligations of Contractor under the Contract or otherwise, Contractor shall indemnify and hold harmless Owner and Engineer, and the officers, directors, members, partners, employees, agents, consultants and subcontractors of each and any of them from and against all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to the performance of the Work, provided that any such claim, cost, loss, or damage is attributable to bodily injury, sickness, disease, or death, or to injury to or destruction of tangible property (other than the Work itself), including the loss of use resulting therefrom but only to the extent caused by any negligent act or omission of Contractor, any Subcontractor, any Supplier, or any individual or entity directly or indirectly employed by any of them to perform any of the Work or anyone for whose acts any of them may be liable.
- B. In any and all claims against Owner or Engineer or any of their officers, directors, members, partners, employees, agents, consultants, or subcontractors by any employee (or the survivor or personal representative of such employee) of Contractor, any Subcontractor, any Supplier, or any individual or entity directly or indirectly employed by any of them to perform any of the Work, or anyone for whose acts any of them may be liable, the indemnification obligation under Paragraph 7.18.A shall not be limited in any way by any limitation on the amount or type of damages, compensation, or benefits payable by or for Contractor or any such Subcontractor, Supplier, or other individual or entity under workers' compensation acts, disability benefit acts, or other employee benefit acts.
- C. The indemnification obligations of Contractor under Paragraph 7.18.A shall not extend to the liability of Engineer and Engineer's officers, directors, members, partners, employees, agents, consultants and subcontractors arising out of:
1. the preparation or approval of, or the failure to prepare or approve maps, Drawings, opinions, reports, surveys, Change Orders, designs, or Specifications; or
 2. giving directions or instructions, or failing to give them, if that is the primary cause of the injury or damage.

7.19 *Delegation of Professional Design Services*

- A. Contractor will not be required to provide professional design services unless such services are specifically required by the Contract Documents for a portion of the Work or unless such services are required to carry out Contractor's responsibilities for construction means, methods, techniques, sequences and procedures. Contractor shall not be required to provide professional services in violation of applicable Laws and Regulations.

- B. If professional design services or certifications by a design professional related to systems, materials, or equipment are specifically required of Contractor by the Contract Documents, Owner and Engineer will specify all performance and design criteria that such services must satisfy. Contractor shall cause such services or certifications to be provided by a properly licensed professional, whose signature and seal shall appear on all drawings, calculations, specifications, certifications, and other submittals prepared by such professional. Shop Drawings and other submittals related to the Work designed or certified by such professional, if prepared by others, shall bear such professional's written approval when submitted to Engineer.
- C. Owner and Engineer shall be entitled to rely upon the adequacy, accuracy, and completeness of the services, certifications, or approvals performed by such design professionals, provided Owner and Engineer have specified to Contractor all performance and design criteria that such services must satisfy.
- D. Pursuant to this paragraph, Engineer's review and approval of design calculations and design drawings will be only for the limited purpose of checking for conformance with performance and design criteria given and the design concept expressed in the Contract Documents. Engineer's review and approval of Shop Drawings and other submittals (except design calculations and design drawings) will be only for the purpose stated in Paragraph 7.16.D.1.
- E. Contractor shall not be responsible for the adequacy of the performance or design criteria specified by Owner or Engineer.

ARTICLE 8 – OTHER WORK AT THE SITE

8.01 *Other Work*

- A. In addition to and apart from the Work under the Contract Documents, the Owner may perform other work at or adjacent to the Site. Such other work may be performed by Owner's employees, or through contracts between the Owner and third parties. Owner may also arrange to have third-party utility owners perform work on their utilities and facilities at or adjacent to the Site.
- B. If Owner performs other work at or adjacent to the Site with Owner's employees, or through contracts for such other work, then Owner shall give Contractor written notice thereof prior to starting any such other work. If Owner has advance information regarding the start of any utility work at or adjacent to the Site, Owner shall provide such information to Contractor.
- C. Contractor shall afford each other contractor that performs such other work, each utility owner performing other work, and Owner, if Owner is performing other work with Owner's employees, proper and safe access to the Site, and provide a reasonable opportunity for the introduction and storage of materials and equipment and the execution of such other work. Contractor shall do all cutting, fitting, and patching of the Work that may be required to properly connect or otherwise make its several parts come together and properly integrate with such other work. Contractor shall not endanger any work of others by cutting, excavating, or otherwise altering such work; provided, however, that Contractor may cut or alter others' work with the written consent of Engineer and the others whose work will be affected.
- D. If the proper execution or results of any part of Contractor's Work depends upon work performed by others under this Article 8, Contractor shall inspect such other work and promptly report to Engineer in writing any delays, defects, or deficiencies in such other work that render it unavailable or unsuitable for the proper execution and results of Contractor's Work. Contractor's failure to so report will constitute an acceptance of such other work as fit and

proper for integration with Contractor's Work except for latent defects and deficiencies in such other work.

8.02 *Coordination*

- A. If Owner intends to contract with others for the performance of other work at or adjacent to the Site, to perform other work at or adjacent to the Site with Owner's employees, or to arrange to have utility owners perform work at or adjacent to the Site, the following will be set forth in the Supplementary Conditions or provided to Contractor prior to the start of any such other work:
 - 1. the identity of the individual or entity that will have authority and responsibility for coordination of the activities among the various contractors;
 - 2. an itemization of the specific matters to be covered by such authority and responsibility; and
 - 3. the extent of such authority and responsibilities.
- B. Unless otherwise provided in the Supplementary Conditions, Owner shall have sole authority and responsibility for such coordination.

8.03 *Legal Relationships*

- A. If, in the course of performing other work at or adjacent to the Site for Owner, the Owner's employees, any other contractor working for Owner, or any utility owner causes damage to the Work or to the property of Contractor or its Subcontractors, or delays, disrupts, interferes with, or increases the scope or cost of the performance of the Work, through actions or inaction, then Contractor shall be entitled to an equitable adjustment in the Contract Price or the Contract Times, or both. Contractor must submit any Change Proposal seeking an equitable adjustment in the Contract Price or the Contract Times under this paragraph within 30 days of the damaging, delaying, disrupting, or interfering event. The entitlement to, and extent of, any such equitable adjustment shall take into account information (if any) regarding such other work that was provided to Contractor in the Contract Documents prior to the submittal of the Bid or the final negotiation of the terms of the Contract. When applicable, any such equitable adjustment in Contract Price shall be conditioned on Contractor assigning to Owner all Contractor's rights against such other contractor or utility owner with respect to the damage, delay, disruption, or interference that is the subject of the adjustment. Contractor's entitlement to an adjustment of the Contract Times is conditioned on such adjustment being essential to Contractor's ability to complete the Work within the Contract Times.
- B. Contractor shall take reasonable and customary measures to avoid damaging, delaying, disrupting, or interfering with the work of Owner, any other contractor, or any utility owner performing other work at or adjacent to the Site. If Contractor fails to take such measures and as a result damages, delays, disrupts, or interferes with the work of any such other contractor or utility owner, then Owner may impose a set-off against payments due to Contractor, and assign to such other contractor or utility owner the Owner's contractual rights against Contractor with respect to the breach of the obligations set forth in this paragraph.
- C. When Owner is performing other work at or adjacent to the Site with Owner's employees, Contractor shall be liable to Owner for damage to such other work, and for the reasonable direct delay, disruption, and interference costs incurred by Owner as a result of Contractor's failure to take reasonable and customary measures with respect to Owner's other work. In response to such damage, delay, disruption, or interference, Owner may impose a set-off against payments due to Contractor.

- D. If Contractor damages, delays, disrupts, or interferes with the work of any other contractor, or any utility owner performing other work at or adjacent to the Site, through Contractor's failure to take reasonable and customary measures to avoid such impacts, or if any claim arising out of Contractor's actions, inactions, or negligence in performance of the Work at or adjacent to the Site is made by any such other contractor or utility owner against Contractor, Owner, or Engineer, then Contractor shall (1) promptly attempt to settle the claim as to all parties through negotiations with such other contractor or utility owner, or otherwise resolve the claim by arbitration or other dispute resolution proceeding or at law, and (2) indemnify and hold harmless Owner and Engineer, and the officers, directors, members, partners, employees, agents, consultants and subcontractors of each and any of them from and against any such claims, and against all costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to such damage, delay, disruption, or interference.

ARTICLE 9 – OWNER'S RESPONSIBILITIES

9.01 *Communications to Contractor*

- A. Except as otherwise provided in these General Conditions, Owner shall issue all communications to Contractor through Engineer.

9.02 *Replacement of Engineer*

- A. Owner may at its discretion appoint an engineer to replace Engineer, provided Contractor makes no reasonable objection to the replacement engineer. The replacement engineer's status under the Contract Documents shall be that of the former Engineer.

9.03 *Furnish Data*

- A. Owner shall promptly furnish the data required of Owner under the Contract Documents.

9.04 *Pay When Due*

- A. Owner shall make payments to Contractor when they are due as provided in the Agreement.

9.05 *Lands and Easements; Reports, Tests, and Drawings*

- A. Owner's duties with respect to providing lands and easements are set forth in Paragraph 5.01.
B. Owner's duties with respect to providing engineering surveys to establish reference points are set forth in Paragraph 4.03.
C. Article 5 refers to Owner's identifying and making available to Contractor copies of reports of explorations and tests of conditions at the Site, and drawings of physical conditions relating to existing surface or subsurface structures at the Site.

9.06 *Insurance*

- A. Owner's responsibilities, if any, with respect to purchasing and maintaining liability and property insurance are set forth in Article 6.

9.07 *Change Orders*

- A. Owner's responsibilities with respect to Change Orders are set forth in Article 11.

9.08 *Inspections, Tests, and Approvals*

- A. Owner's responsibility with respect to certain inspections, tests, and approvals is set forth in Paragraph 14.02.B.

9.09 *Limitations on Owner's Responsibilities*

- A. The Owner shall not supervise, direct, or have control or authority over, nor be responsible for, Contractor's means, methods, techniques, sequences, or procedures of construction, or the safety precautions and programs incident thereto, or for any failure of Contractor to comply with Laws and Regulations applicable to the performance of the Work. Owner will not be responsible for Contractor's failure to perform the Work in accordance with the Contract Documents.

9.10 *Undisclosed Hazardous Environmental Condition*

- A. Owner's responsibility in respect to an undisclosed Hazardous Environmental Condition is set forth in Paragraph 5.06.

9.11 *Evidence of Financial Arrangements*

- A. Upon request of Contractor, Owner shall furnish Contractor reasonable evidence that financial arrangements have been made to satisfy Owner's obligations under the Contract Documents (including obligations under proposed changes in the Work).

9.12 *Safety Programs*

- A. While at the Site, Owner's employees and representatives shall comply with the specific applicable requirements of Contractor's safety programs of which Owner has been informed.
- B. Owner shall furnish copies of any applicable Owner safety programs to Contractor.

ARTICLE 10 – ENGINEER'S STATUS DURING CONSTRUCTION

10.01 *Owner's Representative*

- A. Engineer will be Owner's representative during the construction period. The duties and responsibilities and the limitations of authority of Engineer as Owner's representative during construction are set forth in the Contract.

10.02 *Visits to Site*

- A. Engineer will make visits to the Site at intervals appropriate to the various stages of construction as Engineer deems necessary in order to observe as an experienced and qualified design professional the progress that has been made and the quality of the various aspects of Contractor's executed Work. Based on information obtained during such visits and observations, Engineer, for the benefit of Owner, will determine, in general, if the Work is proceeding in accordance with the Contract Documents. Engineer will not be required to make exhaustive or continuous inspections on the Site to check the quality or quantity of the Work. Engineer's efforts will be directed toward providing for Owner a greater degree of confidence that the completed Work will conform generally to the Contract Documents. On the basis of such visits and observations, Engineer will keep Owner informed of the progress of the Work and will endeavor to guard Owner against defective Work.
- B. Engineer's visits and observations are subject to all the limitations on Engineer's authority and responsibility set forth in Paragraph 10.08. Particularly, but without limitation, during or as a result of Engineer's visits or observations of Contractor's Work, Engineer will not supervise,

direct, control, or have authority over or be responsible for Contractor's means, methods, techniques, sequences, or procedures of construction, or the safety precautions and programs incident thereto, or for any failure of Contractor to comply with Laws and Regulations applicable to the performance of the Work.

10.03 *Project Representative*

- A. If Owner and Engineer have agreed that Engineer will furnish a Resident Project Representative to represent Engineer at the Site and assist Engineer in observing the progress and quality of the Work, then the authority and responsibilities of any such Resident Project Representative will be as provided in the Supplementary Conditions, and limitations on the responsibilities thereof will be as provided in Paragraph 10.08. If Owner designates another representative or agent to represent Owner at the Site who is not Engineer's consultant, agent, or employee, the responsibilities and authority and limitations thereon of such other individual or entity will be as provided in the Supplementary Conditions.

10.04 *Rejecting Defective Work*

- A. Engineer has the authority to reject Work in accordance with Article 14.

10.05 *Shop Drawings, Change Orders and Payments*

- A. Engineer's authority, and limitations thereof, as to Shop Drawings and Samples, are set forth in Paragraph 7.16.
- B. Engineer's authority, and limitations thereof, as to design calculations and design drawings submitted in response to a delegation of professional design services, if any, are set forth in Paragraph 7.19.
- C. Engineer's authority as to Change Orders is set forth in Article 11.
- D. Engineer's authority as to Applications for Payment is set forth in Article 15.

10.06 *Determinations for Unit Price Work*

- A. Engineer will determine the actual quantities and classifications of Unit Price Work performed by Contractor as set forth in Paragraph 13.03.

10.07 *Decisions on Requirements of Contract Documents and Acceptability of Work*

- A. Engineer will render decisions regarding the requirements of the Contract Documents, and judge the acceptability of the Work, pursuant to the specific procedures set forth herein for initial interpretations, Change Proposals, and acceptance of the Work. In rendering such decisions and judgments, Engineer will not show partiality to Owner or Contractor, and will not be liable to Owner, Contractor, or others in connection with any proceedings, interpretations, decisions, or judgments conducted or rendered in good faith.

10.08 *Limitations on Engineer's Authority and Responsibilities*

- A. Neither Engineer's authority or responsibility under this Article 10 or under any other provision of the Contract, nor any decision made by Engineer in good faith either to exercise or not exercise such authority or responsibility or the undertaking, exercise, or performance of any authority or responsibility by Engineer, shall create, impose, or give rise to any duty in contract, tort, or otherwise owed by Engineer to Contractor, any Subcontractor, any Supplier, any other individual or entity, or to any surety for or employee or agent of any of them.
- B. Engineer will not supervise, direct, control, or have authority over or be responsible for Contractor's means, methods, techniques, sequences, or procedures of construction, or the

safety precautions and programs incident thereto, or for any failure of Contractor to comply with Laws and Regulations applicable to the performance of the Work. Engineer will not be responsible for Contractor's failure to perform the Work in accordance with the Contract Documents.

- C. Engineer will not be responsible for the acts or omissions of Contractor or of any Subcontractor, any Supplier, or of any other individual or entity performing any of the Work.
- D. Engineer's review of the final Application for Payment and accompanying documentation and all maintenance and operating instructions, schedules, guarantees, bonds, certificates of inspection, tests and approvals, and other documentation required to be delivered by Paragraph 15.06.A will only be to determine generally that their content complies with the requirements of, and in the case of certificates of inspections, tests, and approvals, that the results certified indicate compliance with the Contract Documents.
- E. The limitations upon authority and responsibility set forth in this Paragraph 10.08 shall also apply to the Resident Project Representative, if any.

10.09 *Compliance with Safety Program*

- A. While at the Site, Engineer's employees and representatives will comply with the specific applicable requirements of Owner's and Contractor's safety programs (if any) of which Engineer has been informed.

ARTICLE 11 – AMENDING THE CONTRACT DOCUMENTS; CHANGES IN THE WORK

11.01 *Amending and Supplementing Contract Documents*

- A. The Contract Documents may be amended or supplemented by a Change Order, a Work Change Directive, or a Field Order.
 - 1. *Change Orders:*
 - a. If an amendment or supplement to the Contract Documents includes a change in the Contract Price or the Contract Times, such amendment or supplement must be set forth in a Change Order. A Change Order also may be used to establish amendments and supplements of the Contract Documents that do not affect the Contract Price or Contract Times.
 - b. Owner and Contractor may amend those terms and conditions of the Contract Documents that do not involve (1) the performance or acceptability of the Work, (2) the design (as set forth in the Drawings, Specifications, or otherwise), or (3) other engineering or technical matters, without the recommendation of the Engineer. Such an amendment shall be set forth in a Change Order.
 - 2. *Work Change Directives:* A Work Change Directive will not change the Contract Price or the Contract Times but is evidence that the parties expect that the modification ordered or documented by a Work Change Directive will be incorporated in a subsequently issued Change Order, following negotiations by the parties as to the Work Change Directive's effect, if any, on the Contract Price and Contract Times; or, if negotiations are unsuccessful, by a determination under the terms of the Contract Documents governing adjustments, expressly including Paragraph 11.04 regarding change of Contract Price. Contractor must submit any Change Proposal seeking an adjustment of the Contract Price or the Contract Times, or both, no later than 30 days after the completion of the Work set out in the Work Change Directive. Owner must submit any Claim seeking an adjustment

of the Contract Price or the Contract Times, or both, no later than 60 days after issuance of the Work Change Directive.

3. *Field Orders*: Engineer may authorize minor changes in the Work if the changes do not involve an adjustment in the Contract Price or the Contract Times and are compatible with the design concept of the completed Project as a functioning whole as indicated by the Contract Documents. Such changes will be accomplished by a Field Order and will be binding on Owner and also on Contractor, which shall perform the Work involved promptly. If Contractor believes that a Field Order justifies an adjustment in the Contract Price or Contract Times, or both, then before proceeding with the Work at issue, Contractor shall submit a Change Proposal as provided herein.

11.02 *Owner-Authorized Changes in the Work*

- A. Without invalidating the Contract and without notice to any surety, Owner may, at any time or from time to time, order additions, deletions, or revisions in the Work. Such changes shall be supported by Engineer's recommendation, to the extent the change involves the design (as set forth in the Drawings, Specifications, or otherwise), or other engineering or technical matters. Such changes may be accomplished by a Change Order, if Owner and Contractor have agreed as to the effect, if any, of the changes on Contract Times or Contract Price; or by a Work Change Directive. Upon receipt of any such document, Contractor shall promptly proceed with the Work involved; or, in the case of a deletion in the Work, promptly cease construction activities with respect to such deleted Work. Added or revised Work shall be performed under the applicable conditions of the Contract Documents. Nothing in this paragraph shall obligate Contractor to undertake work that Contractor reasonably concludes cannot be performed in a manner consistent with Contractor's safety obligations under the Contract Documents or Laws and Regulations.

11.03 *Unauthorized Changes in the Work*

- A. Contractor shall not be entitled to an increase in the Contract Price or an extension of the Contract Times with respect to any work performed that is not required by the Contract Documents, as amended, modified, or supplemented, except in the case of an emergency as provided in Paragraph 7.15 or in the case of uncovering Work as provided in Paragraph 14.05.

11.04 *Change of Contract Price*

- A. The Contract Price may only be changed by a Change Order. Any Change Proposal for an adjustment in the Contract Price shall comply with the provisions of Paragraph 11.06. Any Claim for an adjustment of Contract Price shall comply with the provisions of Article 12.
- B. An adjustment in the Contract Price will be determined as follows:
 1. where the Work involved is covered by unit prices contained in the Contract Documents, then by application of such unit prices to the quantities of the items involved (subject to the provisions of Paragraph 13.03); or
 2. where the Work involved is not covered by unit prices contained in the Contract Documents, then by a mutually agreed lump sum (which may include an allowance for overhead and profit not necessarily in accordance with Paragraph 11.04.C.2); or
 3. where the Work involved is not covered by unit prices contained in the Contract Documents and the parties do not reach mutual agreement to a lump sum, then on the basis of the Cost of the Work (determined as provided in Paragraph 13.01) plus a Contractor's fee for overhead and profit (determined as provided in Paragraph 11.04.C).

- C. *Contractor's Fee*: When applicable, the Contractor's fee for overhead and profit shall be determined as follows:
1. a mutually acceptable fixed fee; or
 2. if a fixed fee is not agreed upon, then a fee based on the following percentages of the various portions of the Cost of the Work:
 - a. for costs incurred under Paragraphs 13.01.B.1 and 13.01.B.2, the Contractor's fee shall be 15 percent;
 - b. for costs incurred under Paragraph 13.01.B.3, the Contractor's fee shall be five percent;
 - c. where one or more tiers of subcontracts are on the basis of Cost of the Work plus a fee and no fixed fee is agreed upon, the intent of Paragraphs 11.01.C.2.a and 11.01.C.2.b is that the Contractor's fee shall be based on: (1) a fee of 15 percent of the costs incurred under Paragraphs 13.01.A.1 and 13.01.A.2 by the Subcontractor that actually performs the Work, at whatever tier, and (2) with respect to Contractor itself and to any Subcontractors of a tier higher than that of the Subcontractor that actually performs the Work, a fee of five percent of the amount (fee plus underlying costs incurred) attributable to the next lower tier Subcontractor; provided, however, that for any such subcontracted work the maximum total fee to be paid by Owner shall be no greater than 27 percent of the costs incurred by the Subcontractor that actually performs the work;
 - d. no fee shall be payable on the basis of costs itemized under Paragraphs 13.01.B.4, 13.01.B.5, and 13.01.C;
 - e. the amount of credit to be allowed by Contractor to Owner for any change which results in a net decrease in cost will be the amount of the actual net decrease in cost plus a deduction in Contractor's fee by an amount equal to five percent of such net decrease; and
 - f. when both additions and credits are involved in any one change, the adjustment in Contractor's fee shall be computed on the basis of the net change in accordance with Paragraphs 11.04.C.2.a through 11.04.C.2.e, inclusive.

11.05 *Change of Contract Times*

- A. The Contract Times may only be changed by a Change Order. Any Change Proposal for an adjustment in the Contract Times shall comply with the provisions of Paragraph 11.06. Any Claim for an adjustment in the Contract Times shall comply with the provisions of Article 12.
- B. An adjustment of the Contract Times shall be subject to the limitations set forth in Paragraph 4.05, concerning delays in Contractor's progress.

11.06 *Change Proposals*

- A. Contractor shall submit a Change Proposal to Engineer to request an adjustment in the Contract Times or Contract Price; appeal an initial decision by Engineer concerning the requirements of the Contract Documents or relating to the acceptability of the Work under the Contract Documents; contest a set-off against payment due; or seek other relief under the Contract. The Change Proposal shall specify any proposed change in Contract Times or Contract Price, or both, or other proposed relief, and explain the reason for the proposed change, with citations to any governing or applicable provisions of the Contract Documents.

1. *Procedures*: Contractor shall submit each Change Proposal to Engineer promptly (but in no event later than 30 days) after the start of the event giving rise thereto, or after such initial decision. The Contractor shall submit supporting data, including the proposed change in Contract Price or Contract Time (if any), to the Engineer and Owner within 15 days after the submittal of the Change Proposal. The supporting data shall be accompanied by a written statement that the supporting data are accurate and complete, and that any requested time or price adjustment is the entire adjustment to which Contractor believes it is entitled as a result of said event. Engineer will advise Owner regarding the Change Proposal, and consider any comments or response from Owner regarding the Change Proposal.
 2. *Engineer's Action*: Engineer will review each Change Proposal and, within 30 days after receipt of the Contractor's supporting data, either deny the Change Proposal in whole, approve it in whole, or deny it in part and approve it in part. Such actions shall be in writing, with a copy provided to Owner and Contractor. If Engineer does not take action on the Change Proposal within 30 days, then either Owner or Contractor may at any time thereafter submit a letter to the other party indicating that as a result of Engineer's inaction the Change Proposal is deemed denied, thereby commencing the time for appeal of the denial under Article 12.
 3. *Binding Decision*: Engineer's decision will be final and binding upon Owner and Contractor, unless Owner or Contractor appeals the decision by filing a Claim under Article 12.
- B. *Resolution of Certain Change Proposals*: If the Change Proposal does not involve the design (as set forth in the Drawings, Specifications, or otherwise), the acceptability of the Work, or other engineering or technical matters, then Engineer will notify the parties that the Engineer is unable to resolve the Change Proposal. For purposes of further resolution of such a Change Proposal, such notice shall be deemed a denial, and Contractor may choose to seek resolution under the terms of Article 12.

11.07 *Execution of Change Orders*

- A. Owner and Contractor shall execute appropriate Change Orders covering:
1. changes in the Contract Price or Contract Times which are agreed to by the parties, including any undisputed sum or amount of time for Work actually performed in accordance with a Work Change Directive;
 2. changes in Contract Price resulting from an Owner set-off, unless Contractor has duly contested such set-off;
 3. changes in the Work which are: (a) ordered by Owner pursuant to Paragraph 11.02, (b) required because of Owner's acceptance of defective Work under Paragraph 14.04 or Owner's correction of defective Work under Paragraph 14.07, or (c) agreed to by the parties, subject to the need for Engineer's recommendation if the change in the Work involves the design (as set forth in the Drawings, Specifications, or otherwise), or other engineering or technical matters; and
 4. changes in the Contract Price or Contract Times, or other changes, which embody the substance of any final and binding results under Paragraph 11.06, or Article 12.

- B. If Owner or Contractor refuses to execute a Change Order that is required to be executed under the terms of this Paragraph 11.07, it shall be deemed to be of full force and effect, as if fully executed.

11.08 *Notification to Surety*

- A. If the provisions of any bond require notice to be given to a surety of any change affecting the general scope of the Work or the provisions of the Contract Documents (including, but not limited to, Contract Price or Contract Times), the giving of any such notice will be Contractor's responsibility. The amount of each applicable bond will be adjusted to reflect the effect of any such change.

ARTICLE 12 – CLAIMS

12.01 *Claims*

- A. *Claims Process:* The following disputes between Owner and Contractor shall be submitted to the Claims process set forth in this Article:
 - 1. Appeals by Owner or Contractor of Engineer's decisions regarding Change Proposals;
 - 2. Owner demands for adjustments in the Contract Price or Contract Times, or other relief under the Contract Documents; and
 - 3. Disputes that Engineer has been unable to address because they do not involve the design (as set forth in the Drawings, Specifications, or otherwise), the acceptability of the Work, or other engineering or technical matters.
- B. *Submittal of Claim:* The party submitting a Claim shall deliver it directly to the other party to the Contract promptly (but in no event later than 30 days) after the start of the event giving rise thereto; in the case of appeals regarding Change Proposals within 30 days of the decision under appeal. The party submitting the Claim shall also furnish a copy to the Engineer, for its information only. The responsibility to substantiate a Claim shall rest with the party making the Claim. In the case of a Claim by Contractor seeking an increase in the Contract Times or Contract Price, or both, Contractor shall certify that the Claim is made in good faith, that the supporting data are accurate and complete, and that to the best of Contractor's knowledge and belief the amount of time or money requested accurately reflects the full amount to which Contractor is entitled.
- C. *Review and Resolution:* The party receiving a Claim shall review it thoroughly, giving full consideration to its merits. The two parties shall seek to resolve the Claim through the exchange of information and direct negotiations. The parties may extend the time for resolving the Claim by mutual agreement. All actions taken on a Claim shall be stated in writing and submitted to the other party, with a copy to Engineer.
- D. *Mediation:*
 - 1. At any time after initiation of a Claim, Owner and Contractor may mutually agree to mediation of the underlying dispute. The agreement to mediate shall stay the Claim submittal and response process.
 - 2. If Owner and Contractor agree to mediation, then after 60 days from such agreement, either Owner or Contractor may unilaterally terminate the mediation process, and the Claim submittal and decision process shall resume as of the date of the termination. If the mediation proceeds but is unsuccessful in resolving the dispute, the Claim submittal and

decision process shall resume as of the date of the conclusion of the mediation, as determined by the mediator.

3. Owner and Contractor shall each pay one-half of the mediator's fees and costs.
- E. *Partial Approval*: If the party receiving a Claim approves the Claim in part and denies it in part, such action shall be final and binding unless within 30 days of such action the other party invokes the procedure set forth in Article 17 for final resolution of disputes.
- F. *Denial of Claim*: If efforts to resolve a Claim are not successful, the party receiving the Claim may deny it by giving written notice of denial to the other party. If the receiving party does not take action on the Claim within 90 days, then either Owner or Contractor may at any time thereafter submit a letter to the other party indicating that as a result of the inaction, the Claim is deemed denied, thereby commencing the time for appeal of the denial. A denial of the Claim shall be final and binding unless within 30 days of the denial the other party invokes the procedure set forth in Article 17 for the final resolution of disputes.
- G. *Final and Binding Results*: If the parties reach a mutual agreement regarding a Claim, whether through approval of the Claim, direct negotiations, mediation, or otherwise; or if a Claim is approved in part and denied in part, or denied in full, and such actions become final and binding; then the results of the agreement or action on the Claim shall be incorporated in a Change Order to the extent they affect the Contract, including the Work, the Contract Times, or the Contract Price.

ARTICLE 13 – COST OF THE WORK; ALLOWANCES; UNIT PRICE WORK

13.01 *Cost of the Work*

- A. *Purposes for Determination of Cost of the Work*: The term Cost of the Work means the sum of all costs necessary for the proper performance of the Work at issue, as further defined below. The provisions of this Paragraph 13.01 are used for two distinct purposes:
 1. To determine Cost of the Work when Cost of the Work is a component of the Contract Price, under cost-plus-fee, time-and-materials, or other cost-based terms; or
 2. To determine the value of a Change Order, Change Proposal, Claim, set-off, or other adjustment in Contract Price. When the value of any such adjustment is determined on the basis of Cost of the Work, Contractor is entitled only to those additional or incremental costs required because of the change in the Work or because of the event giving rise to the adjustment.
- B. *Costs Included*: Except as otherwise may be agreed to in writing by Owner, costs included in the Cost of the Work shall be in amounts no higher than those prevailing in the locality of the Project, shall not include any of the costs itemized in Paragraph 13.01.C, and shall include only the following items:
 1. Payroll costs for employees in the direct employ of Contractor in the performance of the Work under schedules of job classifications agreed upon by Owner and Contractor. Such employees shall include, without limitation, superintendents, foremen, and other personnel employed full time on the Work. Payroll costs for employees not employed full time on the Work shall be apportioned on the basis of their time spent on the Work. Payroll costs shall include, but not be limited to, salaries and wages plus the cost of fringe benefits, which shall include social security contributions, unemployment, excise, and payroll taxes, workers' compensation, health and retirement benefits, bonuses, sick leave, and vacation

and holiday pay applicable thereto. The expenses of performing Work outside of regular working hours, on Saturday, Sunday, or legal holidays, shall be included in the above to the extent authorized by Owner.

2. Cost of all materials and equipment furnished and incorporated in the Work, including costs of transportation and storage thereof, and Suppliers' field services required in connection therewith. All cash discounts shall accrue to Contractor unless Owner deposits funds with Contractor with which to make payments, in which case the cash discounts shall accrue to Owner. All trade discounts, rebates, and refunds and returns from sale of surplus materials and equipment shall accrue to Owner, and Contractor shall make provisions so that they may be obtained.
3. Payments made by Contractor to Subcontractors for Work performed by Subcontractors. If required by Owner, Contractor shall obtain competitive bids from subcontractors acceptable to Owner and Contractor and shall deliver such bids to Owner, who will then determine, with the advice of Engineer, which bids, if any, will be acceptable. If any subcontract provides that the Subcontractor is to be paid on the basis of Cost of the Work plus a fee, the Subcontractor's Cost of the Work and fee shall be determined in the same manner as Contractor's Cost of the Work and fee as provided in this Paragraph 13.01.
4. Costs of special consultants (including but not limited to engineers, architects, testing laboratories, surveyors, attorneys, and accountants) employed for services specifically related to the Work.
5. Supplemental costs including the following:
 - a. The proportion of necessary transportation, travel, and subsistence expenses of Contractor's employees incurred in discharge of duties connected with the Work.
 - b. Cost, including transportation and maintenance, of all materials, supplies, equipment, machinery, appliances, office, and temporary facilities at the Site, and hand tools not owned by the workers, which are consumed in the performance of the Work, and cost, less market value, of such items used but not consumed which remain the property of Contractor.
 - c. Rentals of all construction equipment and machinery, and the parts thereof, whether rented from Contractor or others in accordance with rental agreements approved by Owner with the advice of Engineer, and the costs of transportation, loading, unloading, assembly, dismantling, and removal thereof. All such costs shall be in accordance with the terms of said rental agreements. The rental of any such equipment, machinery, or parts shall cease when the use thereof is no longer necessary for the Work.
 - d. Sales, consumer, use, and other similar taxes related to the Work, and for which Contractor is liable, as imposed by Laws and Regulations.
 - e. Deposits lost for causes other than negligence of Contractor, any Subcontractor, or anyone directly or indirectly employed by any of them or for whose acts any of them may be liable, and royalty payments and fees for permits and licenses.
 - f. Losses and damages (and related expenses) caused by damage to the Work, not compensated by insurance or otherwise, sustained by Contractor in connection with the performance of the Work (except losses and damages within the deductible amounts of property insurance established in accordance with Paragraph 6.05), provided such losses and damages have resulted from causes other than the negligence

of Contractor, any Subcontractor, or anyone directly or indirectly employed by any of them or for whose acts any of them may be liable. Such losses shall include settlements made with the written consent and approval of Owner. No such losses, damages, and expenses shall be included in the Cost of the Work for the purpose of determining Contractor's fee.

- g. The cost of utilities, fuel, and sanitary facilities at the Site.
- h. Minor expenses such as communication service at the Site, express and courier services, and similar petty cash items in connection with the Work.
- i. The costs of premiums for all bonds and insurance that Contractor is required by the Contract Documents to purchase and maintain.

C. *Costs Excluded:* The term Cost of the Work shall not include any of the following items:

- 1. Payroll costs and other compensation of Contractor's officers, executives, principals (of partnerships and sole proprietorships), general managers, safety managers, engineers, architects, estimators, attorneys, auditors, accountants, purchasing and contracting agents, expeditors, timekeepers, clerks, and other personnel employed by Contractor, whether at the Site or in Contractor's principal or branch office for general administration of the Work and not specifically included in the agreed upon schedule of job classifications referred to in Paragraph 13.01.B.1 or specifically covered by Paragraph 13.01.B.4. The payroll costs and other compensation excluded here are to be considered administrative costs covered by the Contractor's fee.
- 2. Expenses of Contractor's principal and branch offices other than Contractor's office at the Site.
- 3. Any part of Contractor's capital expenses, including interest on Contractor's capital employed for the Work and charges against Contractor for delinquent payments.
- 4. Costs due to the negligence of Contractor, any Subcontractor, or anyone directly or indirectly employed by any of them or for whose acts any of them may be liable, including but not limited to, the correction of defective Work, disposal of materials or equipment wrongly supplied, and making good any damage to property.
- 5. Other overhead or general expense costs of any kind and the costs of any item not specifically and expressly included in Paragraph 13.01.B.

D. *Contractor's Fee:* When the Work as a whole is performed on the basis of cost-plus, Contractor's fee shall be determined as set forth in the Agreement. When the value of any Work covered by a Change Order, Change Proposal, Claim, set-off, or other adjustment in Contract Price is determined on the basis of Cost of the Work, Contractor's fee shall be determined as set forth in Paragraph 11.04.C.

E. *Documentation:* Whenever the Cost of the Work for any purpose is to be determined pursuant to this Article 13, Contractor will establish and maintain records thereof in accordance with generally accepted accounting practices and submit in a form acceptable to Engineer an itemized cost breakdown together with supporting data.

13.02 Allowances

A. It is understood that Contractor has included in the Contract Price all allowances so named in the Contract Documents and shall cause the Work so covered to be performed for such sums and by such persons or entities as may be acceptable to Owner and Engineer.

- B. *Cash Allowances*: Contractor agrees that:
1. the cash allowances include the cost to Contractor (less any applicable trade discounts) of materials and equipment required by the allowances to be delivered at the Site, and all applicable taxes; and
 2. Contractor's costs for unloading and handling on the Site, labor, installation, overhead, profit, and other expenses contemplated for the cash allowances have been included in the Contract Price and not in the allowances, and no demand for additional payment on account of any of the foregoing will be valid.
- C. *Contingency Allowance*: Contractor agrees that a contingency allowance, if any, is for the sole use of Owner to cover unanticipated costs.
- D. Prior to final payment, an appropriate Change Order will be issued as recommended by Engineer to reflect actual amounts due Contractor on account of Work covered by allowances, and the Contract Price shall be correspondingly adjusted.

13.03 *Unit Price Work*

- A. Where the Contract Documents provide that all or part of the Work is to be Unit Price Work, initially the Contract Price will be deemed to include for all Unit Price Work an amount equal to the sum of the unit price for each separately identified item of Unit Price Work times the estimated quantity of each item as indicated in the Agreement.
- B. The estimated quantities of items of Unit Price Work are not guaranteed and are solely for the purpose of comparison of Bids and determining an initial Contract Price. Payments to Contractor for Unit Price Work will be based on actual quantities.
- C. Each unit price will be deemed to include an amount considered by Contractor to be adequate to cover Contractor's overhead and profit for each separately identified item.
- D. Engineer will determine the actual quantities and classifications of Unit Price Work performed by Contractor. Engineer will review with Contractor the Engineer's preliminary determinations on such matters before rendering a written decision thereon (by recommendation of an Application for Payment or otherwise). Engineer's written decision thereon will be final and binding (except as modified by Engineer to reflect changed factual conditions or more accurate data) upon Owner and Contractor, subject to the provisions of the following paragraph.
- E. Within 30 days of Engineer's written decision under the preceding paragraph, Contractor may submit a Change Proposal, or Owner may file a Claim, seeking an adjustment in the Contract Price if:
1. the quantity of any item of Unit Price Work performed by Contractor differs materially and significantly from the estimated quantity of such item indicated in the Agreement;
 2. there is no corresponding adjustment with respect to any other item of Work; and
 3. Contractor believes that it is entitled to an increase in Contract Price as a result of having incurred additional expense or Owner believes that Owner is entitled to a decrease in Contract Price, and the parties are unable to agree as to the amount of any such increase or decrease.

ARTICLE 14 – TESTS AND INSPECTIONS; CORRECTION, REMOVAL OR ACCEPTANCE OF DEFECTIVE WORK

14.01 *Access to Work*

- A. Owner, Engineer, their consultants and other representatives and personnel of Owner, independent testing laboratories, and authorities having jurisdiction will have access to the Site and the Work at reasonable times for their observation, inspection, and testing. Contractor shall provide them proper and safe conditions for such access and advise them of Contractor's safety procedures and programs so that they may comply therewith as applicable.

14.02 *Tests, Inspections, and Approvals*

- A. Contractor shall give Engineer timely notice of readiness of the Work (or specific parts thereof) for all required inspections and tests, and shall cooperate with inspection and testing personnel to facilitate required inspections and tests.
- B. Owner shall retain and pay for the services of an independent inspector, testing laboratory, or other qualified individual or entity to perform all inspections and tests expressly required by the Contract Documents to be furnished and paid for by Owner, except that costs incurred in connection with tests or inspections of covered Work shall be governed by the provisions of Paragraph 14.05.
- C. If Laws or Regulations of any public body having jurisdiction require any Work (or part thereof) specifically to be inspected, tested, or approved by an employee or other representative of such public body, Contractor shall assume full responsibility for arranging and obtaining such inspections, tests, or approvals, pay all costs in connection therewith, and furnish Engineer the required certificates of inspection or approval.
- D. Contractor shall be responsible for arranging, obtaining, and paying for all inspections and tests required:
 - 1. by the Contract Documents, unless the Contract Documents expressly allocate responsibility for a specific inspection or test to Owner;
 - 2. to attain Owner's and Engineer's acceptance of materials or equipment to be incorporated in the Work;
 - 3. by manufacturers of equipment furnished under the Contract Documents;
 - 4. for testing, adjusting, and balancing of mechanical, electrical, and other equipment to be incorporated into the Work; and
 - 5. for acceptance of materials, mix designs, or equipment submitted for approval prior to Contractor's purchase thereof for incorporation in the Work.

Such inspections and tests shall be performed by independent inspectors, testing laboratories, or other qualified individuals or entities acceptable to Owner and Engineer.

- E. If the Contract Documents require the Work (or part thereof) to be approved by Owner, Engineer, or another designated individual or entity, then Contractor shall assume full responsibility for arranging and obtaining such approvals.
- F. If any Work (or the work of others) that is to be inspected, tested, or approved is covered by Contractor without written concurrence of Engineer, Contractor shall, if requested by Engineer, uncover such Work for observation. Such uncovering shall be at Contractor's expense unless

Contractor had given Engineer timely notice of Contractor's intention to cover the same and Engineer had not acted with reasonable promptness in response to such notice.

14.03 *Defective Work*

- A. *Contractor's Obligation*: It is Contractor's obligation to assure that the Work is not defective.
- B. *Engineer's Authority*: Engineer has the authority to determine whether Work is defective, and to reject defective Work.
- C. *Notice of Defects*: Prompt notice of all defective Work of which Owner or Engineer has actual knowledge will be given to Contractor.
- D. *Correction, or Removal and Replacement*: Promptly after receipt of written notice of defective Work, Contractor shall correct all such defective Work, whether or not fabricated, installed, or completed, or, if Engineer has rejected the defective Work, remove it from the Project and replace it with Work that is not defective.
- E. *Preservation of Warranties*: When correcting defective Work, Contractor shall take no action that would void or otherwise impair Owner's special warranty and guarantee, if any, on said Work.
- F. *Costs and Damages*: In addition to its correction, removal, and replacement obligations with respect to defective Work, Contractor shall pay all claims, costs, losses, and damages arising out of or relating to defective Work, including but not limited to the cost of the inspection, testing, correction, removal, replacement, or reconstruction of such defective Work, fines levied against Owner by governmental authorities because the Work is defective, and the costs of repair or replacement of work of others resulting from defective Work. Prior to final payment, if Owner and Contractor are unable to agree as to the measure of such claims, costs, losses, and damages resulting from defective Work, then Owner may impose a reasonable set-off against payments due under Article 15.

14.04 *Acceptance of Defective Work*

- A. If, instead of requiring correction or removal and replacement of defective Work, Owner prefers to accept it, Owner may do so (subject, if such acceptance occurs prior to final payment, to Engineer's confirmation that such acceptance is in general accord with the design intent and applicable engineering principles, and will not endanger public safety). Contractor shall pay all claims, costs, losses, and damages attributable to Owner's evaluation of and determination to accept such defective Work (such costs to be approved by Engineer as to reasonableness), and for the diminished value of the Work to the extent not otherwise paid by Contractor. If any such acceptance occurs prior to final payment, the necessary revisions in the Contract Documents with respect to the Work shall be incorporated in a Change Order. If the parties are unable to agree as to the decrease in the Contract Price, reflecting the diminished value of Work so accepted, then Owner may impose a reasonable set-off against payments due under Article 15. If the acceptance of defective Work occurs after final payment, Contractor shall pay an appropriate amount to Owner.

14.05 *Uncovering Work*

- A. Engineer has the authority to require special inspection or testing of the Work, whether or not the Work is fabricated, installed, or completed.
- B. If any Work is covered contrary to the written request of Engineer, then Contractor shall, if requested by Engineer, uncover such Work for Engineer's observation, and then replace the covering, all at Contractor's expense.

- C. If Engineer considers it necessary or advisable that covered Work be observed by Engineer or inspected or tested by others, then Contractor, at Engineer's request, shall uncover, expose, or otherwise make available for observation, inspection, or testing as Engineer may require, that portion of the Work in question, and provide all necessary labor, material, and equipment.
1. If it is found that the uncovered Work is defective, Contractor shall be responsible for all claims, costs, losses, and damages arising out of or relating to such uncovering, exposure, observation, inspection, and testing, and of satisfactory replacement or reconstruction (including but not limited to all costs of repair or replacement of work of others); and pending Contractor's full discharge of this responsibility the Owner shall be entitled to impose a reasonable set-off against payments due under Article 15.
 2. If the uncovered Work is not found to be defective, Contractor shall be allowed an increase in the Contract Price or an extension of the Contract Times, or both, directly attributable to such uncovering, exposure, observation, inspection, testing, replacement, and reconstruction. If the parties are unable to agree as to the amount or extent thereof, then Contractor may submit a Change Proposal within 30 days of the determination that the Work is not defective.

14.06 *Owner May Stop the Work*

- A. If the Work is defective, or Contractor fails to supply sufficient skilled workers or suitable materials or equipment, or fails to perform the Work in such a way that the completed Work will conform to the Contract Documents, then Owner may order Contractor to stop the Work, or any portion thereof, until the cause for such order has been eliminated; however, this right of Owner to stop the Work shall not give rise to any duty on the part of Owner to exercise this right for the benefit of Contractor, any Subcontractor, any Supplier, any other individual or entity, or any surety for, or employee or agent of any of them.

14.07 *Owner May Correct Defective Work*

- A. If Contractor fails within a reasonable time after written notice from Engineer to correct defective Work, or to remove and replace rejected Work as required by Engineer, or if Contractor fails to perform the Work in accordance with the Contract Documents, or if Contractor fails to comply with any other provision of the Contract Documents, then Owner may, after seven days written notice to Contractor, correct or remedy any such deficiency.
- B. In exercising the rights and remedies under this Paragraph 14.07, Owner shall proceed expeditiously. In connection with such corrective or remedial action, Owner may exclude Contractor from all or part of the Site, take possession of all or part of the Work and suspend Contractor's services related thereto, and incorporate in the Work all materials and equipment stored at the Site or for which Owner has paid Contractor but which are stored elsewhere. Contractor shall allow Owner, Owner's representatives, agents and employees, Owner's other contractors, and Engineer and Engineer's consultants access to the Site to enable Owner to exercise the rights and remedies under this paragraph.
- C. All claims, costs, losses, and damages incurred or sustained by Owner in exercising the rights and remedies under this Paragraph 14.07 will be charged against Contractor as set-offs against payments due under Article 15. Such claims, costs, losses and damages will include but not be limited to all costs of repair, or replacement of work of others destroyed or damaged by correction, removal, or replacement of Contractor's defective Work.

- D. Contractor shall not be allowed an extension of the Contract Times because of any delay in the performance of the Work attributable to the exercise by Owner of Owner's rights and remedies under this Paragraph 14.07.

ARTICLE 15 – PAYMENTS TO CONTRACTOR; SET-OFFS; COMPLETION; CORRECTION PERIOD

15.01 Progress Payments

- A. *Basis for Progress Payments:* The Schedule of Values established as provided in Article 2 will serve as the basis for progress payments and will be incorporated into a form of Application for Payment acceptable to Engineer. Progress payments on account of Unit Price Work will be based on the number of units completed during the pay period, as determined under the provisions of Paragraph 13.03. Progress payments for cost-based Work will be based on Cost of the Work completed by Contractor during the pay period.
- B. Applications for Payments:
1. At least 20 days before the date established in the Agreement for each progress payment (but not more often than once a month), Contractor shall submit to Engineer for review an Application for Payment filled out and signed by Contractor covering the Work completed as of the date of the Application and accompanied by such supporting documentation as is required by the Contract Documents. If payment is requested on the basis of materials and equipment not incorporated in the Work but delivered and suitably stored at the Site or at another location agreed to in writing, the Application for Payment shall also be accompanied by a bill of sale, invoice, or other documentation warranting that Owner has received the materials and equipment free and clear of all Liens, and evidence that the materials and equipment are covered by appropriate property insurance, a warehouse bond, or other arrangements to protect Owner's interest therein, all of which must be satisfactory to Owner.
 2. Beginning with the second Application for Payment, each Application shall include an affidavit of Contractor stating that all previous progress payments received on account of the Work have been applied on account to discharge Contractor's legitimate obligations associated with prior Applications for Payment.
 3. The amount of retainage with respect to progress payments will be as stipulated in the Agreement.
- C. Review of Applications:
1. Engineer will, within 10 days after receipt of each Application for Payment, including each resubmittal, either indicate in writing a recommendation of payment and present the Application to Owner, or return the Application to Contractor indicating in writing Engineer's reasons for refusing to recommend payment. In the latter case, Contractor may make the necessary corrections and resubmit the Application.
 2. Engineer's recommendation of any payment requested in an Application for Payment will constitute a representation by Engineer to Owner, based on Engineer's observations of the executed Work as an experienced and qualified design professional, and on Engineer's review of the Application for Payment and the accompanying data and schedules, that to the best of Engineer's knowledge, information and belief:
 - a. the Work has progressed to the point indicated;

- b. the quality of the Work is generally in accordance with the Contract Documents (subject to an evaluation of the Work as a functioning whole prior to or upon Substantial Completion, the results of any subsequent tests called for in the Contract Documents, a final determination of quantities and classifications for Unit Price Work under Paragraph 13.03, and any other qualifications stated in the recommendation); and
 - c. the conditions precedent to Contractor's being entitled to such payment appear to have been fulfilled in so far as it is Engineer's responsibility to observe the Work.
3. By recommending any such payment Engineer will not thereby be deemed to have represented that:
 - a. inspections made to check the quality or the quantity of the Work as it has been performed have been exhaustive, extended to every aspect of the Work in progress, or involved detailed inspections of the Work beyond the responsibilities specifically assigned to Engineer in the Contract; or
 - b. there may not be other matters or issues between the parties that might entitle Contractor to be paid additionally by Owner or entitle Owner to withhold payment to Contractor.
4. Neither Engineer's review of Contractor's Work for the purposes of recommending payments nor Engineer's recommendation of any payment, including final payment, will impose responsibility on Engineer:
 - a. to supervise, direct, or control the Work, or
 - b. for the means, methods, techniques, sequences, or procedures of construction, or the safety precautions and programs incident thereto, or
 - c. for Contractor's failure to comply with Laws and Regulations applicable to Contractor's performance of the Work, or
 - d. to make any examination to ascertain how or for what purposes Contractor has used the money paid on account of the Contract Price, or
 - e. to determine that title to any of the Work, materials, or equipment has passed to Owner free and clear of any Liens.
5. Engineer may refuse to recommend the whole or any part of any payment if, in Engineer's opinion, it would be incorrect to make the representations to Owner stated in Paragraph 15.01.C.2.
6. Engineer will recommend reductions in payment (set-offs) necessary in Engineer's opinion to protect Owner from loss because:
 - a. the Work is defective, requiring correction or replacement;
 - b. the Contract Price has been reduced by Change Orders;
 - c. Owner has been required to correct defective Work in accordance with Paragraph 14.07, or has accepted defective Work pursuant to Paragraph 14.04;
 - d. Owner has been required to remove or remediate a Hazardous Environmental Condition for which Contractor is responsible; or

- e. Engineer has actual knowledge of the occurrence of any of the events that would constitute a default by Contractor and therefore justify termination for cause under the Contract Documents.

D. Payment Becomes Due:

1. Ten days after presentation of the Application for Payment to Owner with Engineer's recommendation, the amount recommended (subject to any Owner set-offs) will become due, and when due will be paid by Owner to Contractor.

E. Reductions in Payment by Owner:

1. In addition to any reductions in payment (set-offs) recommended by Engineer, Owner is entitled to impose a set-off against payment based on any of the following:
 - a. claims have been made against Owner on account of Contractor's conduct in the performance or furnishing of the Work, or Owner has incurred costs, losses, or damages on account of Contractor's conduct in the performance or furnishing of the Work, including but not limited to claims, costs, losses, or damages from workplace injuries, adjacent property damage, non-compliance with Laws and Regulations, and patent infringement;
 - b. Contractor has failed to take reasonable and customary measures to avoid damage, delay, disruption, and interference with other work at or adjacent to the Site;
 - c. Contractor has failed to provide and maintain required bonds or insurance;
 - d. Owner has been required to remove or remediate a Hazardous Environmental Condition for which Contractor is responsible;
 - e. Owner has incurred extra charges or engineering costs related to submittal reviews, evaluations of proposed substitutes, tests and inspections, or return visits to manufacturing or assembly facilities;
 - f. the Work is defective, requiring correction or replacement;
 - g. Owner has been required to correct defective Work in accordance with Paragraph 14.07, or has accepted defective Work pursuant to Paragraph 14.04;
 - h. the Contract Price has been reduced by Change Orders;
 - i. an event that would constitute a default by Contractor and therefore justify a termination for cause has occurred;
 - j. liquidated damages have accrued as a result of Contractor's failure to achieve Milestones, Substantial Completion, or final completion of the Work;
 - k. Liens have been filed in connection with the Work, except where Contractor has delivered a specific bond satisfactory to Owner to secure the satisfaction and discharge of such Liens;
 - l. there are other items entitling Owner to a set off against the amount recommended.
2. If Owner imposes any set-off against payment, whether based on its own knowledge or on the written recommendations of Engineer, Owner will give Contractor immediate written notice (with a copy to Engineer) stating the reasons for such action and the specific amount of the reduction, and promptly pay Contractor any amount remaining after deduction of the amount so withheld. Owner shall promptly pay Contractor the amount so withheld, or any

adjustment thereto agreed to by Owner and Contractor, if Contractor remedies the reasons for such action. The reduction imposed shall be binding on Contractor unless it duly submits a Change Proposal contesting the reduction.

3. Upon a subsequent determination that Owner's refusal of payment was not justified, the amount wrongfully withheld shall be treated as an amount due as determined by Paragraph 15.01.C.1 and subject to interest as provided in the Agreement.

15.02 *Contractor's Warranty of Title*

- A. Contractor warrants and guarantees that title to all Work, materials, and equipment furnished under the Contract will pass to Owner free and clear of (1) all Liens and other title defects, and (2) all patent, licensing, copyright, or royalty obligations, no later than seven days after the time of payment by Owner.

15.03 *Substantial Completion*

- A. When Contractor considers the entire Work ready for its intended use Contractor shall notify Owner and Engineer in writing that the entire Work is substantially complete and request that Engineer issue a certificate of Substantial Completion. Contractor shall at the same time submit to Owner and Engineer an initial draft of punch list items to be completed or corrected before final payment.
- B. Promptly after Contractor's notification, Owner, Contractor, and Engineer shall make an inspection of the Work to determine the status of completion. If Engineer does not consider the Work substantially complete, Engineer will notify Contractor in writing giving the reasons therefor.
- C. If Engineer considers the Work substantially complete, Engineer will deliver to Owner a preliminary certificate of Substantial Completion which shall fix the date of Substantial Completion. Engineer shall attach to the certificate a punch list of items to be completed or corrected before final payment. Owner shall have seven days after receipt of the preliminary certificate during which to make written objection to Engineer as to any provisions of the certificate or attached punch list. If, after considering the objections to the provisions of the preliminary certificate, Engineer concludes that the Work is not substantially complete, Engineer will, within 14 days after submission of the preliminary certificate to Owner, notify Contractor in writing that the Work is not substantially complete, stating the reasons therefor. If Owner does not object to the provisions of the certificate, or if despite consideration of Owner's objections Engineer concludes that the Work is substantially complete, then Engineer will, within said 14 days, execute and deliver to Owner and Contractor a final certificate of Substantial Completion (with a revised punch list of items to be completed or corrected) reflecting such changes from the preliminary certificate as Engineer believes justified after consideration of any objections from Owner.
- D. At the time of receipt of the preliminary certificate of Substantial Completion, Owner and Contractor will confer regarding Owner's use or occupancy of the Work following Substantial Completion, review the builder's risk insurance policy with respect to the end of the builder's risk coverage, and confirm the transition to coverage of the Work under a permanent property insurance policy held by Owner. Unless Owner and Contractor agree otherwise in writing, Owner shall bear responsibility for security, operation, protection of the Work, property insurance, maintenance, heat, and utilities upon Owner's use or occupancy of the Work.
- E. After Substantial Completion the Contractor shall promptly begin work on the punch list of items to be completed or corrected prior to final payment. In appropriate cases Contractor may

submit monthly Applications for Payment for completed punch list items, following the progress payment procedures set forth above.

- F. Owner shall have the right to exclude Contractor from the Site after the date of Substantial Completion subject to allowing Contractor reasonable access to remove its property and complete or correct items on the punch list.

15.04 *Partial Use or Occupancy*

- A. Prior to Substantial Completion of all the Work, Owner may use or occupy any substantially completed part of the Work which has specifically been identified in the Contract Documents, or which Owner, Engineer, and Contractor agree constitutes a separately functioning and usable part of the Work that can be used by Owner for its intended purpose without significant interference with Contractor's performance of the remainder of the Work, subject to the following conditions:
 1. At any time Owner may request in writing that Contractor permit Owner to use or occupy any such part of the Work that Owner believes to be substantially complete. If and when Contractor agrees that such part of the Work is substantially complete, Contractor, Owner, and Engineer will follow the procedures of Paragraph 15.03.A through E for that part of the Work.
 2. At any time Contractor may notify Owner and Engineer in writing that Contractor considers any such part of the Work substantially complete and request Engineer to issue a certificate of Substantial Completion for that part of the Work.
 3. Within a reasonable time after either such request, Owner, Contractor, and Engineer shall make an inspection of that part of the Work to determine its status of completion. If Engineer does not consider that part of the Work to be substantially complete, Engineer will notify Owner and Contractor in writing giving the reasons therefor. If Engineer considers that part of the Work to be substantially complete, the provisions of Paragraph 15.03 will apply with respect to certification of Substantial Completion of that part of the Work and the division of responsibility in respect thereof and access thereto.
 4. No use or occupancy or separate operation of part of the Work may occur prior to compliance with the requirements of Paragraph 6.05 regarding builder's risk or other property insurance.

15.05 *Final Inspection*

- A. Upon written notice from Contractor that the entire Work or an agreed portion thereof is complete, Engineer will promptly make a final inspection with Owner and Contractor and will notify Contractor in writing of all particulars in which this inspection reveals that the Work, or agreed portion thereof, is incomplete or defective. Contractor shall immediately take such measures as are necessary to complete such Work or remedy such deficiencies.

15.06 *Final Payment*

- A. Application for Payment:
 1. After Contractor has, in the opinion of Engineer, satisfactorily completed all corrections identified during the final inspection and has delivered, in accordance with the Contract Documents, all maintenance and operating instructions, schedules, guarantees, bonds, certificates or other evidence of insurance, certificates of inspection, annotated record documents (as provided in Paragraph 7.11), and other documents, Contractor may make application for final payment.

2. The final Application for Payment shall be accompanied (except as previously delivered) by:
 - a. all documentation called for in the Contract Documents;
 - b. consent of the surety, if any, to final payment;
 - c. satisfactory evidence that all title issues have been resolved such that title to all Work, materials, and equipment has passed to Owner free and clear of any Liens or other title defects, or will so pass upon final payment.
 - d. a list of all disputes that Contractor believes are unsettled; and
 - e. complete and legally effective releases or waivers (satisfactory to Owner) of all Lien rights arising out of the Work, and of Liens filed in connection with the Work.
3. In lieu of the releases or waivers of Liens specified in Paragraph 15.06.A.2 and as approved by Owner, Contractor may furnish receipts or releases in full and an affidavit of Contractor that: (a) the releases and receipts include all labor, services, material, and equipment for which a Lien could be filed; and (b) all payrolls, material and equipment bills, and other indebtedness connected with the Work for which Owner might in any way be responsible, or which might in any way result in liens or other burdens on Owner's property, have been paid or otherwise satisfied. If any Subcontractor or Supplier fails to furnish such a release or receipt in full, Contractor may furnish a bond or other collateral satisfactory to Owner to indemnify Owner against any Lien, or Owner at its option may issue joint checks payable to Contractor and specified Subcontractors and Suppliers.

B. Engineer's Review of Application and Acceptance:

1. If, on the basis of Engineer's observation of the Work during construction and final inspection, and Engineer's review of the final Application for Payment and accompanying documentation as required by the Contract Documents, Engineer is satisfied that the Work has been completed and Contractor's other obligations under the Contract have been fulfilled, Engineer will, within ten days after receipt of the final Application for Payment, indicate in writing Engineer's recommendation of final payment and present the Application for Payment to Owner for payment. Such recommendation shall account for any set-offs against payment that are necessary in Engineer's opinion to protect Owner from loss for the reasons stated above with respect to progress payments. At the same time Engineer will also give written notice to Owner and Contractor that the Work is acceptable, subject to the provisions of Paragraph 15.07. Otherwise, Engineer will return the Application for Payment to Contractor, indicating in writing the reasons for refusing to recommend final payment, in which case Contractor shall make the necessary corrections and resubmit the Application for Payment.
- C. *Completion of Work*: The Work is complete (subject to surviving obligations) when it is ready for final payment as established by the Engineer's written recommendation of final payment.
- D. *Payment Becomes Due*: Thirty days after the presentation to Owner of the final Application for Payment and accompanying documentation, the amount recommended by Engineer (less any further sum Owner is entitled to set off against Engineer's recommendation, including but not limited to set-offs for liquidated damages and set-offs allowed under the provisions above with respect to progress payments) will become due and shall be paid by Owner to Contractor.

15.07 *Waiver of Claims*

- A. The making of final payment will not constitute a waiver by Owner of claims or rights against Contractor. Owner expressly reserves claims and rights arising from unsettled Liens, from defective Work appearing after final inspection pursuant to Paragraph 15.05, from Contractor's failure to comply with the Contract Documents or the terms of any special guarantees specified therein, from outstanding Claims by Owner, or from Contractor's continuing obligations under the Contract Documents.
- B. The acceptance of final payment by Contractor will constitute a waiver by Contractor of all claims and rights against Owner other than those pending matters that have been duly submitted or appealed under the provisions of Article 17.

15.08 *Correction Period*

- A. If within one year after the date of Substantial Completion (or such longer period of time as may be prescribed by the terms of any applicable special guarantee required by the Contract Documents, or by any specific provision of the Contract Documents), any Work is found to be defective, or if the repair of any damages to the Site, adjacent areas that Contractor has arranged to use through construction easements or otherwise, and other adjacent areas used by Contractor as permitted by Laws and Regulations, is found to be defective, then Contractor shall promptly, without cost to Owner and in accordance with Owner's written instructions:
 - 1. correct the defective repairs to the Site or such other adjacent areas;
 - 2. correct such defective Work;
 - 3. if the defective Work has been rejected by Owner, remove it from the Project and replace it with Work that is not defective, and
 - 4. satisfactorily correct or repair or remove and replace any damage to other Work, to the work of others, or to other land or areas resulting therefrom.
- B. If Contractor does not promptly comply with the terms of Owner's written instructions, or in an emergency where delay would cause serious risk of loss or damage, Owner may have the defective Work corrected or repaired or may have the rejected Work removed and replaced. Contractor shall pay all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to such correction or repair or such removal and replacement (including but not limited to all costs of repair or replacement of work of others).
- C. In special circumstances where a particular item of equipment is placed in continuous service before Substantial Completion of all the Work, the correction period for that item may start to run from an earlier date if so provided in the Specifications.
- D. Where defective Work (and damage to other Work resulting therefrom) has been corrected or removed and replaced under this paragraph, the correction period hereunder with respect to such Work will be extended for an additional period of one year after such correction or removal and replacement has been satisfactorily completed.
- E. Contractor's obligations under this paragraph are in addition to all other obligations and warranties. The provisions of this paragraph shall not be construed as a substitute for, or a waiver of, the provisions of any applicable statute of limitation or repose.

ARTICLE 16 – SUSPENSION OF WORK AND TERMINATION

16.01 *Owner May Suspend Work*

- A. At any time and without cause, Owner may suspend the Work or any portion thereof for a period of not more than 90 consecutive days by written notice to Contractor and Engineer. Such notice will fix the date on which Work will be resumed. Contractor shall resume the Work on the date so fixed. Contractor shall be entitled to an adjustment in the Contract Price or an extension of the Contract Times, or both, directly attributable to any such suspension. Any Change Proposal seeking such adjustments shall be submitted no later than 30 days after the date fixed for resumption of Work.

16.02 *Owner May Terminate for Cause*

- A. The occurrence of any one or more of the following events will constitute a default by Contractor and justify termination for cause:
 - 1. Contractor's persistent failure to perform the Work in accordance with the Contract Documents (including, but not limited to, failure to supply sufficient skilled workers or suitable materials or equipment or failure to adhere to the Progress Schedule);
 - 2. Failure of Contractor to perform or otherwise to comply with a material term of the Contract Documents;
 - 3. Contractor's disregard of Laws or Regulations of any public body having jurisdiction; or
 - 4. Contractor's repeated disregard of the authority of Owner or Engineer.
- B. If one or more of the events identified in Paragraph 16.02.A occurs, then after giving Contractor (and any surety) ten days written notice that Owner is considering a declaration that Contractor is in default and termination of the contract, Owner may proceed to:
 - 1. declare Contractor to be in default, and give Contractor (and any surety) notice that the Contract is terminated; and
 - 2. enforce the rights available to Owner under any applicable performance bond.
- C. Subject to the terms and operation of any applicable performance bond, if Owner has terminated the Contract for cause, Owner may exclude Contractor from the Site, take possession of the Work, incorporate in the Work all materials and equipment stored at the Site or for which Owner has paid Contractor but which are stored elsewhere, and complete the Work as Owner may deem expedient.
- D. Owner may not proceed with termination of the Contract under Paragraph 16.02.B if Contractor within seven days of receipt of notice of intent to terminate begins to correct its failure to perform and proceeds diligently to cure such failure.
- E. If Owner proceeds as provided in Paragraph 16.02.B, Contractor shall not be entitled to receive any further payment until the Work is completed. If the unpaid balance of the Contract Price exceeds the cost to complete the Work, including all related claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals) sustained by Owner, such excess will be paid to Contractor. If the cost to complete the Work including such related claims, costs, losses, and damages exceeds such unpaid balance, Contractor shall pay the difference to Owner. Such claims, costs, losses, and damages incurred by Owner will be reviewed by Engineer as to their reasonableness and, when so approved by Engineer, incorporated in a Change Order. When exercising any rights or

remedies under this paragraph, Owner shall not be required to obtain the lowest price for the Work performed.

- F. Where Contractor's services have been so terminated by Owner, the termination will not affect any rights or remedies of Owner against Contractor then existing or which may thereafter accrue, or any rights or remedies of Owner against Contractor or any surety under any payment bond or performance bond. Any retention or payment of money due Contractor by Owner will not release Contractor from liability.
- G. If and to the extent that Contractor has provided a performance bond under the provisions of Paragraph 6.01.A, the provisions of that bond shall govern over any inconsistent provisions of Paragraphs 16.02.B and 16.02.D.

16.03 *Owner May Terminate For Convenience*

- A. Upon seven days written notice to Contractor and Engineer, Owner may, without cause and without prejudice to any other right or remedy of Owner, terminate the Contract. In such case, Contractor shall be paid for (without duplication of any items):
 - 1. completed and acceptable Work executed in accordance with the Contract Documents prior to the effective date of termination, including fair and reasonable sums for overhead and profit on such Work;
 - 2. expenses sustained prior to the effective date of termination in performing services and furnishing labor, materials, or equipment as required by the Contract Documents in connection with uncompleted Work, plus fair and reasonable sums for overhead and profit on such expenses; and
 - 3. other reasonable expenses directly attributable to termination, including costs incurred to prepare a termination for convenience cost proposal.
- B. Contractor shall not be paid on account of loss of anticipated overhead, profits, or revenue, or other economic loss arising out of or resulting from such termination.

16.04 *Contractor May Stop Work or Terminate*

- A. If, through no act or fault of Contractor, (1) the Work is suspended for more than 90 consecutive days by Owner or under an order of court or other public authority, or (2) Engineer fails to act on any Application for Payment within 30 days after it is submitted, or (3) Owner fails for 30 days to pay Contractor any sum finally determined to be due, then Contractor may, upon seven days written notice to Owner and Engineer, and provided Owner or Engineer do not remedy such suspension or failure within that time, terminate the contract and recover from Owner payment on the same terms as provided in Paragraph 16.03.
- B. In lieu of terminating the Contract and without prejudice to any other right or remedy, if Engineer has failed to act on an Application for Payment within 30 days after it is submitted, or Owner has failed for 30 days to pay Contractor any sum finally determined to be due, Contractor may, seven days after written notice to Owner and Engineer, stop the Work until payment is made of all such amounts due Contractor, including interest thereon. The provisions of this paragraph are not intended to preclude Contractor from submitting a Change Proposal for an adjustment in Contract Price or Contract Times or otherwise for expenses or damage directly attributable to Contractor's stopping the Work as permitted by this paragraph.

ARTICLE 17 – FINAL RESOLUTION OF DISPUTES

17.01 *Methods and Procedures*

- A. *Disputes Subject to Final Resolution:* The following disputed matters are subject to final resolution under the provisions of this Article:
1. A timely appeal of an approval in part and denial in part of a Claim, or of a denial in full; and
 2. Disputes between Owner and Contractor concerning the Work or obligations under the Contract Documents, and arising after final payment has been made.
- B. *Final Resolution of Disputes:* For any dispute subject to resolution under this Article, Owner or Contractor may:
1. elect in writing to invoke the dispute resolution process provided for in the Supplementary Conditions; or
 2. agree with the other party to submit the dispute to another dispute resolution process; or
 3. if no dispute resolution process is provided for in the Supplementary Conditions or mutually agreed to, give written notice to the other party of the intent to submit the dispute to a court of competent jurisdiction.

ARTICLE 18 – MISCELLANEOUS

18.01 *Giving Notice*

- A. Whenever any provision of the Contract Documents requires the giving of written notice, it will be deemed to have been validly given if:
1. delivered in person, by a commercial courier service or otherwise, to the individual or to a member of the firm or to an officer of the corporation for which it is intended; or
 2. delivered at or sent by registered or certified mail, postage prepaid, to the last business address known to the sender of the notice.

18.02 *Computation of Times*

- A. When any period of time is referred to in the Contract by days, it will be computed to exclude the first and include the last day of such period. If the last day of any such period falls on a Saturday or Sunday or on a day made a legal holiday by the law of the applicable jurisdiction, such day will be omitted from the computation.

18.03 *Cumulative Remedies*

- A. The duties and obligations imposed by these General Conditions and the rights and remedies available hereunder to the parties hereto are in addition to, and are not to be construed in any way as a limitation of, any rights and remedies available to any or all of them which are otherwise imposed or available by Laws or Regulations, by special warranty or guarantee, or by other provisions of the Contract. The provisions of this paragraph will be as effective as if repeated specifically in the Contract Documents in connection with each particular duty, obligation, right, and remedy to which they apply.

18.04 *Limitation of Damages*

- A. With respect to any and all Change Proposals, Claims, disputes subject to final resolution, and other matters at issue, neither Owner nor Engineer, nor any of their officers, directors, members, partners, employees, agents, consultants, or subcontractors, shall be liable to Contractor for any claims, costs, losses, or damages sustained by Contractor on or in connection with any other project or anticipated project.

18.05 *No Waiver*

- A. A party's non-enforcement of any provision shall not constitute a waiver of that provision, nor shall it affect the enforceability of that provision or of the remainder of this Contract.

18.06 *Survival of Obligations*

- A. All representations, indemnifications, warranties, and guarantees made in, required by, or given in accordance with the Contract, as well as all continuing obligations indicated in the Contract, will survive final payment, completion, and acceptance of the Work or termination or completion of the Contract or termination of the services of Contractor.

18.07 *Controlling Law*

- A. This Contract is to be governed by the law of the state in which the Project is located.

18.08 *Headings*

- A. Article and paragraph headings are inserted for convenience only and do not constitute parts of these General Conditions.

SECTION 00 73 00 - SUPPLEMENTARY CONDITIONS

Prepared by



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SUPPLEMENTARY CONDITIONS

These Supplementary Conditions amend or supplement the Standard General Conditions of the Construction Contract, EJCDC® C-700 (2013 Edition). All provisions that are not so amended or supplemented remain in full force and effect.

The terms used in these Supplementary Conditions have the meanings stated in the General Conditions. Additional terms used in these Supplementary Conditions have the meanings stated below, which are applicable to both the singular and plural thereof.

The address system used in these Supplementary Conditions is the same as the address system used in the General Conditions, with the prefix "SC" added thereto.

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ARTICLE 2 – PRELIMINARY MATTERS

SC-2.01 Delivery of Bonds and Evidence of Insurance

Paragraph 2.01.B of the General Conditions requires that Contractor furnish certificates of insurance. Paragraph 6.02.C states that upon request by Owner or other named or additional insureds, Contractor must provide evidence of insurance such as copies of required policies and endorsements, and documentation of applicable self-insured retentions and deductibles. Parallel provisions apply to Owner and the insurance that Owner is required to provide. Rather than relying on this two-step process (delivery of certificates of insurance at the outset; subsequent requests for additional evidence of insurance), some contract drafters may elect to require from the outset that copies of the insurance policies, rather than certificates of insurance, be delivered to the other party. If exchange of copies of insurance policies is required, the following should be used:

SC-2.01 Delete Paragraphs 2.01 B. and C. in their entirety and insert the following in their place:

B. Evidence of Contractor’s Insurance: When Contractor delivers the executed counterparts of the Agreement to Owner, Contractor shall also deliver to Owner copies of the policies

of insurance (including all endorsements, and identification of applicable self-insured retentions and deductibles) required to be provided by Contractor in Article 6. Contractor may block out (redact) any confidential premium or pricing information contained in any policy or endorsement furnished under this provision.

- C. Evidence of Owner's Insurance: After receipt from Contractor of the executed counterparts of the Agreement and all required bonds and insurance documentation, Owner shall promptly deliver to Contractor copies of the policies of insurance to be provided by Owner under Article 6 (if any). Owner may block out (redact) any confidential premium or pricing information contained in any policy or endorsement furnished under this provision.

SC-2.02 Copies of Documents

If the number of printed or hard copies of the Drawings and Project Manual to be provided is different than four copies the following may be used:

SC-2.02.A. Amend the first sentence of Paragraph 2.02.A. to read as follows:

Owner shall furnish to Contractor **five** copies of the Contract Documents (including one fully executed counterpart of the Agreement), and one copy in electronic portable document format (PDF).

- A. On some projects it may be useful to produce conformed Contract Documents, in which the content of Addenda and negotiated changes are merged into the appropriate Specifications, Drawings, General Conditions, or other Contract Documents. This may be especially true on private construction projects where the terms and scope are negotiated and modified significantly after the initial release of proposed Contract Documents. Conformed documents may be considerably more convenient to use during the performance of the Work and the administration of the Contract.
- B. EJCDC advises that if conformed documents are to be prepared and made available to Contractor, sufficient time and budget must be allocated to ensure the quality and full coordination of the conformed documents, and Owner and Engineer must recognize that Contractor, Subcontractors, and Suppliers will likely rely on the conformed version of the Contract Documents rather than the source components. If conformed documents are prepared without the level of commitment necessary to allow them to be accorded the full status of "Contract Documents," and are merely for reference or convenience, they should be accompanied by clear disclaimers of their content and a warning to consult the actual source Contract Documents.
- C. A Supplementary Condition regarding conformed documents is necessary only if the Owner intends to provide the Contractor with conformed documents that will serve as binding Contract Documents. The following may be used for that purpose:

SC-2.02 Delete Paragraph 2.02.A in its entirety and insert the following new paragraph in its place:

- A. Owner shall furnish to Contractor **five** copies of conformed Contract Documents incorporating and integrating all Addenda and any amendments negotiated prior to the

Effective Date of the Contract (including one fully executed counterpart of the Agreement), and one copy in electronic portable document format (PDF). Additional printed copies of the conformed Contract Documents will be furnished upon request at the cost of reproduction.

ARTICLE 5 – AVAILABILITY OF LANDS; SUBSURFACE AND PHYSICAL CONDITIONS; HAZARDOUS ENVIRONMENTAL CONDITIONS

SC-5.03 Delete Paragraphs 5.03.A and 5.03.B in their entirety and insert the following:

- A. No reports of explorations or tests of subsurface conditions at or adjacent to the Site, or drawings of physical conditions relating to existing surface or subsurface structures at the Site, are known to Owner.

SC-5.06 Hazardous Environmental Conditions

Paragraph 5.06 of the General Conditions contemplates that Owner identify all known documents regarding Hazardous Environmental Conditions (HEC) that have been identified at or adjacent to the Site. It also requires the identification of Technical Data (upon whose accuracy Contractor may rely) contained in such documents. Use the first version of SC-5.06, presented immediately below, to identify the known HEC documents. If no HEC documents are known, then use the second version of SC-5.06, below. Also note that if the known documents include either a geotechnical report or environmental report prepared for the Project, or both, and the Supplementary Conditions neglect to expressly identify the Technical Data, upon whose accuracy Contractor may rely, that is contained in such reports, then the default definition of Technical Data in Paragraph 1.01 of the General Conditions will apply.

SC-5.06 Delete Paragraphs 5.06.A and 5.06.B in their entirety and insert the following:

- A. No reports or drawings related to Hazardous Environmental Conditions at the Site are known to Owner.
- B. Not Used.

ARTICLE 6 – BONDS AND INSURANCE

SC-6.02 Add the following paragraph immediately after Paragraph 6.02.B:

1. Contractor may obtain worker's compensation insurance from an insurance company that has not been rated by A.M. Best, provided that such company (a) is domiciled in the state in which the project is located, (b) is certified or authorized as a worker's compensation insurance provider by the appropriate state agency, and (c) has been accepted to provide worker's compensation insurance for similar projects by the state within the last 12 months.

SC-6.03 Contractor's Liability Insurance

This is a mandatory Supplementary Condition, because it is the location for specifying the limits of the coverages for the insurance required in Paragraph 6.03 of the General

Conditions. The information set forth in this Supplementary Condition (and in all other contractual provisions regarding bonds and insurance) should be provided by Owner, either directly or through written instructions given to Engineer (see EJCDC® C-051, Engineer’s Letter to Owner Requesting Instructions Concerning Bonds and Insurance, and EJCDC® C-052, Owner’s Instructions to Engineer Concerning Bonds and Insurance).

SC-6.03 Add the following new paragraph immediately after Paragraph 6.03.J:

K. The limits of liability for the insurance required by Paragraph 6.03 of the General Conditions shall provide coverage for not less than the following amounts or greater where required by Laws and Regulations:

1. Workers’ Compensation, and related coverages under Paragraphs 6.03.A.1 and A.2 of the General Conditions:

| | | |
|------------------------------------------------|----|-----------|
| State: | | Statutory |
| | | |
| Federal, if applicable (e.g., Longshoreman’s): | | Statutory |
| | | |
| Employer’s Liability: | | |
| Bodily injury, each accident | \$ | 500,000 |
| | | |
| Bodily injury by disease, each employee | \$ | 500,000 |
| | | |
| Bodily injury/disease aggregate | \$ | 500,000 |
| | | |
| Foreign voluntary worker compensation | | Statutory |
| | | |

2. Contractor’s Commercial General Liability under Paragraphs 6.03.B and 6.03.C of the General Conditions:

| | | |
|-----------------------------------------------------|----|-----------|
| General Aggregate | \$ | 2,000,000 |
| | | |
| Products - Completed Operations Aggregate | \$ | 1,000,000 |
| | | |
| Personal and Advertising Injury | \$ | 1,000,000 |
| | | |
| Each Occurrence (Bodily Injury and Property Damage) | \$ | 1,000,000 |
| | | |

3. Automobile Liability under Paragraph 6.03.D. of the General Conditions:

Bodily Injury:

| | | |
|---------------|----|-----------|
| Each person | \$ | 1,000,000 |
| | | |
| Each accident | \$ | 1,000,000 |
| | | |

Property Damage:

Each accident \$ 1,000,000

[or]

Combined Single Limit of \$ 2,000,000

4. Excess or Umbrella Liability:

Per Occurrence \$ 5,000,000

General Aggregate \$ 5,000,000

~~5. Contractor's Pollution Liability:~~

~~Each Occurrence \$ 1,000,000~~

~~General Aggregate \$ 1,000,000~~

If box is checked, Contractor is not required to provide Contractor's Pollution Liability insurance under this Contract

6. Additional Insureds: In addition to Owner and Engineer, include as additional insureds the following: None

~~7. Contractor's Professional Liability:~~

~~Each Claim \$ 2,000,000~~

~~Annual Aggregate \$ 2,000,000~~

SC-6.05 Property Insurance

- A. Builder's Risk Deductible: Paragraph 6.05.A of the General Conditions requires builder's risk insurance on a completed value basis, subject to such deductible amounts as are provided by the Supplementary Conditions. In many cases, the drafter of the Supplementary Conditions will choose not to specify any deductibles, leaving establishment of the deductible amounts to the discretion of the purchasing party, which is responsible for payment of the deductibles. Even when a deductible is stipulated, it is typically a maximum amount; the purchaser may choose to purchase a policy with a lower deductible. Note that it is common for builder's risk policies to feature several different deductibles, typically including a primary deductible and specific deductibles applicable to specific types of loss. The following Supplementary Condition provides a means of identifying a primary deductible; other specific deductibles may also be added.
- B. If a primary deductible is to be stipulated, use the following to establish the maximum amount of the deductible:

SC-6.05.A. Add the following to the list of items in Paragraph 6.05.A, as numbered items:

14. include for the benefit of Owner loss of profits and soft cost coverage including, without limitation, fixed expenses and debt service for a minimum of 12 months with a maximum deductible of 30 days, plus attorneys fees and engineering or other consultants' fees, if not otherwise covered;
16. include, in addition to the Contract Price amount, the value of the following equipment and materials to be installed by the Contractor but furnished by the Owner or third parties:
 - a. [here list specific items of equipment and purchase value]
 - b. [here list items of material and purchase value]
17. include by express endorsement coverage of damage to Contractor's equipment.

SC-6.05.A. Delete Paragraph 6.05.A of the General Conditions and substitute the following in its place:

- A. Contractor shall provide and maintain installation floater insurance for property under the care, custody, or control of Contractor. The installation floater insurance shall be a broad form or "all risk" policy providing coverage for all materials, supplies, machinery, fixtures, and equipment that will be incorporated into the Work. Coverage under the Contractor's installation floater will include:
 1. any loss to property while in transit,
 2. any loss at the Site, and
 3. any loss while in storage, both on-site and off-site.
- B. Coverage cannot be contingent on an external cause or risk, or limited to property for which the Contractor is legally liable. The Contractor will be solely responsible for any deductible carried under this coverage and claims on materials, supplies, machinery, fixture, and equipment that will be incorporated into the Work while in transit or in storage. This policy will include a waiver of subrogation applicable to Owner, Contractor, Engineer, all Subcontractors, and the officers, directors, partners, employees, agents and other consultants and subcontractors of any of them.

ARTICLE 7 – CONTRACTOR'S RESPONSIBILITIES

SC-7.02.B. Delete Paragraph 7.02 B. in its entirety, and insert the following:

- B. In the absence of any Laws or Regulations to the contrary, Contractor may perform the Work on holidays, during any or all hours of the day, and on any or all days of the week, at Contractor's sole discretion.

ARTICLE 10 – ENGINEER’S STATUS DURING CONSTRUCTION

SC-10.03 Project Representative

- A. As indicated in Paragraph 10.03 of the General Conditions, in those cases in which the Engineer will provide a Resident Project Representative (RPR) during construction, the authority and responsibilities of the RPR must be specified in the Supplementary Conditions. SC-10.03.B and C, immediately below, provide a mechanism for doing so. In the alternative, in some cases Engineer will not provide RPR services, either because there will not be an RPR, or because a party other than Engineer will provide the site services. When such is the case, the second SC-10.03.B below should be used.
- B. As indicated in Paragraph 10.03 of the General Conditions, the Owner may designate a representative or agent who is not Engineer’s consultant, agent, or employee, to represent Owner at the Site. In such case, in addition to using the second version of SC-10.03.B, below, also use SC-9.13 above.
- C. The following suggested language, which parallels the wording of Exhibit D to EJCDC® E-500, the Agreement Between Owner and Engineer for Professional Services, is for use when Engineer will provide RPR services. It should be edited to indicate the RPR authority and responsibilities that apply to this Contract.

SC-10.03 Add the following new paragraphs immediately after Paragraph 10.03.A:

- B. The Resident Project Representative (RPR) will be Engineer's representative at the Site, will act as directed by and under the supervision of Engineer, and will confer with Engineer regarding RPR's actions.
 - 1. General: RPR's dealings in matters pertaining to the Work in general shall be with Engineer and Contractor. RPR's dealings with Subcontractors shall only be through or with the full knowledge and approval of Contractor. RPR shall generally communicate with Owner only with the knowledge of and under the direction of Engineer.
 - 2. Schedules: Review the progress schedule, schedule of Shop Drawing and Sample submittals, and Schedule of Values prepared by Contractor and consult with Engineer concerning acceptability.
 - 3. Conferences and Meetings: Attend meetings with Contractor, such as preconstruction conferences, progress meetings, job conferences, and other Project-related meetings, and prepare and circulate copies of minutes thereof.
 - 4. Liaison:
 - a. Serve as Engineer’s liaison with Contractor. Working principally through Contractor’s authorized representative or designee, assist in providing information regarding the provisions and intent of the Contract Documents.
 - b. Assist Engineer in serving as Owner’s liaison with Contractor when Contractor’s operations affect Owner’s on-Site operations.
 - c. Assist in obtaining from Owner additional details or information, when required for proper execution of the Work.

5. Interpretation of Contract Documents: Report to Engineer when clarifications and interpretations of the Contract Documents are needed and transmit to Contractor clarifications and interpretations as issued by Engineer.
6. Shop Drawings and Samples:
 - a. Record date of receipt of Samples and Contractor-approved Shop Drawings.
 - b. Receive Samples which are furnished at the Site by Contractor, and notify Engineer of availability of Samples for examination.
 - c. Advise Engineer and Contractor of the commencement of any portion of the Work requiring a Shop Drawing or Sample submittal for which RPR believes that the submittal has not been approved by Engineer.
7. Modifications: Consider and evaluate Contractor's suggestions for modifications in Drawings or Specifications and report such suggestions, together with RPR's recommendations, if any, to Engineer. Transmit to Contractor in writing decisions as issued by Engineer.
8. Review of Work and Rejection of Defective Work:
 - a. Conduct on-Site observations of Contractor's work in progress to assist Engineer in determining if the Work is in general proceeding in accordance with the Contract Documents.
 - b. Report to Engineer whenever RPR believes that any part of Contractor's work in progress is defective, will not produce a completed Project that conforms generally to the Contract Documents, or will imperil the integrity of the design concept of the completed Project as a functioning whole as indicated in the Contract Documents, or has been damaged, or does not meet the requirements of any inspection, test or approval required to be made; and advise Engineer of that part of work in progress that RPR believes should be corrected or rejected or should be uncovered for observation, or requires special testing, inspection or approval.
9. Inspections, Tests, and System Start-ups:
 - a. Verify that tests, equipment, and systems start-ups and operating and maintenance training are conducted in the presence of appropriate Owner's personnel, and that Contractor maintains adequate records thereof.
 - b. Observe, record, and report to Engineer appropriate details relative to the test procedures and systems start-ups.
10. Records:
 - a. Prepare a daily report or keep a diary or log book, recording Contractor's hours on the Site, Subcontractors present at the Site, weather conditions, data relative to questions of Change Orders, Field Orders, Work Change Directives, or changed conditions, Site visitors, deliveries of equipment or materials, daily activities, decisions, observations in general, and specific observations in more detail as in the case of observing test procedures; and send copies to Engineer.

- b. Record names, addresses, fax numbers, e-mail addresses, web site locations, and telephone numbers of all Contractors, Subcontractors, and major Suppliers of materials and equipment.
- c. Maintain records for use in preparing Project documentation.

11. Reports:

- a. Furnish to Engineer periodic reports as required of progress of the Work and of Contractor's compliance with the Progress Schedule and schedule of Shop Drawing and Sample submittals.
- b. Draft and recommend to Engineer proposed Change Orders, Work Change Directives, and Field Orders. Obtain backup material from Contractor.
- c. Immediately notify Engineer of the occurrence of any Site accidents, emergencies, acts of God endangering the Work, force majeure or delay events, damage to property by fire or other causes, or the discovery of any Constituent of Concern or Hazardous Environmental Condition.

12. Payment Requests: Review applications for payment with Contractor for compliance with the established procedure for their submission and forward with recommendations to Engineer, noting particularly the relationship of the payment requested to the Schedule of Values, Work completed, and materials and equipment delivered at the Site but not incorporated in the Work.

13. Certificates, Operation and Maintenance Manuals: During the course of the Work, verify that materials and equipment certificates, operation and maintenance manuals and other data required by the Contract Documents to be assembled and furnished by Contractor are applicable to the items actually installed and in accordance with the Contract Documents, and have these documents delivered to Engineer for review and forwarding to Owner prior to payment for that part of the Work.

14. Completion:

- a. Participate in Engineer's visits to the Site to determine Substantial Completion, assist in the determination of Substantial Completion and the preparation of a punch list of items to be completed or corrected.
- b. Participate in Engineer's final visit to the Site to determine completion of the Work, in the company of Owner and Contractor, and prepare a final punch list of items to be completed and deficiencies to be remedied.
- c. Observe whether all items on the final list have been completed or corrected and make recommendations to Engineer concerning acceptance and issuance of the notice of acceptability of the work.

C. The RPR shall not:

- 1. Authorize any deviation from the Contract Documents or substitution of materials or equipment (including "or-equal" items).
- 2. Exceed limitations of Engineer's authority as set forth in the Contract Documents.
- 3. Undertake any of the responsibilities of Contractor, Subcontractors, or Suppliers.

4. Advise on, issue directions relative to, or assume control over any aspect of the means, methods, techniques, sequences or procedures of Contractor's work.
5. Advise on, issue directions regarding, or assume control over security or safety practices, precautions, and programs in connection with the activities or operations of Owner or Contractor.
6. Participate in specialized field or laboratory tests or inspections conducted off-site by others except as specifically authorized by Engineer.
7. Accept Shop Drawing or Sample submittals from anyone other than Contractor.
8. Authorize Owner to occupy the Project in whole or in part.

ARTICLE 13 – COST OF THE WORK; ALLOWANCES; UNIT PRICE WORK

SC-13.01.B.5.c Delete Paragraph 13.01.B.5.c in its entirety and insert the following in its place:

c. Construction Equipment and Machinery:

- 1) Rentals of all construction equipment and machinery, and the parts thereof, in accordance with rental agreements approved by Owner with the advice of Engineer, and the costs of transportation, loading, unloading, assembly, dismantling, and removal thereof. All such costs shall be in accordance with the terms of said rental agreements. The rental of any such equipment, machinery, or parts shall cease when the use thereof is no longer necessary for the Work.
- 2) Costs for equipment and machinery owned by Contractor will be paid at a rate shown for such equipment in the *[cite the rate book appropriate for the Project]*. An hourly rate will be computed by dividing the monthly rates by 176. These computed rates will include all operating costs. Costs will include the time the equipment or machinery is in use on the changed Work and the costs of transportation, loading, unloading, assembly, dismantling, and removal when directly attributable to the changed Work. The cost of any such equipment or machinery, or parts thereof, shall cease to accrue when the use thereof is no longer necessary for the changed Work. Equipment or machinery with a value of less than \$1,000 will be considered small tools.

SC-13.03.E Delete Paragraph 13.03.E in its entirety and insert the following in its place:

E. The unit price of an item of Unit Price Work shall be subject to reevaluation and adjustment under the following conditions:

1. if the extended price of a particular item of Unit Price Work amounts to 25 percent or more of the Contract Price (based on estimated quantities at the time of Contract formation) and the variation in the quantity of that particular item of Unit Price Work actually furnished or performed by Contractor differs by more than 25 percent from the estimated quantity of such item indicated in the Agreement; and
2. if there is no corresponding adjustment with respect to any other item of Work; and

3. if Contractor believes that Contractor has incurred additional expense as a result thereof, Contractor may submit a Change Proposal, or if Owner believes that the quantity variation entitles Owner to an adjustment in the unit price, Owner may make a Claim, seeking an adjustment in the Contract Price.

ARTICLE 15 – PAYMENTS TO CONTRACTOR; SET-OFFS; COMPLETION; CORRECTION PERIOD

SC-15.03 Substantial Completion

Paragraph 15.03.A of the General Conditions requires Contractor to give notice that the Work is substantially complete; Paragraph 15.03.B requires an inspection of the Work to determine whether Engineer agrees that the Work is substantially complete. If the Work is not substantially complete, and must be inspected again at a later point, then the following Supplementary Condition, if included in the Contract, would allow Owner to recover the cost of the re-inspection.

SC-15.03.B Add the following new subparagraph to Paragraph 15.03.B:

1. If some or all of the Work has been determined not to be at a point of Substantial Completion and will require re-inspection or re-testing by Engineer, the cost of such re-inspection or re-testing, including the cost of time, travel and living expenses, shall be paid by Contractor to Owner. If Contractor does not pay, or the parties are unable to agree as to the amount owed, then Owner may impose a reasonable set-off against payments due under Article 15.

ARTICLE 17 – FINAL RESOLUTION OF DISPUTES

- A. Paragraph 17.01.B of the General Conditions provides that for any dispute subject to final resolution under Article 17, Owner or Contractor may invoke the dispute resolution procedure called for in the Supplementary Conditions. Paragraph SC-17.02 is the location to identify any such primary dispute resolution procedure. If no procedure is identified here in the Supplementary Conditions, and the parties do not agree to a specific procedure, then the default resolution procedure will be litigation—the pursuit of rights in a court of competent jurisdiction. Note that before reaching the point of final resolution of disputes, in most cases the Owner and Contractor will already have engaged in the Claim process described in Article 12 of the General Conditions. That process allows for mediation of the dispute.
- B. As an alternative to litigation, there are many other possible dispute resolution procedures, or combinations of procedures. One of the most common is arbitration; wording for an arbitration clause follows. A discussion of the pros and cons of the arbitration process (and there are many advocates on both sides) is beyond the scope of this Guide. Owner should consult with its legal counsel when considering the inclusion of an arbitration clause, or of any other dispute resolution procedure or combination of procedures.
- C. The arbitration option is as follows:

SC-17.02 Add the following new paragraph immediately after Paragraph 17.01.

SC-17.02 Arbitration

- A. All matters subject to final resolution under this Article will be decided by arbitration in accordance with the rules of *[insert name of selected arbitration agency]*, subject to the conditions and limitations of this paragraph. This agreement to arbitrate and any other agreement or consent to arbitrate entered into will be specifically enforceable under the prevailing law of any court having jurisdiction.
- B. The demand for arbitration will be filed in writing with the other party to the Contract and with the selected arbitrator or arbitration provider, and a copy will be sent to Engineer for information. The demand for arbitration will be made within the specific time required in this Article, or if no specified time is applicable within a reasonable time after the matter in question has arisen, and in no event shall any such demand be made after the date when institution of legal or equitable proceedings based on such matter in question would be barred by the applicable statute of limitations. The demand for arbitration should include specific reference to Paragraph SC-17.02.D below.
- C. No arbitration arising out of or relating to the Contract shall include by consolidation, joinder, or in any other manner any other individual or entity (including Engineer, and Engineer's consultants and the officers, directors, partners, agents, employees or consultants of any of them) who is not a party to this Contract unless:
 - 1. the inclusion of such other individual or entity is necessary if complete relief is to be afforded among those who are already parties to the arbitration; and
 - 2. such other individual or entity is substantially involved in a question of law or fact which is common to those who are already parties to the arbitration and which will arise in such proceedings.
- D. The award rendered by the arbitrator(s) shall be consistent with the agreement of the parties, in writing, and include a concise breakdown of the award, and a written explanation of the award specifically citing the Contract provisions deemed applicable and relied on in making the award.
- E. The award will be final. Judgment may be entered upon it in any court having jurisdiction thereof, and it will not be subject to modification or appeal, subject to provisions of the Laws and Regulations relating to vacating or modifying an arbitral award.
- F. The fees and expenses of the arbitrators and any arbitration service shall be shared equally by Owner and Contractor.

SC-17.03 Attorneys' Fees

- A. In most jurisdictions in the United States, as a general matter each party to a dispute is responsible for its own attorneys' fees, unless an express agreement provides to the contrary. Some legal authorities believe that this general rule encourages claims and disputes, because claimants have little concern that they will be forced to pay for the opposing party's fees if the claim fails. Other authorities take the opposite view—that the

enticing prospect of not only prevailing but also of having one's own fees paid by the opponent would encourage overly aggressive pursuit of claims (or overzealous defense against valid claims).

- B. If an exception to the general American rule is preferred for disputes subject to final resolution under Article 17, then add the following express agreement:

SC-17.03 Add the following new paragraph immediately after Paragraph 17.02. [Note: If there is no Paragraph 17.02, because neither arbitration nor any other dispute resolution process has been specified here in the Supplementary Conditions, then revise this to state "Add the following new paragraph immediately after Paragraph 17.01" and revise the numbering accordingly.]

SC-17.03 Attorneys' Fees: For any matter subject to final resolution under this Article, the prevailing party shall be entitled to an award of its attorneys' fees incurred in the final resolution proceedings, in an equitable amount to be determined in the discretion of the court, arbitrator, arbitration panel, or other arbiter of the matter subject to final resolution, taking into account the parties' initial demand or defense positions in comparison with the final result.

END OF SECTION

PART 2 - CONSTRUCTION REQUIREMENTS

DIVISION 010000 - GENERAL REQUIREMENTS

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SECTION 01 11 00 - SUMMARY OF WORK

PART 1 GENERAL

1.01 GENERAL AND SUPPLEMENTARY CONDITIONS

- A. The General Conditions and the Supplementary Conditions which are attached to the specifications shall govern the work of all persons engaged in the performance of the contract and shall form a part of the contract.

1.02 DEFINITIONS

- A. The definitions shall be as presented in the General Conditions, Special Project Requirements, Supplementary Conditions and as supplemented herein.
- B. "Project Engineer," "Engineer," "Architect" - Helms and Associates located at 416 Production St N., Aberdeen, S. D. 57401, with a mailing address of the same, telephone number: (605) 225-1212.
- C. "Owner" or "City" – City of Selby, as represented by its proper authorities.
- D. "Written Notice" - Written notice or order shall be deemed to have been duly served if delivered in person to the individual, to a member of the firm, or to an officer of the corporation for whom it is intended or if delivered at or sent by registered mail to the last known address of the addressee.

1.03 ARRANGEMENTS OF DETAILED SPECIFICATIONS AND DRAWINGS

- A. The detailed specifications arrangement is based upon the 1980 CSI format and incorporates the following Divisions:
 - 1. Division 010000 - General Requirements
 - 2. Division 31 00 00 – Earthwork
 - 3. Division 32 00 00 - Exterior Improvements
 - 4. Division 33 00 00 – Utilities
- B. The contract drawings or plans, which depict the contract work of the project and upon which the contract is based are those drawings or sheets listed on Sheet 1 of the drawings. Each sheet bears the following general title:

Selby Street Repair Project

Selby, South Dakota

- C. The drawings referred to above are supplemented by drawings bound in this book of specifications and by additional shop and dimension drawings to be prepared by the Contractor as set forth in the specifications.
- D. Division of Work as made by the contract drawings and specifications is for the purpose of specifying all work, which is required. There is no attempt to make complete classification according to trade or any agreements, which may exist between Contractors or groups of contractors and trade unions. Such division and classification of the work shall be the Contractor's responsibility. Refer to the General conditions.

1.04 LOCATION OF THE WORK

- A. The work under this contract is located in the vicinity of Selby, South Dakota in Walworth County, South Dakota, on properties for which easements and/or title have been obtained by the Owner.

1.05 WORK COVERED BY CONTRACT DOCUMENTS

- A. The broad scope of the project covered under these contract documents includes, but is not limited to, the construction of street repairs in Selby, South Dakota.

1.06 CONTRACTS

- A. The work shall be performed under one schedule, as listed on the Bid Form: Base Bid Schedule.

1.07 WORK BY OTHERS

- A. Refer to the General and Supplementary Conditions.

1.08 SEQUENCE OF OPERATIONS

- A. The Contractor shall note that the Owner's existing water distribution system must essentially remain in continuous operation.
- B. The Contractor must receive the approval of the Owner prior to any scheduled intermittent, partial or complete shut-down of the existing facilities.

1.09 CONTRACTOR'S RESPONSIBILITIES AND DUTIES

A. General:

1. Each Contractor must satisfy himself by personal examination of each site as to all local conditions affecting the performance of his contract. The Contractor is deemed to accept such conditions as found to exist.
2. All construction activities shall be confined within the areas shown on the drawings. Construction easements, as needed, have been obtained by the Owner. If additional area is needed, it shall be the Contractor's responsibility to obtain said area.
3. See the reference to "Existing Structures" as found in the applicable paragraphs of Division 1.

B. Continuous Operation:

1. Each Contractor shall note that the Owner must continue the operation of the existing water distribution facilities.
2. Each Contractor shall schedule all required work so as to minimize the interruption of the continuous operation of the existing facilities and functions.
3. When it is necessary to take a certain portion of the existing facilities or systems out of service, the Contractor shall submit to the Engineer a description of the procedure and schedule of the work proposed. The schedule and procedure shall be reviewed and approved by the Engineer, and the Owner prior to commencement of these operations.
4. Under any emergency condition or where partial shutdown of the existing facilities is involved, the modifications and connections shall be pursued on a 24-hour-per-day basis

and 7 days per week schedule to minimize disruption of service unless otherwise provided in the technical specifications. The Contractor shall provide at no additional cost to the Owner all temporary connections, parallel temporary lines or bypasses as may be required.

5. All materials shall be on the job and ready for installation for these items. All arrangements, measurements and planning shall be done in advance of taking existing facilities out of service.

C. Existing Underground Utilities:

1. Refer to Section 02 01 00 of the Specifications.

D. Existing Structures:

1. The requirements relating to existing structures shall be as per the General Conditions and as supplemented herein.
2. Each Contractor shall take complete field measurements affecting all existing construction, wiring, piping, and equipment in this contract, and he shall be solely responsible for proper fit between his work and existing structures and other equipment. He shall examine all work to which he will connect; and if any misalignment is found, he shall so arrange his work that the misalignment is corrected to the satisfaction of the Engineer.
3. Dimensions given on the drawings related to the existing structures are based on existing construction drawings, and it shall be the responsibility of the Contractor to verify the accuracy of these dimensions. Any discrepancies shall be brought to the attention of the Engineer prior to start of new construction.
4. Each Contractor will be held responsible for any damage to existing structures, work, materials, or equipment because of his operations and shall repair or replace any damaged structures, work, materials, or equipment to the satisfaction of, and at no additional cost to, the Owner.
5. Each Contractor shall be responsible for all damage to streets, roads, curbs, sidewalks, highways, shoulders, ditches, embankments, culverts, bridges, or other public or private property, which may be caused by transporting equipment, materials, or men to or from work. The Contractor shall make satisfactory and acceptable arrangements with the agency having jurisdiction over the damaged property concerning its repair or replacement.

E. Unfavorable Construction Conditions:

1. During unfavorable weather, wet ground, or other unsuitable construction conditions, the Contractor shall confine his operations to work, which will not be affected adversely thereby. No portion of the work shall be constructed under conditions, which would affect adversely the quality efficiency thereof, unless special means or precautions are taken by the Contractor to perform the work in a proper and satisfactory manner.

F. Preservation of Monuments and Stakes:

1. Refer to the General Conditions and the Supplementary Conditions.

2. In case of his destruction thereof, the Contractor will be charged with the expense of replacement and shall be responsible for any mistake or loss of time that may be caused. The Contractor shall furnish materials and assistance for the proper replacement of such monuments or bench marks.

G. Methods of Operation:

1. Each Contractor shall inform the Engineer in advance concerning his plans for carrying on each part of the work, but the contractor alone shall be responsible for the safety, adequacy, and efficiency of his plant, equipment, and methods.
2. Any method of work suggested by the Owner or Engineer, but not specified, shall be used at the risk and responsibility of the Contractor. The Engineer and Owner will assume no responsibility therefore.
3. Review by the Owner or Engineer of any plan or method of work proposed by the Contractor shall not relieve the Contractor of any responsibility therefor, and such review shall not be considered as an assumption of any risk or liability by the Owner, Engineer, or any officer, agent, or employee thereof. The Contractor shall have no claim on account of the failure or inefficiency of any plan or method so reviewed.

H. Conduct of Work:

1. Each Contractor shall observe that the Owner reserves the right to do other work in connection with the project or adjacent thereto by contract or otherwise, and he shall at all times conduct his work so as to impose no hardship on the Owner or others engaged in the work, nor cause any unreasonable delay or hindrance thereto.
2. Each Contractor shall be responsible to others engaged in the work or work adjacent thereto for all damage or injury to work, to persons or property, or for loss caused by failure to finish the work within the specified time for completion. He shall adjust, correct, and coordinate his work with the work of others so that no discrepancies shall result in the whole work.
3. The work of this contract includes the furnishing and necessary installation of all tools, machinery, scaffolds, false work, forms and centers for the execution of the work, except as may be otherwise specified. Equipment provided shall be adequate. The Contractor shall obtain all necessary measurements for the work and shall check dimensions, levels, and construction and layout and supervise the construction for correctness of all of which he shall be responsible.
4. Where work of one trade joins to, or is on other work, there shall be no discrepancy when the work is completed. The Contractor must anticipate relation of all parts of the work, and at the proper time provide and set required anchors and blocking. Anchors, blocking, sleeves, and inserts necessary for each trade shall be a part of same except where stated otherwise. Assistance required by the Engineer in obtaining measurements or information on the work shall be furnished accurately and fully by the Contractor without additional cost to the Owner.
5. The work of this contract includes the furnishing and necessary operation of all tools and machinery for the execution of the work, except as may be otherwise specified. Equipment provided shall be in good condition and adequate for the work performed.

The Contractor shall obtain all necessary measurements for the work, and shall check dimensions, levels, and construction layout. The Contractor shall supervise the construction for correctness of all work, which he/she shall be responsible.

1.10 PARTIAL OWNER OCCUPANCY

- A. It is anticipated that portions of the facilities will be in operation before final construction is completed. The Owner, therefore, reserves the right to operate the installed equipment following startup. This continued operation shall in no way indicate final acceptance prior to completion of the project.
- B. Refer also to the General Conditions and the Supplementary Conditions.

* * * END OF SECTION * * *

SECTION 01 20 00 - MEASUREMENT AND PAYMENT

PART 1 GENERAL

1.01 GENERAL

- A. The General Provisions of the Contract, including General and Supplementary Conditions shall apply to the Work covered in this Section.
- B. The intention of the Bid Form is to include full compensation for all labor, materials, equipment, supervision, and other incidental items necessary for the performing of and completion of the items of work in place, in accordance with the Contract Documents for payment therefore, and also to include all work which may be reasonably inferable from the Contract Documents as being necessary to produce the intended results. All items of work necessary to produce the intended results NOT specifically contained in the Bid Form shall be considered incidental work to the Bid Form item without allowance for separate, additional compensation.
- C. All cost of all work described in Division 1, unless otherwise specified shall be considered incidental, with no separate measurement or payment.
- D. Related requirements specified elsewhere:
 - 1. Schedule of Values – Section 01 29 73

PART 2 PRODUCTS - NOT USED

PART 3 EXECUTION

3.01 MEASUREMENT

- A. The determination of final quantities of Work performed under the Contract will be made by the Engineer, based upon measurements made by him or his assistants. Measurement of completed work shall be in units as shown on the Bid Form for unit price items or completion of Work items listed and shown on the accepted schedule of values for lump sum items.
- B. The Contractor shall participate in the measurement of completed Work unless otherwise agreed.
- C. Specific differences in measurement or classification of Work are to be resolved at the time of measurement.
- D. All measurements shall be completed on a regular basis.

3.02 APPLICATION FOR PAYMENT

- A. The Contractor shall prepare and submit progress payment requests on forms provided by or approved by the Owner.
- B. All submissions shall be made in accordance with the requirements of the General Conditions and Supplementary Conditions as hereinafter modified:
 - 1. Modifications: NONE

3.03 PAYMENT

A. All payments shall be made in accordance with the requirements of the Contract Documents, General Conditions and Supplementary Conditions as hereinafter modified:

1. Modifications: NONE

B. Payment will be made in accordance with the respective unit or lump sum prices as shown on the Bid Form.

3.04 PAYMENTS TO BE WITHHELD

A. All payments due the Contractor including payment for stored material shall be subject to retainage and withholding due to uncompleted work in accordance with the provisions of the Contract Documents, General Conditions and Supplementary Conditions as hereinafter modified:

1. Modifications: NONE

3.05 PAYMENT ITEMS

A. Payment items shall be as listed on the Bid Form.

* * * END OF SECTION * * *

SECTION 01 25 00 - SUBSTITUTIONS

PART 1 GENERAL

1.01 RELATED DOCUMENTS

- A. The general provisions of the Contract, including General and Supplementary Conditions shall apply to the Work covered in this Section.

1.02 SCOPE

- A. This section provides a description of the general requirements for the submission, review, and acceptance of substitute items of material and equipment.

PART 2 PRODUCTS - NOT USED

PART 3 EXECUTION

3.01 GENERAL

- A. The procedures for requesting and reviewing substitute items of material and equipment shall be as required by the Instructions to Bidders, General Conditions and as supplemented herein.
- B. The Contractor shall be responsible for all costs that will result directly and indirectly from the acceptance of the substitute.

*** END OF SECTION ***

SECTION 01 32 23 - SURVEY AND LAYOUT DATA

PART 1 GENERAL

1.01 GENERAL

- A. The requirements and provisions for engineering and layout of survey and layout data are as specified in the General Conditions and as supplemented herein.
- B. Topography and profiles showing existing ground elevations and culture were obtained by topographic survey.
- C. The Engineer will furnish construction staking to prosecute the Work as described below. The Contractor shall make timely demands of the Engineer for such staking. The Contractor shall provide advance written notice of not less than five working days for setting stakes.
 - 1. Stakes showing sewer and grade lines from existing manholes or sewers to lift station will be provided, at an offset as agreed to by the contractor, at intervals of not less than 50 feet.
 - 2. The contractor shall be responsible for transferring from benchmarks, grade and line stakes all distances and elevations necessary for the execution of the work.
 - 3. The Contractor may request additional staking at the Pre-Construction Conference. Should the Contractor request the setting of stakes in excess of those described above, after the Pre-Construction Conference, the Contractor shall be responsible for the extra cost, which will be prorated on the basis of the total number of stakes set.
- D. The Contractor shall preserve all construction stakes, reference points, and other survey points. In case of their loss or destruction, the Contractor shall be liable for and charged with the cost of their replacement and of any expense resulting from their loss or disturbance. Such surveys shall constitute instruction from the Engineer, and the Contractor shall not proceed with the Work until construction stakes have been provided.
- E. Should the Owner's representative be required to reset construction stakes, the cost for such resetting will be at the then current per diem rates. The charges for such Work will be deducted from the progress payments for the Contractor for the month in which the surveying Work is done by the Owner and thereon paid to the Owner's representative.

* * * END OF SECTION * * *

SECTION 01 33 23 - SHOP DRAWINGS, PRODUCT DATA AND SAMPLES

PART 1 GENERAL

1.01 RELATED DOCUMENTS

A. The general provisions of the Contract, including General and Supplementary Conditions as supplemented herein, shall apply to the work covered in this Section.

1.02 SCOPE

A. This Section covers the submission, review and distribution requirements of shop drawings, product data and samples.

1.03 PAYMENT

A. Refer to Section 01 20 00 - MEASUREMENT AND PAYMENT

PART 2 PRODUCTS

2.01 SHOP DRAWINGS

2.02 The shop drawings submitted for review shall contain at a minimum all information as required by the General Conditions and as hereinafter required:

1. Additional information as specified in the individual technical specifications.
2. All shop drawings and materials shall be identified by reference to specification section and/or drawing detail number.
3. Minimum drawing size shall be 8-1/2" x 11".

2.03 PRODUCT DATA

- A. Manufacturer's standard schematic drawings shall be identified by reference to Section number and modified to delete information, which is not applicable to the Work. Standard information shall be supplemented to provide additional information applicable to the Work.
- B. Manufacturer's catalog sheets, brochures, diagrams, schedules, performance charts, illustrations and other standard descriptive data that are submitted for review shall be clearly marked to identify pertinent materials, products or models, show dimensions and clearances required, show performance characteristics and capacities, and show wiring diagrams and controls.

2.04 SAMPLES

A. All samples submitted for review shall be identified by reference to section number and shall provide all information as required by the General Conditions of the Contract Documents.

PART 3 EXECUTION

3.01 SHOP DRAWING AND PRODUCT DATA

A. The review and submittal process for shop drawings and product data shall be in accordance

with the requirements of the General Conditions:

- B. The Contractor shall submit five (5) copies of all shop-drawing submittals to the Engineer. If the Contractor requires more than two (2) copies of the reviewed shop drawings and product data to be returned, he shall submit additional copies. **Alternatively, shop drawings may be submitted in digital PDF format for review by the Engineer. If this option is chosen, shop drawings will be returned digitally.**
- C. All shop drawings shall be submitted through the prime Contractor and be accompanied by a letter of transmittal. The prime Contractor shall approve all shop drawings before transmitting them for approval.
- D. All shop drawings shall be submitted and approved prior to installation with no exceptions.
- E. Engineer shall return two (2) copies to the Contractor with instructions for further action, **unless digital copies are submitted.** All resubmittals of shop drawings shall be in accordance with 3.01 A through D.

3.02 SAMPLES

- A. Submit in accordance with the requirements of the General Conditions a minimum of four (4) samples of materials, finishes, colors, etc., as identified in the technical specifications for review.

PART 4 MEASUREMENT AND PAYMENT

4.01 METHOD OF MEASUREMENT

- A. Shop drawings, product data and samples are considered incidental Work with no separate measurement to be made.

4.02 BASIS OF PAYMENT

- A. Shop drawings, product data and samples are considered incidental Work with no separate payment to be made.

* * * END OF SECTION * * *

SECTION 01 50 00 - TEMPORARY FACILITIES

PART 1 GENERAL

1.01 RELATED DOCUMENTS

- A. The general provisions of the Contract, including General and Supplementary Conditions shall apply to the Work covered in this Section.

1.02 SCOPE

- A. This section describes the temporary facilities to be provided by the Contractor during the Work.

1.03 PAYMENT

- A. Refer to Section 01 20 00 - Measurement and Payment

PART 2 PRODUCTS - NOT USED

PART 3 EXECUTION

3.01 TEMPORARY ELECTRICAL

- A. It will be the Contractor's responsibility to make arrangements for, provide, obtain, and pay for temporary construction power as required for lighting and construction needs.

3.02 TEMPORARY WATER

- A. It will be the Contractor's responsibility to provide, obtain and pay for all water required for potable consumption and construction, flushing, testing, disinfection and other needs as may be required.
- B. All potable water facilities shall conform to the Federal, State, and Local health regulations.

3.03 TEMPORARY SANITARY FACILITIES

- A. The Contractor shall provide and maintain in a sanitary condition, suitable temporary toilet facilities on the site for use by all persons. Temporary sanitary facilities shall be removed from the site when no longer necessary.
- B. Each temporary toilet shall be equipped, constructed, and maintained in a manner that will conform to the applicable Federal, State and local regulations and requirements.
- C. Provisions for routinely servicing and cleaning all toilets and disposing of the sewage shall be established before placing toilet facilities into operation. The method of sewage disposal and location selected shall be in accordance with Federal, State, and Local health regulations.

3.04 ROADS

- A. Each Contractor shall maintain the existing roads in usable condition during his construction of the facilities.
- B. The Contractor shall obtain the approval of the appropriate township, county, state, or federal officials prior to constructing any temporary roads. All construction shall conform to the requirements of said officials.

3.05 SIGNS

- A. No signs, billboards, or other advertisements shall be erected on the premises by the General Contractor or the subcontractors without Engineer's permission. Each General Contractor shall furnish and maintain all necessary temporary signs required for the prosecution of the Work such as "Office," "Men," "Danger," "High Voltage," etc.

3.06 TEMPORARY ENCLOSURES, LIGHTING, AND HEAT

- A. Each Contractor shall provide temporary enclosures and closures in order to retain heat so that specified temperatures can be maintained and the Work can proceed. He shall provide temporary closures over wall and floor openings, etc., to enclose the Work. Temperature inside the enclosures and/or enclosed part or parts of the structure shall be; not less than 60 degrees F for 48 hours prior to and during the time when and where concrete work, cement finish, or masonry work are being done or cured; not less than 50 degrees F where only other trades, such as electricians, plumbers, etc., are working.
- B. Each contractor shall pay for any additional temporary heating facilities necessary to meet the above requirements, and the fuel, and the attendance required, until the structure is enclosed.
- C. Each Contractor shall provide all temporary lighting as may be required to illuminate the Work area.
- D. The lighting shall meet all safety codes and regulations. Lighting shall be not less than 20-foot candles. Additional light shall be installed for hazardous areas, for security applications, and for construction processes where visual acuity is required.
- E. All temporary lighting and power shall use UL approved devices and all systems shall meet safety requirements of the National Electrical Code and other applicable ordinances.

3.07 TEMPORARY FENCES/GATES

- A. The Contractor shall be required to utilize all existing gates and fence openings so far as practical during the construction process.
- B. All existing fences, which interfere with the Work, shall be maintained by the Contractor unless permission is obtained in writing from the tenant to dismantle the fence for any agreed period of time. Where temporary gates are required, they shall be kept closed at all times.
- C. On completion of the Work across any tract of land, the Contractor shall restore all fences to their original or to a better condition and quality as approved by the Owner and the tenant. New material to replace all materials lost, damaged, or destroyed shall be purchased and installed as required.
- D. Temporary gates installed by the Contractor in any fence line may be left in place with the permission of the Owner and tenant of such fence.
- E. All gates shall be left in the condition found, open or closed. If any doubt arises, gates shall be closed.
- F. All loss of livestock due to negligence shall be the responsibility of the contractor.

3.08 TEMPORARY OPERATIONS

- A. When it becomes necessary to utilize temporary pumping or other mechanical arrangements

during construction, the Contractor shall provide qualified supervision at the plant site at all times. The Contractor shall be fully responsible for the operation and maintenance of all temporary equipment and all associated costs shall be included in the prices bid.

3.09 SITE CLEANUP

- A. Each Contractor shall clean the working area each day, shall remove all trash and waste materials, and shall maintain the site in a neat and orderly condition throughout the construction period.
- B. At the completion of the project, each Contractor shall clean out all pits, pipes, chambers, or conduits; shall remove all temporary structures built by him; and shall remove all rubbish from the areas which he has occupied, leaving them in a clean condition.

PART 4 MEASUREMENT AND PAYMENT

4.01 METHOD OF MEASUREMENT

- A. Quality control is considered incidental Work with no separate measurement to be made.

4.02 BASIS OF PAYMENT

- A. Quality control is considered incidental Work with no separate payment to be made.

END OF SECTION

SECTION 01 55 26 - TRAFFIC CONTROL

PART 1 GENERAL

A. RELATED DOCUMENTS

1.02 The general provisions of the Contract, including General and Supplementary Conditions shall apply to the Work in this Section.

A. SCOPE

1.03 This Section describes the Contractor's responsibilities for controlling vehicular and pedestrian traffic in and around the construction sites.

PART 2 PRODUCTS – See Standard Plates Attached

PART 3 EXECUTION

A. GENERAL

3.02 Traffic shall be maintained in accordance with the Manual on Uniform Traffic Control Devices (MUTCD).

3.03 Flagger(s) will be required where work activity and/or equipment encroach into a lane open to traffic.

3.04 Removing, relocating, covering, salvaging and resetting of permanent traffic control devices, including delineation, shall be the responsibility of the Contractor. The cost of this work shall be incidental to the various contract bid items unless otherwise specified in the plans. Any delineators and signs damaged or lost shall be replaced by the Contractor at no cost to the City or State.

3.05 Non-applicable traffic control devices shall be removed and stored as near as possible to the right-of-way line.

3.06 Storage of vehicles and equipment shall be as near the right-of-way as possible. Contractor's employees should mobilize at a location off the right-of-way and arrive at the work sites in a minimum number of vehicles necessary to perform the work. Indiscriminate driving and parking of vehicles within the right-of-way will not be permitted. Any damage to the vegetation, surfacing, embankment, delineators and existing signs resulting from such indiscriminate use shall be repaired and/or restored by the Contractor, at no expense to the City or State, and to the satisfaction of the Engineer.

3.07 The Contractor shall designate an employee whose responsibility is the maintenance of traffic, 24 hours a day and 7 days a week. The person so designated must have training and experience in the field of construction traffic control and be knowledgeable about the Manual on Uniform Traffic Control Devices (MUTCD). The cost of the traffic control person shall be incidental to the contract lump sum price for Traffic Control Miscellaneous. The employee selected must be approved by the Engineer. The name, phone number, and location of person(s) shall be provided to the county sheriffs department and the local city police department.

3.08 Traffic control devices shall meet the crashworthy requirements of the National Cooperative Highway Research Program Report 350 (NCHRP 350) for Category III. Category III traffic

control devices include barriers or other fixed or high mass devices, including portable sign trailers.

- 3.09 Portable Sign Trailers used after January 1, 2003 must be crash tested and have received an acceptance letter from the Federal Highway Administration (FHWA).
- 3.10 It shall be the responsibility of the Contractor to ensure that all devices meet the applicable NCHRP 350 requirements.
- 3.11 All traffic control channelizing devices such as barricades, vertical panels, detour (M4-8, M4-9, or M4-10 series) signs, reflectorized drums, cones, and tubular markers shall be sheeted with micro-cube comer prismatic material effective January 1, 2003. Orange colored material shall be fluorescent.
- 3.12 Work activities during non-daylight hours are subject to prior approval.

A. HAUL ROUTES

- 3.13 The use of public roadways by the Contractor, his subcontractors, and his suppliers to transport equipment and other materials to and from the job site shall be in compliance with applicable State and County highway requirements, including seasonal legal load and speed limits.
- 3.14 The Contractor shall be responsible for contacting the appropriate officials for definition of seasons, specific limitations, and specific haul routes.

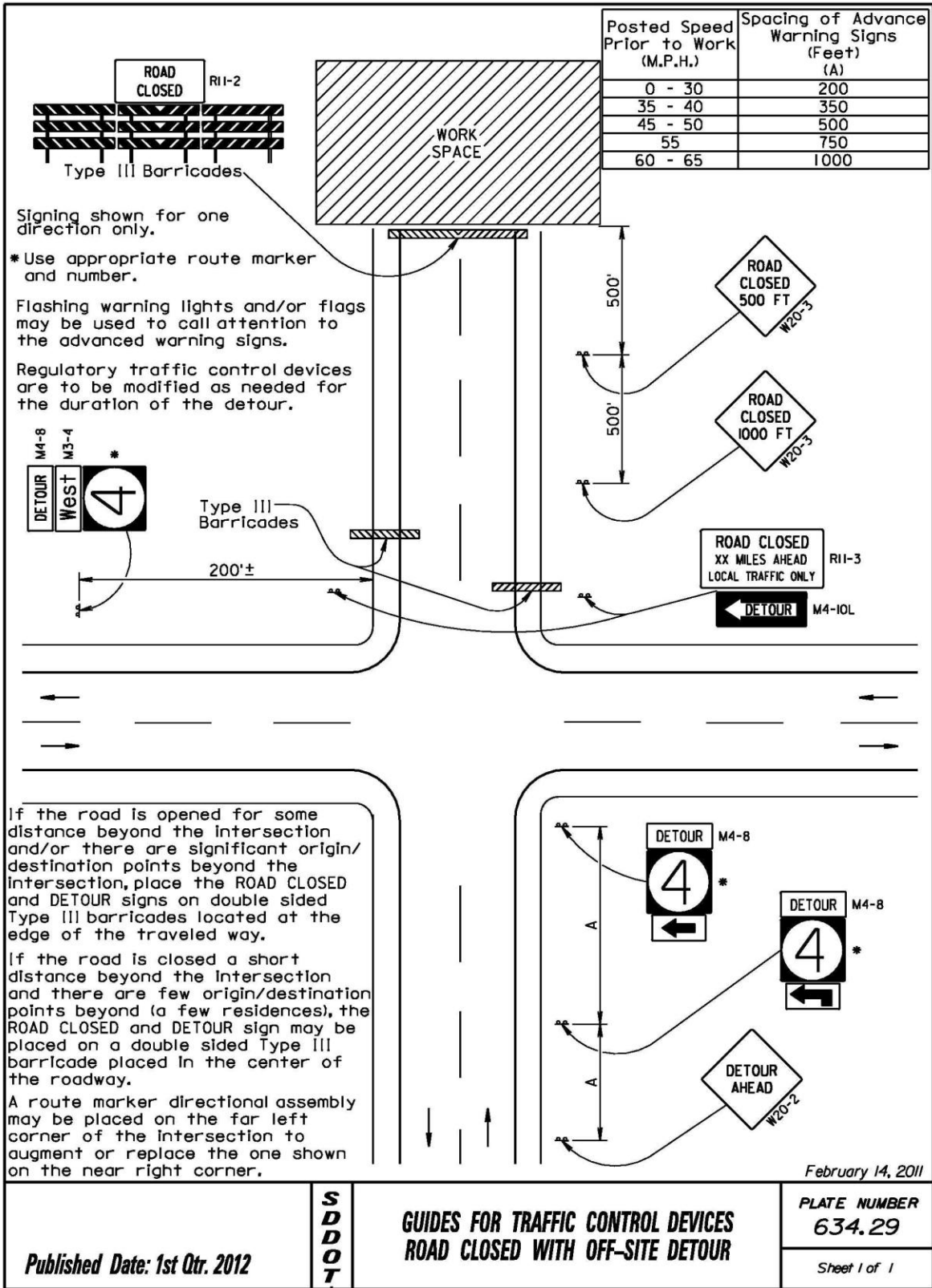
PART 4 MEASUREMENT AND PAYMENT

A. METHOD OF MEASUREMENT

- 4.02 Measurement for furnishing, erecting, installing, and maintaining barricades, warning signs or other safety devices or for their subsequent removal, or for flag persons or any other incidentals necessary for the proper direction, safety, and convenience of vehicular and/or pedestrian traffic during construction as this work shall on a lump sum basis.

A. BASIS OF PAYMENT

- 4.03 Payment for furnishing, erecting, installing, and maintaining barricades, warning signs or other safety devices or for their subsequent removal, or for flag persons or any other incidentals necessary for the proper direction, safety, and convenience of vehicular and/or pedestrian traffic during construction as this work shall be included in the lump sum cost for Traffic Control as provided in the Bid Form.



* * * END OF SECTION * * *

SECTION 01 66 00 - PRODUCT STORAGE AND HANDLING REQUIREMENTS

PART 1 GENERAL

1.01 RELATED DOCUMENTS

- A. The general provisions of the Contract, including General and Supplementary Conditions shall apply to the Work covered in this Section.

1.02 SCOPE

- A. This Section is intended to describe the Contractor's general responsibilities for the handling, storage and protection of materials and equipment as well as the Contractor's options in the selection of products and manufacturers.
- B. Specific procedures for handling and storage of materials and equipment are presented in the appropriate section of the technical specifications.

PART 2 PRODUCTS - NOT USED

PART 3 EXECUTION

3.01 TRANSPORTATION AND HANDLING

- A. Each Contractor shall have a representative at the site to accept delivery of equipment and material. Material shall be shipped with adequate protection to prevent damage due to handling and reasonable storage procedures.

3.02 PROTECTION OF WORK AND EQUIPMENT

- A. The requirements shall be as per the requirements of the General Conditions and Supplementary Conditions as supplemented herein.
- B. Loose granular materials for concrete or mortar are to be stored on solid surfaces such as paved areas, plywood or sheet materials to prevent mixing with foreign matter. Provide surface drainage to prevent flow or ponding of rainwater. Mixing of refuse or chemically injurious materials or liquids with the stored material shall be prevented.
- C. All storage shall be arranged in a manner to provide easy access for inspection.
- D. A periodic inspection of stored products on a scheduled basis shall be conducted to assure that:
 - 1. State of storage facilities is adequate to provide required conditions;
 - 2. Required environmental conditions are maintained on a continuing basis;
 - 3. Surfaces of products exposed to elements are not adversely affected. Any weathering of products, coatings, and finishes is not acceptable under requirements of Contract Documents.
- E. Equipment which requires servicing during long-term storage shall have complete manufacturer's instructions for servicing accompanying each item, with notice of enclosed

instructions shown on exterior of package. Compliance with manufacturer's instructions is required on a scheduled basis.

PART 4 MEASUREMENT AND PAYMENT

4.01 METHOD OF MEASUREMENT

A. All costs incurred by the contractor for the execution of the Work specified herein are considered incidental Work with no separate measurement made.

4.02 BASIS OF PAYMENT

A. All costs incurred by the contractor for the execution of the Work specified herein are considered incidental Work with no separate payment made.

* * * END OF SECTION * * *

SECTION 01 71 13 - MOBILIZATION

PART 1 GENERAL

1.01 RELATED DOCUMENTS

- A. The general provisions of the Contract, including General and Supplementary Conditions, shall apply to the Work covered in this section.

1.02 SCOPE

- A. This item shall consist of preparatory work and operations, including, but not limited to the necessary movement of personnel, equipment, and incidentals to the project site; establishment of all offices, buildings, and other facilities necessary for work on the project; and work and operations which must be performed, and costs incurred before starting work on the various contract items on the project site.

1.03 PAYMENT

- A. Payment for Mobilization will be made at the contract lump sum price, and shall be considered full compensation for all costs incidental there to. Based on the lump sum contract price for Mobilization, partial payments therefor will be made on the basis of the following schedule:
 1. When the Contract has been fully executed by parties thereto, an amount as determined by the following schedule will be paid. Except, payment shall not exceed 25 percent of the total contract amount for Mobilization.
 2. Contract amounts up to and including \$500,000: Payment in an amount equal to 1.0 percent of contract amount.
 3. Contract amounts in excess of \$500,000: Payment in an amount equal to \$5,000 plus 0.60 percent of contract amount in excess of \$500,000.
 4. When five (5) percent, or more, of the original contract amount is earned, an additional amount will be paid to bring the total payment for mobilization to twenty-five (25) percent of the amount bid.
 5. When ten (10) percent, or more, of the original contract amount is earned, an additional amount will be certified to bring the total payment for mobilization to fifty (50) percent of the amount bid.
 6. When twenty-five (25) percent, or more, of the original contract amount is earned, an additional amount will be certified to bring the total payment for mobilization to sixty (60) percent of the amount bid.
 7. When fifty (50) percent, or more, of the original contract amount is earned, a final payment will be made to bring the total payment for mobilization to one hundred (100) percent of the amount bid.

*** END OF SECTION ***

SECTION 01 73 29 - CUTTING AND PATCHING

PART 1 GENERAL

1.01 RELATED DOCUMENTS

- A. The general requirements of the Contract including General and Supplementary Conditions and as supplemented herein shall apply to the Work covered by this Section.
- B. Related requirements specified elsewhere:
 - 1. Summary of Work - Section 01 11 00.
 - 2. Coordination - Section 01 31 13.

1.02 SCOPE AND DESCRIPTION

- A. This section describes the necessary coordination, materials and labor associated with cutting and patching of completed Work or connection of specified Work to existing facilities.

1.03 SUBMITTALS

- A. Prior to cutting which may affect the structural integrity of any structure, facility or portion of the project, or Work of another Contractor, or completed Work or existing facilities, the Contractor shall submit written notice to the Engineer requesting consent to proceed with the cutting. The notice shall designate the location, date and time the Work will be exposed for observation, and cutting will be initiated and completed.

PART 2 PRODUCTS

2.01 MATERIALS

- A. All materials for cutting and patching shall comply with the Specifications for the type of Work to be done.

PART 3 EXECUTION

3.01 GENERAL

- A. Cutting (including excavating), fitting or patching of Work shall be executed as required to:
 - 1. Uncover Work to provide for installation of ill-timed Work.
 - 2. Remove and replace defective Work
 - 3. Install and properly fit specified Work in existing construction, facilities, or structures.
 - 4. Remove and replace Work not conforming to requirements of Contract-Legal Documents, Specifications, or Drawings.
 - 5. Remove samples of installed Work as specified for testing.
- B. The Work of another Contractor, Work already completed, or existing facilities shall not be cut without the consent of the Engineer.

a. INSPECTION

1. Representatives of the Contractor, Owner, and Engineer shall, before starting Work on that portion of the project, inspect and record the existing conditions of Work, including elements subject to movement or damage during:
 - b. Cutting and patching
 - c. Excavating and backfilling
2. After uncovering the Work, the Contractor and Engineer shall inspect Work and note all conditions affecting installation of new products.

C. PREPARATION

1. The Contractor shall be responsible for providing shoring, backing and support as required to maintain structural integrity of the Work, protect other work, and provide protection from the elements.

D. PERFORMANCE

1. The fitting and adjustment of products and material shall be executed to provide a finished installation that will comply with specified tolerances and finishes.
2. All cutting and demolition shall be executed by methods that will prevent damage to other Work, and will provide the proper surfaces to receive installation of repairs and new Work.

PART 1 MEASUREMENT AND PAYMENT

4.01 METHOD OF MEASUREMENT

- E. Cutting and patching is considered incidental Work with no separate measurement to be made.

4.02 BASIS OF PAYMENT

- F. The cost of cutting and patching to complete Work as specified and shown on the Drawings shall not be measured and paid directly but shall be considered incidental to the project as bid.

* * * END OF SECTION * * *

DIVISION 030000 - CONCRETE

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SECTION 03 15 00 - CONCRETE JOINTS AND WATERSTOPS

PART 1 GENERAL

1.01 RELATED DOCUMENTS

- A. The general provisions of the contract, including General and Supplementary Conditions, apply to the work specified in this section.
- B. Related Work Described Elsewhere:
 - 1. Concrete Formwork: 03 11 00
 - 2. Concrete Reinforcement: 03 20 00
 - 3. Cast-in-place Concrete: 03 30 00

1.02 DESCRIPTION OF WORK

- A. The extent of each type of concrete joint and waterstop required on foundation walls is shown on the drawings.

1.03 SUBMITTALS

- A. Manufacturer's catalog data and installation instructions.
- B. Certificate of compliance that waterstops meet or exceed physical property requirements of referenced specification.

PART 2 PRODUCTS

2.01 PREFORMED EXPANSION JOINT FILLER

- A. Preformed, non-extruding-type joint filler constructed of closed cell polyethylene foam of firm texture. Conform to ASTM D1752, Sections 3.1 to 3.4.

2.02 WATERSTOP

- A. Waterstop shall be extruded from virgin elastomeric PVC compound, resistant to chemical action with Portland cement, alkalis, acids, and fungi. Waterstop shall conform to Corps of Engineers CRD-C 572 and the following physical characteristics:

Physical Property Value Test Method

Sheet Material:

Tensile Strength, 2,100 psi ASTM D 412

Ultimate Elongation, 360 % ASTM D 412

Low Temperature Brittleness, -35 deg. F max ASTM D 746

Stiffness in Flexure, 750 psi min ASTM D 747

Finished Waterstop:

Tensile Strength, unaged 1750 psi min ASTM D412

Durometer Shore Hardness 70 ± 5 ASTM D1706

Ultimate Elongation, unaged 350% ASTM D412

- B. All waterstop shall be No 6380 as manufactured by W.R. Meadows, Serviced/Durajoint Type No. 5 as manufactured by W.R. Grace and company, or approved equal.

2.03 JOINT MATERIAL

- A. All joint material in contact with potable water shall meet requirements of the SD Dept of Environment & Natural Resources and be safe for use with a drinking water supply.
- B. The backer rod shall be a non-moisture absorbing, resilient material approximately 25 percent larger in diameter than the width of the joint to be sealed. The backer rod shall be compatible with the sealant and no bond or reaction shall occur between the rod and the sealant.
- C. Hot Poured Elastic Joint Sealer: The sealant shall conform to the requirements of ASTM D3405. The manufacturer shall furnish a certificate of compliance for the material.
- D. Low Modulus Silicone Sealant: Low modulus silicone sealant shall be furnished in a one-part silicone formulation. The sealant must meet the following requirements:

| TEST | LIMIT | TEST METHOD |
|---------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------|----------------------|
| Tack Free Time | 20-75 minutes | MIL S 8802 |
| Specific Gravity | 1.010-1.515 | ASTM D792 (Method A) |
| Durometer Hardness Type A: [Cured 7 days at 77°F ±3° (25° C ±2°) and 45% to 55% R.H.] | 10-25 0°F (-18° C) | ASTM D2240 |
| Tensile Stress: [at 150% elongation, 7 day (Die C) cure at 77° F ±3° (25° C ±2°) and 45-55% R.H.] | 45-psi (310 kPa) max. | ASTM D412 |
| Elongation: [7 day cure at 77° F ±3° □ (25° C (Die C) ±2°) & 45-55 R.H.] | 1000% min. | ASTM D412 |
| Shelf Life | 6 month minimum from date of manufacture | |
| Ozone & Ultra Violet Resistance | No chalking, cracking or bond loss after 5000 hrs. | |
| Movement capability and adhesion [7 day cure in air 77° F ±3° (25° C ±2°)] | No adhesive or cohesive failure, * all 3 specimens must exceed 500% extension at 0° F (-18° C) | |

| TEST | LIMIT | TEST METHOD |
|------------------------------------------------------------------------------------------------|----------------------------------------|---------------|
| Bond to Concrete Mortar Concrete briquettes [air cured 7 days at 77°F ±3° □ (25° C ±2°)] | 50 psi (345 kPa) min. 0° F (- 18°C) | AASHTO T132** |

* Prepare the specimens using 1" x 2" x 3" (25 mm x 50 mm x 75 mm) concrete blocks made in accordance with ASTM D3407. A sawed face shall be used for bond surface. Seal two inches (50 mm) of block leaving ½ inch (13 mm) on each end of specimen unsealed. The depth of sealant shall be 3/8-inch (10 mm) and the width ½-inch (13 mm). Subject the sealant to movement at a rate of two inches (50 mm) per minute until failure.

** Briquettes molded in accordance with AASHTO T132 sawed in half and bonded with approximately 10 mils (0.25 mm) of sealant and tested using clips meeting AASHTO T132. Briquettes shall be dried to constant weight in oven 100EC ±5E. They shall be tested in tension at a loading rate of 0.3 inches (7.6 mm) per minute.

PART 3 EXECUTION

3.01 CONSTRUCTION JOINTS

- A. Place construction joints only where shown. In case of a breakdown in concrete placement, form the resulting unscheduled joint in the same orientation as the joints shown on the drawings for similar portions of the structure and include the key, waterstop, and additional reinforcing as may be required for the design function of the structure.
- B. After the concrete has hardened on one side of a construction joint and before placing the next concrete pour, remove the surface laitance and clean exposed surface by dry sandblasting. The sand blasted, roughened joint shall leave sound, exposed aggregate with a surface roughness of 0.2-inch ± 0.1-inch. Just prior to placing the new concrete, coat the horizontal construction joint with a 2-inch layer of cement mortar and spread uniformly and work into all irregularities of the surface. Use cement mortar of the same mixture as the structural concrete but with the coarse aggregate omitted. The mortar shall not exceed the water-cement ratio of the concrete to be placed on it and the consistency shall be suitable for placing and working. Wet the vertical surface to be joined at a construction joint and use additional spading and vibrating to prevent voids.
- C. Key construction joints unless otherwise shown. Form keyways with beveled strips or boards placed at right angles to the direction of shear. Make keyways at least 1.5 inch in depth over at least 25% of the area of the section. When necessary to make a joint because of a breakdown or emergency, place reinforcing dowels across the joint. Embed dowels 40 bar diameters on each side of the joint. Match reinforcing in size and number.
- D. Provide isolation joints in slabs on ground at all points of contact between slabs on ground and vertical surfaces such as column pedestals, foundation walls, grade beams, and elsewhere as indicated.

3.02 JOINTS WITH JOINT SEALANT

- A. On structures or surfaces, which require joint sealant, do not remove the material for forming the groove in the concrete until the concrete is cured. Upon removing the groove form, sandblast the groove, allow it to dry, then place the primer, backup rod, and sealant into the clean groove in accordance with the manufacturer's recommendations. Prior to sealant application, the manufacturer's representative shall demonstrate joint preparation, priming,

and sealant materials for the personnel performing joint work. Groove form material shall be installed prior to concrete placement.

- B. Place construction joints perpendicular to the main reinforcement. Continue all reinforcement across construction joints. Construction joints in water holding structures shall be provided with a half inch beveled notch on the inside surface provided for caulking the joints.

3.03 CONCRETE PAVEMENT JOINTS

- A. Immediately after sawing the joints to their final configuration, the resulting slurry shall be completely removed from the joint and the immediate area by flushing with a jet of water and other tools as necessary. Curing membrane damaged or protective cover removed during the sawing operation shall be repaired or replaced by the Contractor as directed by the Engineer at no cost to the Owner.
- B. Longitudinal Sawed Joints: Deformed steel tie bars shall be placed perpendicular to the longitudinal joints by approved methods. Tie bars shall not be painted or coated with asphalt or other material, or enclosed in tubes or sleeves. Longitudinal sawed joints shall be cut to the dimensions specified. Suitable guidelines or devices shall be used to assure cutting the joint to a true line. The joint shall be cured a minimum of 24 hours before sawing. The sawed joint will not require reapplication of curing compound. The joint shall be sealed as required in Section 03 15 00.
- C. Longitudinal Construction Joints: When adjacent lanes of pavement are constructed separately, a keyway shall be formed along the construction joint. When deformed steel tie bars are required, they may be bent at right angles for the first lane constructed and straightened into final position before the concrete of the adjacent lane is placed. Tie bars shall conform to Section 03 15 00, except that rail steel shall not be used for tie bars that are to be bent and restraightened. The longitudinal construction joint shall be sawed shortly after the end of the curing period and shall be sealed as required in Section 03 15 00.
- D. Transverse Contraction Joints: Transverse contraction joints shall be created by sawing. Sawing shall commence when the concrete has hardened sufficiently to permit sawing without raveling. Joints shall be sawed before uncontrolled shrinkage cracking takes place. If necessary, the sawing operations shall be carried on both day and night, regardless of weather conditions. The sawed joint will not require reapplication of curing compound.
- E. The sawing of a joint shall be omitted if a crack occurs at or near the joint location prior to the time of sawing. Sawing shall be discontinued when a crack develops ahead of the saw. Any procedure which results in premature and uncontrolled cracking shall be revised immediately by adjusting the sequence of cutting the joints or the time interval involved between the placing of the concrete or removal of curing media and the cutting of joints.
- F. Repair or correction of uncontrolled cracks shall be as directed by the Engineer and at the expense of the Contractor.
- G. Longitudinal random cracks penetrating the full depth of the pavement shall be grooved and sealed. The top of the crack shall be grooved to a minimum depth of $\frac{3}{4}$ inch (20 mm) and to a width of not less than $\frac{3}{8}$ inch (10 mm) nor more than $\frac{5}{8}$ inch (16 mm) by means of a router. The router shall be capable of following the path of the crack and widening the top of

the crack to the required dimensions without spalling or damaging the concrete. Loose and fractured concrete shall be removed and the groove shall be thoroughly cleaned and sealed.

- H. For PCC Pavement with no load transfer across the contraction joint (dowel bar assemblies are not required), the following shall apply:
1. When a transverse random crack terminates in or crosses a transverse contraction joint, the uncracked portion of the joint shall be filled with epoxy-resin mortar and the crack shall be routed and sealed in accordance with Section 03 15 00.
 2. Where a transverse random crack parallels the planned contraction joint and is within a distance of five feet (1.5 meters) from the contraction joint in the pavement, the crack shall be routed and sealed in accordance with Section 03 15 00, and the joint shall be filled with epoxy resin mortar.
 3. When a transverse random crack is more than five feet (1.5 meters) from the nearest contraction joint in the pavement, the joint and the crack shall be sealed in accordance with Section 03 15 00. Joints to be filled with epoxy resin mortar shall be thoroughly cleaned.
- I. For PCC Pavement with load transfer across the contraction joint (dowel bar assemblies are required), the following shall apply:
1. When a transverse random crack parallels the planned contraction joint and is more than five feet (1.5 meters) from the contraction joint, the crack shall be routed, the backer rod installed, and sealed with silicone according to Section 03 15 00.
 2. When a transverse random crack parallels the planned contraction joint and is less than five feet (1.5 meters) from the contraction joint, the pavement shall be sawed full depth, removed, and replaced. Load transfer across the contraction joint shall be re-established.
 3. When a transverse random crack intersects or parallels a planned transverse contraction joint and is less than four inches (100 mm) from the planned contraction joint for more than 50 percent of the lane width, the crack shall be routed, the backer rod installed, and sealed with silicone in accordance with Section 03 15 00.
 4. When a transverse random crack intersects or parallels a planned transverse contraction joint and is more than four inches (100 mm) from the planned contraction joint for more than 50 percent of the lane width, the pavement shall be sawed full depth, removed, and replaced. Load transfer across the contraction joint shall be re-established.

3.04 SEALING CONCRETE PAVEMENT

- A. Joints shall be sealed with hot-poured elastic joint sealer or low modulus silicone sealant as specified. Joints shall be sealed immediately after completion of the curing period, before the pavement is opened to traffic.
- B. Joint grooves with spalls greater than ½ inch (13 mm) in depth shall be patched with an approved epoxy mortar. All loose concrete shall be removed from the spalled area and the spalled surface shall be thoroughly cleaned. After cleaning, the spalled surface shall be primed and an epoxy mortar of troweling consistency shall be placed in the spalled area and finished as the original pavement surface. The epoxy binder components shall be proportioned and mixed as recommended by the manufacturer. After the epoxy binder is

thoroughly mixed, dry silica sand shall be blended into the mixture to give an epoxy mortar of trowelable consistency.

- C. After the epoxy mortar has cured, the forming material shall be carefully removed. The finished joint shall have vertical faces and the joint width shall be maintained. Patching of spalls shall be done only when the temperature of the air and pavement are above 50EF (10EC).
- D. Joints to be sealed shall be thoroughly clean and dry. All materials such as old sealant, oil, asphalt, curing compound, paint, rust, and other foreign materials shall be completely removed. Cleaning shall be accomplished by sand blasting and other tools as necessary.
- E. Just prior to sealing, each joint shall be blown out using a jet of compressed air, at a working pressure of not less than 90 psi (620 kPa), to remove all traces of dust. Air compressors used for cleaning joints shall be equipped with traps capable of removing all free water and oil from the compressed air.
- F. Joint sealer application will not be permitted when the air or pavement temperature near the joint is less than 40EF (5EC) or is 40EF (5EC) and falling.
- G. The sealant shall be applied without spilling on the exposed surface. Sealant on the surface of the concrete pavement shall be removed immediately and the pavement surface cleaned. Failure of the joint material in either adhesion or cohesion will be cause for rejection. Repair shall be at the expense of the Contractor.
- H. Hot-Poured Elastic Joint Sealer: Hot-poured elastic joint sealer shall be stirred during heating so that localized overheating does not occur. All joints shall be sealed with an approved pressure-sealing device, equipped with a nozzle inserted into the joint, so sealing material will be forced from the bottom of the joint to the top.
- I. Silicone Sealant: Silicone sealant shall be applied with a mechanical device equipped with a nozzle or spout shaped to fit into the joint. The joint sealant shall be applied under pressure from the inside of the joint to remove entrapped air and ensure good joint contact.
 - 1. Backer rod shall be installed to the proper depth to produce the width and depth of sealant specified.
 - 2. The sealant surface shall be tooled to produce a slightly concave surface ¼-inch (6 mm) below the pavement surface. Tooling shall be accomplished before a skin forms on the sealant surface. The use of soap or oil as a tooling aid will not be permitted.
- J. Seasonal Restrictions:
 - 1. Silicone sealing operations shall be suspended after October 15, unless the Contractor has received written permission from the Engineer to continue sealing. After the October 15 seasonal restriction, only the initial cut shall be performed at all joints. Then the following spring the joints shall be widened, backer rod installed, and sealed with silicone according to Section 03 15 00.
 - 2. All costs related to the seasonal sealing restrictions including additional labor and materials, equipment, traffic control, mobilization, and incidentals shall be at the expense of the Contractor.

3.05 WATERSTOP

- A. Install waterstops at construction and expansion joints in structures, which will contain liquid or resist the entry of ground water. Construct forms to prevent injury to waterstops. Position and secure with wire ties, continuous bars, and rings. Heat weld splices and junctions of waterstop to form a continuous water seal. Use the heat welding equipment and temperature recommended by the waterstop manufacturer.

PART 4 MEASUREMENT AND PAYMENT

4.01 METHOD OF MEASUREMENT

- A. The joints and waterstops will not be measured for direct payment and will be considered subsidiary work pertaining to the contract.

4.02 BASIS OF PAYMENT

- A. No direct compensation will be made for this work. Payment will be included in the contract bid prices as shown on the Bid Form.

* * * END OF SECTION * * *

SECTION 03 20 00 - CONCRETE REINFORCEMENT

PART 1 GENERAL

1.01 RELATED DOCUMENTS

- A. The general provisions of the contract, including general and Supplementary Conditions, apply to the work specified in this section.

1.02 DESCRIPTION OF WORK

- A. The extent of concrete reinforcement is shown on the drawings and in schedules.
- B. The work includes fabrication and placement of reinforcement for cast-in-place concrete, including bars, welded wire fabric, ties, and supports.

1.03 QUALITY ASSURANCE

- A. Examine the substrate and the conditions under which concrete reinforcement is to be performed. Do not proceed with the work until unsatisfactory conditions have been corrected.
- B. Codes and Standards: Comply with requirements of the following codes and standards, except as herein modified.
 - 1. American Concrete Institute, ACI 315 "Manual of Standard Practice for Detailing Reinforced Concrete Structures."
 - 2. American Concrete Institute, ACI 318, "Building Code Requirements for Reinforced Concrete."
 - 3. American Welding Society, AWS D12.1 "Recommended Practices for Welding Reinforcing Steel, Metal Inserts and Connections in Reinforced Concrete Construction."
 - 4. Concrete Reinforcing Steel Institute, "Manual of Standard Practice."

1.04 SUBMITTALS

- A. For information only, submit 2 copies of steel producer's mill test certificates identifying chemical and physical analysis of each type of reinforcing steel delivered.
- B. Submit shop drawings for fabrication, bending, and placement of concrete reinforcement. Comply with the ACI 315 "Manual of Standard Practice for Detailing Concrete Structures," show bar schedules, stirrup spacing, diagrams of bent bars, arrangements and assemblies, as required for the fabrication and placement of concrete reinforcement. Include special reinforcement required at openings through concrete structures.

1.05 PRODUCT DELIVERY, HANDLING, AND STORAGE

- A. Deliver reinforcement to the project site bundled, tagged, and marked. Use metal tags indicating bar size, lengths, and other information corresponding to markings shown on placement diagrams.
- B. Store concrete reinforcement materials at the site to prevent damage and accumulation of dirt or excessive rust.

PART 2 PRODUCTS

2.01 MATERIALS

- A. Reinforcing Bars shall conform to ASTM A615, Grade 60, except as otherwise indicated.
- B. Steel Wire shall be plain wire conforming to ASTM A82.
- C. Welded Wire Fabric shall be of the gauge and mesh size as shown conforming to ASTM A185.
- D. Bolsters, chairs, spacers, and other devices for spacing, supporting, and fastening reinforcement in place shall be as follows:
 - 1. For bar supports, use CRSI Class C, plastic protected or Class E, stainless steel protected.
 - 2. For slabs on grade, use supports with sand plates or horizontal runners where wetted base materials will not support chair legs.
 - 3. Over waterproof membranes, use precast concrete chairs to prevent penetration of the membrane.

2.02 FABRICATION

- A. Shop-fabricate reinforcing bars to conform to required shapes and dimensions, with fabrication tolerances complying with ACI 315. In case of fabricating errors, do not re-bend or straighten reinforcement in a manner that will injure or weaken the material.
- B. Unacceptable Materials shall be defined as reinforcement with any of the following defects and will not be permitted in the work:
 - 1. Bar lengths, depths, and bends exceeding specified tolerances.
 - 2. Bends or kinks not indicated on drawings or on the final shop drawings.
 - 3. Bars with reduced cross section due to excessive rusting or other cause.

PART 3 EXECUTION

3.01 INSTALLATION

- A. Unless shown otherwise on drawings, comply with the specified codes and standards, and Concrete Reinforcing Steel Institute recommended practice for "Placing Reinforcing Bars," for details and methods of reinforcement placement and supports, and as herein specified.
- B. If the cover depth is not specifically indicated on the plan sheets, the reinforcing steel shall be protected by a minimum thickness of concrete as follows:
 - 1. Concrete against ground or exposed to water - 3" cover
 - 2. Concrete exposed to weather - 2" cover
 - 3. Beams and columns - 1 ½ " cover
 - 4. Slabs on grade or exposed to weather - 1" cover
- C. Clean reinforcement to remove loose rust and mill scale, earth, ice and other materials, which reduce or destroy bond with concrete.

- D. Position, support, and secure reinforcement against displacement by formwork, construction, or concrete placement operations. Locate and support reinforcing by metal chairs, runners, bolsters, spacers, and hangers, as required.
- E. Unless shown otherwise on drawings, place reinforcement to obtain the minimum coverage for concrete protection. Arrange, space, and securely tie bars and bar supports together with 16-gage wire to hold reinforcement accurately in position during concrete placement operations. Set wire ties so that ends are directed away from exposed concrete surfaces.
- F. Install welded wire fabric in as long lengths as practicable. Lap adjoining pieces at least one full mesh and lace splices with 16-gage wire. Do not make end laps midway between supporting beams, or directly over beams of continuous structures. Offset end laps in adjacent widths to prevent continuous laps.
- G. Provide sufficient numbers of supports and of strength to carry reinforcement. Do not place reinforcing bars more than 2 inches beyond the last leg of any continuous bar support. Do not use supports as bases for runways for concrete conveying equipment and similar construction loads.
- H. Splices: Provide standard reinforcement splices by lapping ends, placing bars in contact, and tightly wire tying. Comply with requirements of ACI 318 for minimum lap of spliced bars except as shown on drawings.

PART 4 MEASUREMENT AND PAYMENT

4.01 METHOD OF MEASUREMENT

- A. Concrete reinforcement will not be measured for direct payment and will be considered subsidiary work pertaining to the contract.

4.02 BASIS OF PAYMENT

- A. No direct compensation will be made for this work. Payment will be included in the contract bid prices as shown on the Bid Form.

* * * END OF SECTION * * *

SECTION 03 30 00 – CAST-IN-PLACE CONCRETE (W/FLY ASH)

PART 1 GENERAL

1.01 RELATED DOCUMENTS

- A. The general provisions of the Contract, including General and Supplementary Conditions and General Requirements, apply to the work of this section.
- B. Related Work Specified Elsewhere:
 - 1. Concrete Formwork, Section 03 11 00
 - 2. Concrete Reinforcement, Section 03 20 00

1.02 DESCRIPTION OF WORK

- A. The extent of cast-in-place concrete work is shown on the drawings.
- B. The work includes providing cast-in-place concrete consisting of Portland cement, fine and coarse aggregate, water and selected admixtures; combined, mixed, transported, placed, finished and cured as herein specified.

1.03 QUALITY CONTROL AND TESTING

- A. Prior to any concrete work, the Contractor shall obtain from his concrete supplier a certificate stating the design mix used by the supplier will meet or exceed the requirements of the specifications for Class A concrete as herein specified.
- B. The Contractor is responsible for controlling the quality of his product and shall make as many tests as necessary to satisfy himself and the Owner that his product meets or exceeds all specifications contained herein. The Contractor shall employ an independent professional testing laboratory experienced in the testing of concrete materials and mixes to perform material evaluation tests and to test concrete test cylinders. The testing agency shall meet the requirements of ASTM 329. The selection of the testing laboratory shall be subject to the Owner's and Engineer's acceptance. All such tests shall be at the expense of the Contractor.
- C. In addition to the Contractor quality control, the Engineer will perform temperature, slump, air, and compressive strength testing for the determination of product acceptance. The Engineer will cast a set of 4 standard 6-inch diameter cylinders for each 10 to 50 cubic yards of concrete placed or portion thereof and care for them as set forth in ASTM C31. These specimens shall be used to determine compressive strength requirements of the product. The results of these tests shall not relieve the Contractor of his responsibility to meet specifications contained herein.
- D. The right is reserved by the Owner to order additional checking of concrete strength by use of a Swiss hammer or by boring. Testing of this nature shall be done in the presence of the Engineer at the expense of the Contractor and may be submitted to an independent testing laboratory mutually agreed upon by the Contractor, Engineer, and Owner.

1.04 SUBMITTALS

- A. The certificate from the concrete supplier as specified above shall be submitted to the Engineer.
- B. The results of all concrete cylinder tests made shall be submitted to the Engineer.
- C. Copies of the delivery tickets for each load of concrete delivered to the site shall be furnished to the Engineer at the time of delivery.

PART 2 PRODUCTS

2.01 CONCRETE MATERIALS

- A. Water shall be clean and free of deleterious amounts of oils, acids, alkali, organics, silt, mud, grass, or other foreign material.
- B. Portland cement used shall meet the requirements of ASTM C150, Type II, for all usages. Only one brand of cement shall be used throughout the project unless otherwise accepted by the Engineer.
- C. Fly ash shall conform to AASHTO M 295 Class F including the optional requirements in the referenced AASHTO specification except as modified by the following:

Loss on ignition 2.0% Max.

Moisture content 2.0% Max.

Available alkalis as Na₂O 1.5% Max. *

* Available alkalis up to 2.0 percent may be used, provided mortar expansion test results at 14 days is less than or equal to that of the control sample. The expansion test shall be run in accordance with modified ASTM C 441. The control sample shall be made using cement that will be used on the project. The test sample shall be made using cement and fly ash that will be used on the project.

- D. Fly ash shall be from approved base loaded electric generating plants using a single coal source. Plants using a limestone injection process for controlling air pollutants are not acceptable. Fly ash from the start up and shut down of the plant shall not be used.
- E. Fine aggregate shall be clean, sharp, natural, uncoated sand free from silt, loam, and clay, dune sand, bank run sand and manufactured sand are not acceptable. Fine aggregate shall conform to ASTM C33, fine aggregate sections.
- F. Coarse aggregate shall be clean, uncoated crushed stone or gravel conforming to ASTM C33. Clay and shale particles shall not exceed 1%. Maximum size aggregate allowed is 1/5 of narrowest dimensions between forms of the concrete member or 3/4 of minimum clear spacing between reinforcing bars. For cement finish use 1/8 inch minimum and 3/8-inch maximum size aggregate.
- G. Aggregates containing soluble salts or other substances such as iron sulphides, pyrite, marcasite, or ochre, which can cause strains on exposed surfaces, will not be allowed.
- H. If noted on the plans, fiber mesh reinforcing shall be used with all concrete sidewalk and pavement. The fiber mesh shall be added at the rate of 1 bag per cubic yard or as otherwise recommended by the manufacturer. The fiber shall be added directly to the truck at the time of mixing.

2.02 CONCRETE ADMIXTURES

- A. Provide admixtures produced by established reputable manufacturers and use in compliance with the manufacturer's printed directions. Do not use admixtures, which have not been incorporated and tested in the accepted mixes unless otherwise authorized in writing by the Engineer. All admixtures shall meet standards as specified herein.
- B. Air-entraining Admixtures shall conform to ASTM C260 and shall be equal to Grace "Darex AEA," Master Builders "MB-VR"; or Sika Chemicals "AER."
- C. Calcium Chloride: Do not use calcium chloride in concrete unless otherwise authorized in writing by the Engineer.

2.03 CONCRETE CLASS

- A. Classes of concrete:

| CLASS OF CONCRETE | REQ. MIN. STRENGTH @ 28 DAYS (PSI) | MAX. WATER CONTENT GAL./94 LB. BAG |
|-------------------|------------------------------------|------------------------------------|
| A | 4,000 | 6.0 |

- 1. Class A concrete shall be used for all cast-in-place concrete. Minimum cement content for Class A concrete shall be 564 lbs. It may be used for all concrete requirements.
- 2. Class B concrete may be used to replace unsuitable materials excavated or as called for on the drawings. Class B concrete shall have a minimum cement content of 470 lbs.

- B. Grout and Topping:

- 1. Plain grout for channel bottoms; tank bottoms where required shall be proportioned as follows:

| CONSTITUENT | BY VOLUME |
|-------------------------|-----------|
| Type II Portland Cement | 1 Part |
| Sand | 2 Parts |
| ¼ " Aggregate | 1 ½ Parts |

- 2. Non-shrinking grout shall be Embeco, Pour-Rok, or approved equal.

2.04 CONSISTENCY

- A. Consistency required for each pour shall be established in advance by the Contractor in cooperation with the Engineer in accordance with ASTM C143 and according to the following slump ranges:

| TYPE OF CONSTRUCTION | SLUMP | AIR |
|----------------------|-----------|-----------|
| Sidewalk | 1" - 4 ½" | 5% - 7.5% |

| TYPE OF CONSTRUCTION | SLUMP | AIR |
|----------------------|-----------|-----------|
| Curb & Gutter | 1" - 4 ½" | 5% - 7.5% |

- B. Concrete shall be of consistency as to insure the required workability and result in compacted masses having dense, uniform surfaces. In general, the consistency of concrete mixture shall be such that:
1. The mortar will cling to the coarse aggregate.
 2. The aggregates will not segregate in the concrete.
 3. The concrete when dropped directly from the discharge chute of the mixer will flatten out at the center of the pile, but the edges of the pile will stand and not flow.
 4. The concrete and mortar will show no free water when removed from the mixer.
 5. The concrete will slide and not flow into place when transported in metal chutes at an angle of 30 degrees with the horizontal.
 6. The surface of the finished concrete will be free from a surface film of "laitance."
- C. Any concrete mix failing to meet the above outlined consistency requirements, although meeting the slump requirements, will be considered unsatisfactory; and the mix shall be changed to correct such unsatisfactory conditions.

2.05 PROPORTIONING OF MATERIALS

- A. The proper proportioning of aggregates and cement will be determined by the Contractor and the professional testing laboratory. The proportioning of aggregates will be the most suitable combination of aggregates, which will give the necessary workability and desired consistency when mixed with water and cement as specified.
- B. The ratio of cement to dry, fine aggregate shall be that necessary to provide the maximum density of the mixture when used with the minimum amount of water required to produce the specified slump in the resulting concrete. This determination of the proper ratio shall be made by a testing laboratory at the expense of the Contractor, using representative samples of the aggregates, which will be used. Laboratory recommendations shall be submitted to the Engineer.
- C. The batch proportions used shall be such that full bags of cement are used in each batch.
- D. Fly ash may be substituted for cement in concrete. The addition or deletion of fly ash from the mix will be at no cost to the Owner. If fly ash is used, the minimum amount of cement to be replaced is 15 percent and the maximum amount is 20 percent by weight.

2.06 EXPANSION JOINT MATERIAL

- A. Expansion joint material shall be pre-molded, non-extruding asphalt impregnated joint filler conforming to ASTM D1751 unless shown otherwise on the plans. Joint material shall be full depth of slab or joint and unless otherwise indicated ½-inch thick.

2.07 FIBER REINFORCEMENT

- A. Synthetic Fiber Reinforcement.

1. Material: 100 percent virgin homopolymer polypropylene multifilament fibers, containing no reprocessed olefin materials.
2. Conformance: ASTM C 1116, Type III.
3. Fire Classifications:
 - a. UL Report File No. R8534-11.
 - b. Southwest Certification Services (SWCS), Omega Point Laboratories No. 8662-1.
4. Fiber Length: Single-cut lengths.
5. Alkali Resistance: Alkali proof.
6. Absorption: Nil.
7. Specific Gravity: 0.91.
8. Melt Point: 324 degrees F (162 degrees C).

PART 3 EXECUTION

3.01 STORAGE OF MATERIALS

- A. Cement shall be stored in well ventilated, weatherproof buildings, which will protect the cement from dampness. The floor supporting the cement shall clear the ground a sufficient distance to prevent the absorption of moisture by the cement. The Engineer may permit small quantities of cement to be stored in the open for short periods of time (maximum of 48 hours) if a raised storage platform and adequate waterproof covering are provided. Lumpy or partially set cement shall not be used, and such cement shall be removed from the premises.
- B. The handling and storage of concrete aggregate shall be such as to prevent the admixture of foreign materials. If the aggregates are stored on the ground, sites for stockpiles shall be grubbed, cleared of all weeds and grass and leveled off. The bottom layer of aggregate shall not be disturbed or used without cleaning. Unless otherwise authorized by the Engineer, all fine aggregate shall be stockpiled at least 24 hours to reduce the free moisture content.

3.02 MIXING CONDITIONS

- A. The concrete shall be mixed in quantities required for immediate use, and any concrete, which is not in place within 30 minutes after being discharged from the mixer, shall not be used. Retempering of concrete will not be permitted.
- B. Where work has been started and changes in weather conditions require protective measures to be used, the Contractor shall furnish adequate shelter to protect the concrete against damage from rainfall or damage due to freezing temperatures. In case it is necessary to continue mixing operations during rainfall, the Contractor shall provide protective coverings for the material stockpiles as well as for the concrete being placed. The covering for aggregate stockpiles will be required only to the extent as may be necessary to control the moisture conditions in the aggregates so that adequate control of the consistency of the concrete mix may be maintained.
- C. No concrete shall be mixed without the approval of the Engineer when the air temperature is at or below 40° F (taken in the shade away from artificial heat) and falling. If authorized by

the Engineer, concrete may be mixed when the air temperature is at 35° F and rising. When permission is given for mixing when the temperature is below 40° F, the following requirements shall govern:

1. Water used for mixing shall be heated either by steam or by dry heat to a temperature of at least 70° F but not over 150° F.
2. Aggregates shall be heated either by steam or by dry heat to a temperature of at least 70° F but not over 150° F.
3. The heating apparatus shall be such as to heat the mass of aggregates uniformly and preclude the occurrence of hot spots, which will burn the material. Temperature of mixed concrete shall be not less than 60° F at the time of placing in forms. After the concrete has been placed, the Contractor shall provide sufficient protection such as cover, canvas, framework, heating apparatus, etc., to enclose and protect the structure and maintain the temperature of the mix at not less than 50° F until at least 60% of the designed strength has been attained.
4. The use of an accelerating agent in lieu of proper cold weather protection will not be authorized. In hot weather suitable precautions shall be taken to avoid drying of the concrete prior to finishing operations. Use of windbreaks, sunshades, fog sprays, or other devices shall be provided.
5. Concrete deposited in hot weather shall not have a placing temperature that will cause difficulty from loss of slump, flash set, or cold joints. Concrete temperatures shall be less than 90° F.

3.03 CONCRETE PLACEMENT

- A. Before placing concrete, the Contractor shall see that bottoms of excavations are undisturbed earth, properly leveled off and tamped free of foreign materials. Forms shall be oiled or wetted prior to placing concrete. Water shall be removed from the excavation before any concrete is deposited.
- B. The concrete shall be placed in the structure immediately after mixing. Concrete shall be placed in continuous horizontal layers approximately 12-inch in thickness. Not more than 1 hour shall elapse between the placing of successive layers of concrete in any portion of the structure included in a monolithic placement. Special care must be used to thoroughly surround all reinforcement with concrete and to leave no air space or other void in this work. All concrete shall be well vibrated into all areas of forms.
- C. No concrete shall be used after its initial set has taken place, and no retempered concrete will be allowed under any circumstances or conditions.
- D. Concrete handling from the point of delivery and transfer to the concrete conveying equipment and to the locations of final deposit shall be completed as rapidly as practicable by methods which will prevent segregation and loss of concrete mix materials.
- E. Mechanical equipment for conveying concrete shall be provided to ensure a continuous flow of concrete at the delivery end. Provide runways for wheeled concrete conveying equipment from the concrete delivery point to the locations of final deposit. Keep interior surfaces of

conveying equipment, including chutes, free of hardened concrete, debris, water, snow, ice, and other deleterious materials.

3.04 CONSTRUCTION JOINTS

- A. Construction joints shall be made at the locations indicated on the plans or at such other locations as designated by the Engineer. In no case shall vertical joints be made in walls at or near corners. Proper bonding shall be obtained in accordance with the above specifications and the CRSI.
- B. Keys shall be provided in all joints where required to provide for either shear or water tightness. The width of the keys shall be approximately $\frac{1}{2}$ the thickness of the section at that point, and they shall be $\frac{1}{2}$ as deep as they are wide unless otherwise specified.
- C. All concrete shall be deposited in forms at such rate that the forms will be filled at any point with a vertical rise of concrete surface of not less than 2 feet per hour. Where necessary, the forms shall be bulk headed off and construction joint made to provide a form, which will be filled at the above specified rate. The location of these construction joints shall be approved by the Engineer.
- D. If any concrete is allowed to stand at any elevation below the finished grade or top surface for more than 2 hours without fresh concrete being applied thereon, the top surface shall be considered a construction joint and shall be constructed in accordance with these specifications and provided with keys and water sealing strips.
- E. Where practicable, vertical construction joints shall make a slight angle with the vertical, not to exceed $\frac{1}{2}$ -inch per foot, in such manner that the freshly deposited concrete will overhang the hardened concrete, allowing the new concrete to settle upon the old during the process of hardening.

3.05 PROTECTING AND CURING

- A. All concrete, regardless of temperature, weather, or season, shall be protected from premature drying. Surface cracking shall be a cause for rejection, removal, and replacement. Any concrete poured during freezing or hot weather conditions shall be protected. No salts or other non-freezing materials shall be used. All fresh concrete shall be protected from open rain. All concrete shall be kept damp for at least 6 days after pouring. Membrane curing may be used. Membrane curing compound if used shall be a resin base type approved by the Engineer. Curing will not be required longer than 72 hours if high early strength concrete is used.

3.06 FINISH OF FORMED SURFACES

- A. Rough Form Finish:
 - 1. Provide as-cast rough form finish to formed concrete surfaces that are to be concealed in the finish work or by other construction unless otherwise indicated.
 - 2. Standard rough form finish shall be the concrete surface having the texture imparted by the form facing material used with tie holes and defective areas repaired and patched and all fins and other projections exceed $\frac{1}{4}$ -inch in height rubbed down or chipped off.
- B. Smooth Form Finish:

1. Provide as-cast smooth form finish for formed concrete surfaces that are to be exposed to view or that are to be covered with a coating material applied directly to the concrete, or a covering material bonded to the concrete such as waterproofing, damp-proofing, painting or other similar system.
 2. Produced smooth form finish by selecting form material to impart a smooth, hard, uniform texture and arranging them orderly and symmetrical with a minimum of seams. Repair and patch defective areas with all fins or other projections completely removed and smoothed.
- C. Related Unformed Surfaces: At tops of walls, horizontal offsets, and similar unformed surfaces occurring adjacent to formed surfaces, strike off, smooth, and finish with a texture matching the adjacent formed surfaces. Continue the final surface treatment of formed surfaces uniformly across the adjacent unformed surfaces unless otherwise shown.

3.07 MONOLITHIC SLAB FINISHES

A. Float Finish:

1. Apply float finish to monolithic slab surfaces that are to receive trowel finish and other finishes as hereinafter specified.
2. After placing concrete slabs do not work the surface further until ready for floating. Begin floating when the surface water has disappeared or when the concrete has stiffened sufficiently to permit the operation of a power-driven float or both. Consolidate the surface with power-driven floats or by hand floating if area is small or inaccessible to power units. Check and level the surface plane to a tolerance not exceeding $\frac{1}{4}$ -inch in 10 feet when tested with a 10-foot straightedge placed on the surface at not less than 2 different angles. Cut down high spots and fill all low spots. Uniformly slope surfaces to drains. Immediately after leveling, refloat the surface to a uniform, smooth granular texture.

B. Trowel Finish:

1. Apply trowel finish to monolithic slab surfaces that are to be exposed to view unless otherwise shown and slab surfaces that are to be covered with epoxy terrazzo, resilient flooring, paint, or other thin-film finish coating system.
2. After floating, begin the first trowel finish operation using a power-driven trowel if desired.
3. Consolidate the concrete surface by the final hand troweling operation, free of trowel marks, uniform in texture and appearance and with a surface plane tolerance not exceeding $\frac{1}{8}$ -inch in 10 feet when tested with a 10-foot straightedge. Grind smooth surface defects, which would telegraph through applied floor covering system.

C. Non-slip Broom Finish:

1. Apply non-slip broom finish to exterior and interior concrete platforms and bridges, steps, walks and ramps and elsewhere as shown on the drawings or in schedules.
2. Immediately after trowel finishing slightly roughen the concrete surface by brooming in the direction perpendicular to the main traffic route. Coordinate the required final finish with the Engineer before application.

D. Carpet Drag Finish:

1. Before the concrete has attained its initial set, the surface shall be given a final finish with a carpet drag drawn over the surface in a longitudinal direction. The drag shall be mounted on a bridge and shall be sized so that a strip of the carpet at approximately two feet (600 mm) wide is in contact with the pavement surface while the drag is operated.
2. The condition of the drag shall be maintained so the resultant surface is of uniform appearance with corrugations approximately 1/16 inch (2 mm) in depth. Drags shall be maintained clean and free of encrusted mortar. Drags that cannot be cleaned shall be discarded and replaced.
3. The carpet shall meet the following requirements:
 - a. Facing Material - Molded polyethylene pile face
 - b. Blade Length - 7/8", $\pm 1/8$ " (22 mm, ± 3 mm)
 - c. Total Fabric Weight - 70 oz. Per square yard min.
 - d. (2.37 kg per square meter min.)
4. The backing shall be of a strong, durable material, not subject to rot, which is adequately bonded to the facing.
5. Brooming may be used on irregular areas in lieu of the carpet drag and tine finish. The broom shall be drawn transversely across the pavement with adjacent strokes slightly overlapping.
6. Brooming shall be uniform in appearance and shall produce grooves 1/16 inch (2 mm) deep. Texturing shall be completed while the concrete surface can be broomed without being torn or unduly roughened by the operation.
7. The finished surface shall be free from rough and porous areas, irregularities, and depressions resulting from improper handling of the broom.

3.08 CONCRETE SURFACE REPAIRS

A. Patching Defective Areas:

1. Repair and patch defective areas with cement mortar immediately after the removal of the forms but only after the Engineer has inspected the defective area.
2. Cut out honeycomb, rock pockets, voids over ½-inch diameter and holes left by tie rods and bolts, down to solid concrete, but, in no case, to a depth of less than 1-inch. Make edges of cuts perpendicular to the concrete surface. Before placing the cement mortar, thoroughly clean, dampen with water, and brush coat the area to be patched with neat cement grout. Proprietary patching compounds may be used when acceptable to the Engineer.
3. For exposed-to-view surfaces blend white Portland cement and standard Portland cement so that when dry the patching mortar will match the color of the surrounding concrete. Provide test areas at inconspicuous location to verify mixture and color match before proceeding with the patching. Compact mortar in place and strike off slightly higher than the surrounding surface.

4. Fill holes extending through concrete by means of a plunger type gun or other suitable device from the least exposed face, using a flush stop held at the exposed face to ensure complete filling.

B. Repair of Formed Surfaces:

1. Repair exposed-to-view formed concrete surfaces where possible that contain defects which adversely affect the appearance of the finish. Remove and replace the concrete having defective surfaces if the defects cannot be repaired to the satisfaction of the Engineer. Surface defects as such include color and texture irregularities, cracks, spalls, air bubbles, honeycomb, rock pockets, and holes left by the rods and bolts; fins and other projections on the surface, and stains and other discolorations that cannot be removed by cleaning.
2. Repair concealed formed concrete surfaces where possible that contain defects that adversely affect the durability of the concrete. If defects cannot be repaired, remove and replace the concrete having defective surfaces. Surface defects as such include cracks in excess of 0.01 in. wide, cracks of any width and other surface deficiencies which penetrate to the reinforcement or completely through non-reinforced sections, honeycomb, rock pockets, holes left by tie rods and bolts and spalls except minor breakage at corner.

C. Repair of Unformed Surfaces:

1. Test unformed surfaces such as monolithic slabs for smoothness and to verify surface plane to the tolerances specified for each surface and finish. Correct low and high areas as herein specified.
2. Test unformed surfaces sloped to drain for trueness of slope in addition to smoothness, using a template having the required slope. Correct high and low areas as herein specified.
3. Repair finished unformed surfaces that contain defects, which adversely affect the durability of the concrete. Surface defects as such include crazing, cracks in excess of 0.01-inch wide or that penetrate to the reinforcement or completely through non-reinforced sections regardless of width, spalling, popouts, honeycomb, rock pockets, and other objectionable conditions.
4. Correct high areas in unformed surfaces by grinding after the concrete has cured sufficiently so that repairs can be made without damage to adjacent areas.
5. Correct low areas in unformed surfaces during or immediately after completion of surface finishing operations by cutting out the low areas and replacing with fresh concrete. Finish repaired areas to blend into adjacent concrete. Proprietary patching compounds may be used when acceptable to the Engineer.
6. Repair defective areas except random cracks and single holes not exceeding 1-inch diameter by cutting out and replacing with fresh concrete. Remove defective areas to sound concrete with clean, square cuts and expose reinforcing steel with at least $\frac{3}{4}$ -inch clearance all around. Dampen all concrete surface in contact with patching concrete and brush with a neat cement grout coating or use concrete bonding agent. Place concrete before grout takes its initial set. Mix patching concrete of the same materials to provide

concrete of the same type of class as the original adjacent concrete. Place, compact, and finish as required to blend with adjacent finished concrete. Cure in the same manner as adjacent concrete.

7. Repair isolated random cracks and single holes not over 1-inch in diameter by the dry-pack method. Groove the top of cracks and cut out holes to sound concrete and clean of dust, dirt, and loose particles. Dampen all cleaned concrete surfaces and brush with neat cement grout coating. Place dry-pack before the cement grout takes its initial set. Mix dry-pack consisting of 1-part Portland cement to 2-½ parts fine aggregate passing a No. 16 mesh sieve, using only enough water as required for handling and placing. Compact dry-pack mixture in place and finish to match adjacent concrete. Keep patch areas continuously moist for not less than 72 hours.
8. Repair methods not specified above may be used subject to the acceptance of the Engineer.

3.09 SURFACE TEST AND TOLERANCES

- A. Ten Foot Straightedge: The concrete surface shall be tested with a 10-foot straightedge. The permissible longitudinal and transverse surface deviation shall be 1/8-inch in 10 feet.
- B. Areas where the maximum deviation exceeds the permissible deviation by not more than 3/8 inch will be subject to the following at the discretion of the Engineer.
 1. Grind down to an elevation where the area or spot will be within the permissible deviation.
 2. Accept affected area without corrective action with price reduction at a rate noted below.
- C. Areas where maximum deviation exceeds the permissible by more than 3/8 inch will be subject to the following at the discretion of the Engineer.
 1. Grind down to an elevation where the area or spot will be within the permissible deviation.
 2. Accept affected area without corrective action with price reduction at a rate noted below.
 3. Satisfactorily remove and replace deficient area.
- D. Grinding shall be accomplished with specially prepared circular diamond blades mounted on a horizontal shaft. Areas that have been ground shall not be left smooth or polished, but shall have a uniform texture equal in roughness to the surrounding unground concrete.
- E. If the Engineer accepts the deficient area without correction, a price reduction at the following rates will be deducted from the contract.
 1. Ten dollars per square yard for those areas where the maximum deviation exceeds the permissible deviation by not more than 1/8 inch.
 2. Twenty dollars per square yard for those areas where the maximum deviation exceeds the permissible deviation by more than 1/8 inch but not more than 3/8 inch.
 3. Thirty dollars per square yard for those areas where the maximum deviation exceeds the permissible deviation by more than 3/8 inch.

- F. Measurements for determining the limits of deficient areas will be made in the following manner:
1. The length of the deviation will be that length out of specification tolerance at the location of the surface test as checked with a 10-foot straightedge and a 1/8-inch shim.
 2. Where the transverse surface test is out of specification, the maximum length and maximum width at a particular site shall be used in computation of the area.

3.10 DEFECTIVE WORK

- A. Concrete work, which does not conform to the specified requirements, including strength, tolerances, and finishes, shall be corrected at the Contractor's expense without extension of time therefore. The Contractor shall also be responsible for the cost of corrections to any other work affected by or resulting from corrections to the concrete work.

PART 4 MEASUREMENT AND PAYMENT

4.01 METHOD OF MEASUREMENT

- A. Separate measurement and payment for cast-in-place concrete shall not be made directly but shall be included in prices for particular items as indicated on the Bid Form. No extra compensation will be allowed for the extra work or materials involved in repairing or replacing defective concrete.

4.02 BASIS OF PAYMENT

- A. Payment for concrete not meeting performance requirements for air entrainment will be subject to the following schedule:

Structural Concrete may be rejected by Engineer at his/her discretion.

≤ 1% of range 75% payment for bid item.

≥ 1% of range Rejected by the Engineer at his/her discretion.

Concrete rejected by the Engineer shall be removed and replaced at no additional cost to Owner.

- B. Payment for concrete not meeting performance requirements for slump will be subject to the following schedule:

Structural Concrete may be rejected by Engineer at his/her discretion.

≤ 0.5" of range 90% payment for bid item.

> 0.5" ≤ 1" of range 75% payment for bid item.

> 1" of range Rejected by the Engineer at his/her discretion.

Concrete rejected by the Engineer shall be removed and replaced at no additional cost to Owner.

- C. Payment for concrete not meeting performance requirements for strength will be subject to the following schedule:

Structural Concrete may be rejected by Engineer at his/her discretion.

- $\leq 5\%$ of Design Strength 90% payment for bid item.
- $> 5 \leq 10\%$ of Design Strength 75% payment for bid item.
- $> 10\%$ of design strength Rejected by the Engineer at his/her discretion.

Concrete rejected by the Engineer shall be removed and replaced at no additional cost to Owner.

* * * END OF SECTION * * *

DIVISION 031000 - EARTHWORK

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SECTION 31 23 00 - EXCAVATION AND FILL

PART 1 GENERAL

1.01 RELATED DOCUMENTS

- A. The general provisions of the Contract, including General and Supplementary Conditions and General Requirements, if attached, apply to the work specified in this section.
- B. Related Work Specified Elsewhere:

1.02 DESCRIPTION OF WORK

- A. The Work covered by this section of the specifications shall consist of furnishing all plant, labor, and equipment, appurtenances and material, and of performing all operations in connection with the excavation, embankment, compaction, topsoiling, and grading required for the project, in accordance with these specifications and in conformity with the dimensions and typical sections shown on the plans and with the lines and grades established by the Engineer.
- B. The Owner's representative will provide the initial and final grade stakes as discussed in Section 01051. The Contractor shall be responsible for providing all interim slope and grade staking and other staking as may be necessary to complete construction.

1.03 CLASSIFICATION OF EXCAVATION

- A. "Unclassified Excavation" shall include all excavation performed under this section regardless of the material encountered.

1.04 METHOD OF MEASUREMENT

- A. The quantity of "Unclassified Excavation" shall be measured as the difference in volume between the original position of the soil and the final position of the excavated soil as shown on the cross sections or as modified in the field by a change in final position as directed by the Engineer. The volume of measured quantity shall be determined by cross-sectioning the area of excavation and calculating the volume by the average end area method. A forty percent (40%) shrinkage factor was used to calculate the volume required for embankments.

1.05 QUALITY ASSURANCE

- A. During the construction of the subgrade, a representative of the Owner shall be on site to allow for the examination of the exposed subgrade.
- B. The Contractor will conduct additional soil tests and quality control testing as desired for his own information and use. The Contractor shall have submitted directly to the Engineer with copies to the Owner, three (3) copies of all field and laboratory tests and reports of inspections performed by him or his agents.
- C. All grades shall be finished to within 0.1 feet of the final elevations as staked by the Owner's authorized representative.

PART 2 PRODUCTS - NOT USED

PART 3 EXECUTION

3.01 GENERAL

- A. The excavation shall be carried to the elevations or depths required to obtain the specified depths as shown on the plans. Should the Contractor, through negligence or other fault, excavate below the designated lines or elevations, he shall replace the excavation with suitable materials and properly compact and control the moisture content in a manner as specified herein under "Formation of Embankments". All replacement work shall be at the Contractor's expense.
- B. The Contractor shall inform and satisfy himself as to the character, quantity, and distribution of all material to be excavated. No payment will be made for any excavated material which is used for purposes other than those designated. All spoil areas shall be leveled to a uniform line and section and shall present a neat appearance before project acceptance.
- C. Those areas outside of the embankment areas in which the top layer of soil material becomes compacted due to hauling or to any other activity of the Contractor shall be scarified and disced to a depth of 4 inches as directed to loosen and pulverize the soil.
- D. If it is necessary to interrupt existing surface drainage, sewers, or under drainage, conduits, utilities, or similar underground structures, or parts thereof, the Contractor shall be responsible for and shall take all necessary precautions to protect and preserve or provide temporary service. When such facilities are encountered, the Contractor shall notify the Engineer. The Contractor, at his own expense, shall satisfactorily repair all damage to such facilities or structures which may result from any of his operations during the period of the contract.
- E. The Contractor shall supervise the excavation, moving, placing, and deposition of all material and shall, with the assistance of the Engineer and/or his representative, determine the suitability of materials to be placed in embankments. All material determined to be unsuitable and all excess shall be disposed of in the appropriate areas as shown on plans, or in the outer portions of the embankments.
- F. Topsoil shall not be used directly below any areas to receive surfacing.

3.02 STRIPPING

- A. All vegetation such as brush, heavy sods, heavy growth of grass, decayed vegetation, rubbish, roots, and any other unsuitable material within the area to which excavation is to occur, or upon which embankment is to be placed, shall be cleared, stripped, grubbed, and disposed of, before the excavation of suitable materials or a formation of embankment is started.
- B. In no case shall such objectionable material be allowed in or under the subgrades for any areas to receive surfacing.
- C. All depressions or holes below the ground surface, whether caused by grubbing or otherwise, shall be backfilled with suitable material and compacted to the ground surface elevation or up to twelve (12) inches below the proposed final subgrade elevation before the construction of the embankment will be permitted to start.

3.03 EXCAVATION OF SUITABLE MATERIAL

- A. Excavation shall be performed to the lines, grades, and elevations as indicated in the plans or

as directed by the Engineer and shall be made so that the requirements for formation of embankments and floor can be followed. No excavation or stripping shall be started until the Engineer has taken cross sectional elevations and measurements of the existing ground surface and has provided control stakes for the proposed work. During the process of excavation, the grade shall be maintained so that it will be properly drained at all times. Temporary drains and drainage ditches shall be installed to intercept or divert all surface water which may affect the work.

- B. The suitable excavation material shall be handled in such a manner as to allow the material to be properly placed and compacted in the fill areas.
- C. The Contractor shall make the distribution of the excavated material as indicated in the plans. Widening or narrowing of the section and raising or lowering of the grade to avoid haul will not be permitted. The right is reserved by the Engineer to make minor adjustments or revisions in lines or grades if found necessary as the work progresses to obtain satisfactory construction.
- D. Stones or rock fragments larger than 4 inches in their greatest dimension will not be permitted in the top eight (8) inches of the subgrade or embankment.
- E. All cut-and-fill slopes shall be uniformly dressed to the slope, cross section, and alignment as shown in the plans.
- F. No payment or measurement for payment will be made for suitable materials removed, manipulated, and replaced in order to obtain required density. Any removal, manipulation, aeration, replacement, and recompaction of suitable materials necessary to obtain the required density shall be considered as incidental to the excavation and embankment operations and shall be performed by the Contractor at no additional compensation.

3.04 STOCKPILING

- A. If at the time of excavation it is not possible to place any material in its proper section of the permanent construction, it shall be stockpiled in approved areas for later use at no extra cost to the Owner.
- B. The stockpiled material shall be handled and placed as specified in the section of these specifications covering excavation, embankment, and topsoil.
- C. Stockpiles of topsoil or any other material shall be located within the project limits as near the final placement site as practicable. When stockpiling within the project limits is not possible, it shall be the Contractor's obligation to arrange for and maintain stockpile sites at his own expense. Stockpiles of topsoil shall not be placed within 50 feet of embankment areas and shall not be placed on areas which subsequently will require any excavation or embankment.
- D. Prior to Completion of the Work, the Contractor shall obtain and furnish to the Owner a lien waiver or a letter of satisfaction written by the owner of the stockpile area property and addressed to the Contractor.

3.05 EXCESS EXCAVATION

- A. When the volume of excavation exceeds that required to construct the embankments to the grades indicated, the excess shall be used to grade the areas of ultimate development or

wasted in areas secured by the Contractor, subject to the approval of the Engineer and the Owner. In general, suitable excess excavation will be used in the widening of embankments, flattening of slopes, etc.

- B. If it is necessary to dispose of any material, it shall be disposed of in such a manner as to present a neat appearance and to not obstruct proper drainage or cause damage to abutting property.

3.06 PREPARATION OF EMBANKMENT AREA

- A. Prior to the placement of any fill material, the entire layer of topsoil upon which the embankment is to be placed, except where limited by solid rock, shall be removed for its entire depth to the bottom of the natural existing topsoil.
- B. A minimum of six (6) inches of material below the bottom of the natural existing topsoil or to the depth as previously described shall be scarified for the entire width of the subgrade embankment. The area shall be scarified in furrows uniformly spaced so that at least 50% of the surface will be broken to the required depth. All roots, debris, large stones, or objectionable material that would cause interference with the compaction of the foundation for fill shall be removed from the area and disposed of as specified.
- C. After removal and scarifying of the topsoil and other material under the embankment areas, the area should be examined by the Contractor for the existence of unsuitable materials. The Contractor shall notify the Engineer if he feels that unsuitable materials exist. The volume of unsuitable material shall be determined by cross sectioning the area before and after removal. The area of unsuitable material shall be removed to a depth as shown in the plans or as directed by the Owner's representative. The area shall be filled and compacted in accordance with "Formation of Embankments".
- D. A thin layer (approximately 3 inches) of the fill material shall then be uniformly spread over the scarified foundation and the whole area compacted to 95% of maximum density as determined by standard proctor ASTM Test Designation D698 at a moisture content between 2% under and 3% over optimum.
- E. Except for the undercut of unsuitable materials which lie at a depth greater than six (6) inches below finished grade elevation in areas previously described, no direct payment shall be made for work performed under this section.

3.07 FORMATION OF EMBANKMENTS

- A. Embankments shall be formed of satisfactory materials placed in successive horizontal layers of not more than 8 inches loose depth for the full width of the cross section.
- B. The grading operations shall be conducted and the various soil strata shall be placed to produce a soil structure as shown in the typical cross section or as directed. All materials entering the embankment shall be reasonably free of organic matter such as leaves, grass, roots, and other objectionable material. Soil, granular material, shale, and any other material permitted for use in embankment shall be spread in successive layers as specified.
- C. The subgrade embankments shall be constructed from the in-place non-organic soils.
- D. Operations on earthwork shall be suspended at any time when satisfactory results cannot be obtained because of rain, freezing weather, or other unsatisfactory conditions of the field.

The Contractor shall drag, blade, or slope the embankment to provide proper surface drainage. Frozen material shall not be placed in the embankment nor shall embankments be placed over frozen material.

- E. The material in the layers shall be of the proper moisture content before rolling to obtain the prescribed compaction. Wetting or drying of the material and manipulation when necessary to secure a uniform moisture content throughout the layer shall be required. Should the material be too wet to permit proper compaction or rolling, all work on all portions of the embankment thus affected shall be delayed until the material has dried to the required moisture content. Sprinkling shall be done in accordance with the requirements of Section 31 23 11. Samples of embankment materials for testing, both before and after placement and compaction, will be taken. From these tests, corrections, adjustments, and modifications of methods, materials, and moisture content will be made to construct the embankment.
- F. Where a large portion of the materials excavated consist of rock, the rock may be used in the construction of the embankment as hereinafter specified:
 - 1. The maximum dimension of any rock used shall not exceed 12" maximum.
 - 2. Rocks shall be carefully distributed throughout the embankment and imbedded with earth or other fine material so that the interstices between the large particles are filled and a dense, compact, uniform embankment is secured.
 - 3. No rock larger than 4" in any direction will be allowed in the upper eight (8) inches of any embankment as this portion of the embankment shall be composed solely of earth or other suitable material.
- G. Compaction and watering shall be continued on all areas until it has been compacted to 95% of maximum density in accordance with ASTM D698 (standard proctor) at a moisture content between 2% under and 3% over optimum.
- H. The Contractor shall be responsible for the stability of all embankments made under the contract and shall replace any portion which in the opinion of the Engineer has become displaced due to carelessness or negligence on the part of the Contractor. The Contractor shall plan his work so that the necessary compaction tests on each lift can be completed prior to placing additional lifts of material.

3.08 DIVERSION DITCHES AND DRAINAGE PROVISIONS

- A. If it is necessary, in the prosecution of the work, to interrupt the natural drainage of the surface, or the flow of artificial drain, the Contractor shall provide temporary drainage facilities that will prevent damage to public or private interests and shall restore the original drains as soon as the work will permit. The Contractor shall, at his own expense, take all measures necessary to properly drain the work site. The Contractor shall be held liable for all damages which may result from neglect to provide for either natural or artificial drainage which his work may have interrupted. All temporary diversion ditches shall be of adequate size to handle any anticipated flow.
- B. Diversion ditches which are to be permanent shall conform to the shape required in the plans.

3.09 TOPSOIL

- A. The topsoil shall be stripped and stockpiled from the regular grading areas and placed on all

disturbed areas as shown on plans.

- B. All topsoil removed from the excavation areas shall be salvaged and replaced over the disturbed areas. Excess topsoil will remain the property of the Owner and will be stockpiled near the site for the Owner at no additional cost.
- C. The stockpiling of topsoil shall be in accordance with the requirements of paragraph 3.04.
- D. No direct payment will be made for stripping, hauling, stockpiling, or final placement of topsoil. This work is to be considered subsidiary to excavation items for which unit prices are requested.

3.10 TOLERANCES

- A. The subgrade and all other graded surfaces shall be of such smoothness that it will not vary more than 0.10 of a foot from true grade as established by grade hubs. Any deviation in excess of this amount shall be corrected by loosening, adding, or removing materials, reshaping, and compacting.

3.11 WATERING FOR EMBANKMENTS

- A. Refer to Section 31 23 11 - Watering for Embankments.

3.12 EQUIPMENT

- A. The Contractor may use any type of earthmoving, compaction, and watering equipment he may desire or has at his disposal, provided the equipment is in a satisfactory condition and is of such capacity that the construction schedule can be maintained as planned by the Contractor and as approved by the Owner in accordance with the completion schedule specified for the construction. The Contractor shall furnish, operate, and maintain such equipment as is necessary to control uniform density, layers, section, and smoothness of grade.

3.13 HAUL

- A. No payment will be made separately or directly for haul on any part of the Work. All hauling will be considered a necessary and incidental part of the Work, and its cost shall be considered by the Contractor and included in the contract price for the work involved.

PART 4 MEASUREMENT AND PAYMENT

4.01 METHOD OF MEASUREMENT

- A. The measurement for "Unclassified Excavation" shall be Plans Quantity on a per cubic yard basis at the contract unit price as called for in the Bid Form. All hauling, subgrade preparation, watering and related work including all topsoil removal, stockpiling and replacement, testing as required, etc., are to be included in the price bid for the unclassified excavation.
- B. There shall be no separate measurement for compacted subgrade and all costs incidental to placing in layers, compacting, discing, mixing, sloping and other necessary operations of the subgrade will be included in the contract price for unclassified excavation. In case stockpiling of excavated material and later rehandling of such material is required in order to produce the specified subgrade structure, the material so rehandled will not be paid for, as the

rehandling shall be considered to be incidental to subgrade construction.

4.02 BASIS OF PAYMENT

- A. The payment for "Unclassified Excavation" shall be on a per cubic yard basis at the contract unit price as called for in the Bid Form.
- B. There shall be no separate payment for compacted subgrade and all costs incidental to placing in layers, compacting, discing, mixing, sloping and other necessary operations of the subgrade will be included in the contract price for unclassified excavation.

* * * END OF SECTION * * *

SECTION 31 23 33 - TRENCHING, BACKFILLING, AND COMPACTING

PART 1 GENERAL

1.01 RELATED DOCUMENTS

- A. The general provisions of the Contract, including General and Supplementary Conditions shall apply to the work covered in this Section.
- B. Related Requirements specified elsewhere:
 - 1. Sanitary Sewer Piping and Fittings – Section 33 31 00

1.02 SCOPE

- A. This section covers the excavation of all necessary trenching for underground utilities and backfilling same after the pipe and related material has been properly laid, inspected and tested all in accordance with applicable federal, state and local laws and regulations.

1.03 QUALITY ASSURANCE

- A. The Contractor shall obtain qualified testing and inspection services and such other independent services as may be required to assure compliance with the requirements as specified hereinafter. Certified tests of all granular materials will be provided to the Engineer prior to delivery or installation on the job site.
- B. Moisture-density (Proctor) tests on the engineered fill materials and all in-place engineered fill field density tests shall be made as herein specified and in accordance with the General Conditions. Sufficient tests in number and location will be performed so as to assure that the engineered fill is in general compliance with the compaction requirements as specified herein.
- C. The Contractor may conduct additional soil testing and quality control testing as desired for his own information and use.
- D. When requested by the Engineer or Resident Project Representative, the Contractor shall excavate and expose the pipe previously laid at any point.

PART 2 PRODUCTS - NOT USED

PART 3 EXECUTION

3.01 GENERAL EXCAVATION

- A. All material encountered shall be excavated to the lines and grades as shown on the plans, set by the Engineer or as specified herein.
- B. Unless otherwise shown on the plans, trenches for forcemain shall be of a depth that will provide the following minimum covers over the top of the pipe as measured from the original ground surface.
 - 1. Minimum cover for all watermain and forcemain shall be seventy-two (72) inches.

- C. Where pipe elevation is determined by minimum depth only, the excavation shall be sufficient at all points to grade the pipes on the tangents and vertical curves as dictated by the minimum bending radius of the pipe and fittings as recommended by the manufacturers.
- D. The trenches shall be sufficiently straight between designated angle points to permit the pipe to be laid true to line in the approximate center of the trench.
- E. Intersections with and crossings of other underground utilities shall be as shown on the plans and/or in accordance with applicable state and local laws and regulations. Refer to Section 33 01 00 for additional requirements.
- F. All excavated material suitable for backfilling shall be placed in an area away from the trench edges so as to avoid overloading, sliding, and cave-ins.
- G. The areas immediately adjacent to the trench shall be graded as required to prevent surface water from entering the trenches.

3.02 EXCAVATION FOR APPURTENANCES

- A. A minimum of twelve (12) inches shall be left between the trench wall and the outside surface of the appurtenance.

3.03 SHEETING, SHORING AND BRACING

- A. Refer to Section 31 23 14 of these specifications

3.04 ROAD, STREET, AND DRIVEWAY CROSSINGS

- A. At such road and all other crossings as may be designated by the Engineer, the trenches are to be mechanically tamped and filled in such a manner as to prevent any serious interruption of traffic upon the roadway or crossing.
- B. Not more than one street crossing may be obstructed by the same trench at any one time except by permission of the Engineer and Owner.

3.05 TRENCH BOTTOM PREPARATION

- A. The sides of all trenches shall be vertical from the bottom of the trench to a point one (1) foot above the top of the pipe.
- B. The width of the trench shall be a minimum of twelve (12) inches on each side of the pipe bell.
- C. The bottom of all trenches for underground piping shall be carefully and accurately formed to the lines and grades as shown on the plans, set by the Engineer or as specified herein.
- D. Rock, boulders, and large stones, or other manmade material shall be removed to provide a clearance of at least eight (8) inches below the outside barrel of the pipes, valves, fittings appurtenances. Adequate clearance for properly jointing pipe laid in rock trenches shall be provided at bell holes. The space between the rock at the bottom of the trench and the bottom of the pipe barrel shall be filled with compacted bedding material.
- E. If the trench bottom is inadvertently excavated deeper than necessary, it shall be backfilled to the proper grade with compacted bedding material.

3.06 UNSTABLE TRENCH BOTTOM

- A. Whenever wet, soft or unstable soils incapable of properly supporting the pipe, or other appurtenances are encountered in the trench, the Contractor shall be required to remove the unsuitable materials and backfill to the proper grade with concrete, granular material or other suitable approved material.
- B. Backfill material shall be compacted to provide a firm and level support for the piping system. Firm support is defined as no visual deformation in the surface when workers walk on the compacted material.

3.07 BACKFILLING AND COMPACTING

- A. Any trenches improperly backfilled or showing excessive settlement shall be reopened to a depth required for proper compaction.
- B. Backfill material shall be free of boulders, frozen clods, large roots, excessive sod or other vegetation, construction debris.
- C. No backfilling shall take place in freezing weather without written permission from the Engineer.
- D. Borrowed granular bedding material shall conform to the gradation indicated below.

| <u>Sieve Opening</u> | <u>Bedding Material (Percent Passing)</u> |
|----------------------|-----------------------------------------------|
| 1" | 95-100 |
| No. 200 | < 15 |

- E. Bedding material excavated from the job site shall be finely divided material free from organic material and clods, lumps of frozen material or stones larger than 1 1/2" in maximum diameter. Bedding material shall be of proper moisture content to form a firm bed for the pipe.
- F. The bedding material backfilling around the pipe shall be deposited in layers not to exceed eight inches (8") and carefully compacted to a degree of compaction at least equal to 90% maximum dry density as determined by Standard Proctor Test, ASTM Test Designation D698 throughout the entire depth of each layer. Where the pipe has a protective coating, care shall be taken not to damage the coating.
- G. The embedment material shall be finely divided material free from debris, organic material, and clods, lumps or stones larger than 1-1/2 inches maximum diameter. The material shall be borrowed material or job site excavated material. Embedment material shall be placed in uniform layers not more than twelve (12) inches thick and compacted to 90% maximum density as determined by ASTM D698 until the pipe has a cover of not less than one (1) foot.
- H. The remainder of the backfill shall consist of selected material from excavation or borrow, and shall be free from cinders, ashes, refuse, organic and frozen material, boulders or other materials that are unsuitable. Stones larger than 3 inches in diameter shall not be placed within two feet of the top of the pipe. This material shall be placed from 12 inches above the top of the pipe to 6 inches below the ground surface, unless otherwise specified, or to the subgrade elevation for streets or paved surfaces.
- I. After completing the bedding and embedment of the pipe as specified above, the remainder of the backfill material beneath unpaved areas shall be placed in uniform layers not

exceeding one (1) foot and tamped. It shall be the Contractor's responsibility to compact each layer throughout its entire depth to a degree of compaction at least equal to that of the surrounding earth. The Contractor shall moisten or aerate the backfill material to obtain the required compaction. The Contractor shall provide a final cover of topsoil as specified herein. Any additional settlement of the trench shall be brought back to grade with additional topsoil. The trench shall be left in a condition so as to present a neat appearance.

- J. Open trenches under road surfacing, sidewalks, curb and gutter, and other adjacent improvements to a point eight (8) feet from the edge of the road surface and as otherwise noted on the plans shall be backfilled with uniform layers not exceeding one (1) foot. Each layer, except the upper 6 inches of subgrade underlying the pavement, shall be spread uniformly and tamped with a hand tamper or other approved device until thoroughly compacted to at least 90% of the maximum density obtainable at optimum moisture content. The upper 6-inch layer, forming the subgrade for surfacing shall be compacted to at least 95% of the maximum density obtainable at optimum moisture content. Density of backfill shall be determined based on Standard Proctor Test, ASTM Test Designation D698.
- K. Any settlement of the trench within a period of 1 year from the date of substantial completion shall be brought back to the finished grade with the appropriate cover material. The Contractor shall be responsible for all costs related to this work.
- L. No more than 300 feet of trench shall be left open at any time. Open trenches shall be properly marked and/or attended. Trenches shall be closed at the end of each day.

3.08 TESTING REQUIREMENTS

- A. Frequency of Testing: Minimum of one (1) test every 250 feet to 350 feet of trench per lift or as directed by Engineer. Frequency of testing may be altered by Engineer after adequate testing is completed to determine level of effort by Contractor is sufficient. When frequency is altered by the Engineer, random testing will be performed to verify compaction efforts. The Contractor may be required to excavate to depths required by Engineer for testing and backfill test holes to density specified.
- B. Retesting: In the event of failure to meet compaction criteria, the Contractor shall re-excavate and re-backfill at direction of Engineer. All retesting to be paid for by Contractor and to be performed by soils testing firm secured by the Contractor and approved by the Engineer.

3.09 EXCESS EXCAVATION

- A. The Contractor shall be responsible for securing and maintaining an adequate area where excess excavation can be stockpiled for future use or wasted.
- B. The Engineer's approval on the site selection shall be required.
- C. The Contractor shall be responsible for the final cleanup of the site chosen. The site shall be cleaned to the satisfaction of the property owner, and a lien waiver or a letter of satisfaction written by the property owner and addressed to the Contractor shall be obtained by the Contractor and furnished to the Owner.

3.10 TOPSOIL

- A. All lawns, boulevards, and cropland areas shall be left smooth with a minimum of 6" of

compacted black dirt (4" minimum on pasture and grasslands) throughout the entire area disturbed by the trench.

- B. Pasture or native grasslands or other areas designated on the plans shall be reseeded to the full width and length of areas disturbed during construction.
- C. Prior to topsoiling and finish grading, all rough grades shall be corrected, adjusted, and brought to the required elevations.
- D. The subgrade surface shall be prepared for topsoiling by cross disking to a depth of two (2) inches or more to permit bonding of the topsoil to the subgrade.
- E. All stones and other debris greater than two (2) inches in any dimension shall be removed from the surface of the subgrade prior to the placement of the topsoil.
- F. Topsoil material shall not be placed when the topsoil or subgrade is frozen or wet enough to cause clodding.
- G. Topsoiling operations adjacent to lagoons and along piping routes shall be considered complete when the finished surface is:
 - 1. Free of sticks, stones and other material one (1) inch or more in any dimension.
 - 2. Smooth and true to required grades with a maximum allowable deviation of 0.1 foot.
- H. All lawns shall be raked with a landscape rake, garden rake, or other approved equipment to remove all clods, stones, sticks, or other material greater than one (1) inch in any dimension. Trenches shall be slightly crowned and all disturbed areas smoothed to a maximum deviation of 0.1 foot and ready for seeding operations by Contractor prior to acceptance by the Engineer.

PART 4 MEASUREMENT AND PAYMENT

4.01 METHOD OF MEASUREMENT

- A. Trenching, backfilling, and compacting are considered incidental work with no separate measurement and payment to be made.
- B. Should rock (solid material not removable without blasting or power hammer) be encountered, one of the following procedures will be followed upon agreement between the Owner, Contractor, and Engineer:
 - 1. The line will be relocated; or
 - 2. Excavation will continue at a negotiated price, or the unit price, as shown on the Bid Form. Measurement will be on the basis of actual length, depth, and width. The maximum width allowed for payment shall be nominal pipe diameter plus 16 inches at the trench bottom and six (6) feet at ground level.
 - 3. Shale, regardless of the nature of deposit, will not be considered as rock excavation unless so designated on the plans. The responsibility and cost of satisfactorily demonstrating to the Engineer that the material being considered for rock excavation cannot be removed by means other than drilling and/or blasting shall be the obligation of the Contractor.

- C. When over excavation is required to remove and replace unsuitable material, such excavation shall be measured at the Contract Units as shown in the Bid Form, and in accordance with the provisions for Unsuitable Materials outlined in Part 3 of this specifications, provided such excavation is not due to the fault or neglect of the Contractor.
- D. Dewatering will not be directly measured directly and will be considered as subsidiary work pertaining to the Contract unless dewatering is specifically called for in the bid schedule. When called for in the bid schedule, the quantity given in the bid schedule is an estimate only, given to aid the Contractor in the preparation of his bid. Dewatering shall be measured in lineal feet of trench actually requiring dewatering as preapproved by the Engineer.

4.02 BASIS OF PAYMENT

- A. Trenching, backfilling, and compacting are considered incidental work with no separate payment to be made.
- B. Should rock (solid material not removable without blasting or power hammer) be encountered, one of the following procedures will be followed upon agreement between the Owner, Contractor, and Engineer:
 - 1. The line will be relocated; or
 - 2. Excavation will continue at a negotiated price, or the unit price, as shown on the Bid Form.
- C. When over excavation is required to remove and replace unsuitable material, such excavation shall be paid at the contract unit price as shown in the Bid Form.
- D. Dewatering will not be directly measured for direct payment unless dewatering is specifically called for in the bid schedule. Payment for dewatering that is preapproved by the Engineer shall be paid for at the unit contract price shown on the Bid Form.

* * * END OF SECTION * * *

SECTION 31 34 19 – GEOTEXTILE FABRICS

PART 1 GENERAL

1.01 SUMMARY

- A. This section includes the requirements for furnishing and installing geotextile fabric as shown on the plans.

1.02 RELATED DOCUMENTS

- A. The general provisions of the Contract, including General and Supplementary Conditions, apply to the Work specified in this section.
- B. Related Work specified elsewhere:
 - 1. Excavation and Fill - Section 31 23 00
 - 2. Aggregate Base Course - Section 32 11 23

1.03 QUALITY ASSURANCE

- A. When geotextile meeting or exceeding the required property values have been submitted and approved, the properties used for quality control shall be properties established by geotextile manufacturer for this type of product and not the values specified herein.

1.04 DELIVERY, HANDLING, AND STORAGE

- A. Geotextile shall be provided in rolls wrapped in relatively impermeable and opaque protective covers with the following clearly marked on each roll.
 - 1. Manufacturer's name.
 - 2. Product identification.
 - 3. Lot and roll number.
 - 4. Roll dimensions.
- B. Geotextile shall be stored in a dry location above the ground surface. Geotextile shall not be stored directly on the ground.
- C. Geotextile shall be handled in accordance with the manufacturer's recommendations to prevent damage to material during unloading, handling, and installation operations.

PART 2 PRODUCTS

2.01 GENERAL

- A. The Contractor shall furnish materials whose minimum roll values meet or exceed project requirements.
- B. The geotextile fabric shall have polymeric yarns or fibers oriented into a stable network to retain relative structure during handling, placement, and service.

2.02 GEOTEXTILE FABRIC PROPERTIES

- A. The Contractor shall provide a Certificate of Compliance verifying that the material meets

the following specifications or documentation that the material is listed on the approved products list. If the type of fabric to be used is not specified, Drainage Fabric - Type B shall be used. All values listed are Minimum Average Roll Values (MARV) unless otherwise specified.

- B. The geotextile shall conform to the minimum physical property requirements for a Type B fabric listed in Table 1.
- C. The geotextile shall be furnished and stored at the site in a protective wrapping which shall protect the fabric from ultraviolet radiation and from abrasion due to shipping and handling. If the geotextile is to be exposed directly to sunlight in excess of two weeks, the fabric shall be ultraviolet stabilized.

TABLE 1 - GEOTEXTILE AND IMPERMEABLE PLASTIC MEMBRANE

| Fabric and Membrane Property | Test Method | Geotextile Separator | |
|---------------------------------------------------------------------------|------------------------------|----------------------|----------------|
| | | Silt Fence | Woven |
| PERFORMANCE CRITERIA DURING SERVICE LIFE | | | |
| Equivalent or Apparent Opening Size, US Standard Sieve | ASTM D4751 | 20-70 | * 40-100 |
| Thickness, Mils | ASTM D1777 | --- | --- |
| Permittivity, Sec-1 | ASTM D4491 | 0.4 Min | 0.05 Min |
| STRENGTH REQUIREMENTS | | | |
| Wide Width Strip Tensile Strength, lbs/inch Machine & X-Machine Direction | ASTM D4595 ⁽²⁾ | --- | 130 |
| Grab Strength, lbs Machine & X-Machine Direction | ASTM D4632 | 90 Min | --- |
| Elongation at Failure, % Machine & X-Machine Direction | ASTM D4595 ⁽²⁾ | --- | 20 Min |
| Burst Strength, psi | ASTM D3786 Diaphragm Method | --- | 290 |
| Trapezoid Tear Strength, lbs | ASTM D4533 Any Direction | --- | 50 |
| Puncture Strength, lbs | ASTM D4833 ⁽³⁾ | --- | 75 |
| ENVIRONMENTAL REQUIREMENTS | | | |
| Mildew/Rot Resistance, % | AATCC 30 1988 ⁽⁵⁾ | --- | 100 |
| Insect/Rodent Resistance, % | AATCC 24 1985 ⁽⁵⁾ | --- | 100 |
| Ultraviolet Resistance, % Strength Retention | ASTM D4355 | 70 | ⁽⁴⁾ |
| TYPICAL USES | | | |
| | | c | d |

*Note: The actual AOS of the silt fence should only have one value for AOS on the certification. To be approved the value shall be within the allowable range specified above.

⁽²⁾ 8" wide x 4" length (200 x 100 mm) specimen tested at a strain rate of 10% (0.4 inch) (10 mm) per minute.

⁽³⁾ Using 5/16" (8 mm) diameter flat tipped steel cylinder centered with ring clamp.

⁽⁴⁾ Non-stabilized or low susceptible geotextiles should not be exposed to ultraviolet radiation for more than 5 days.

⁽⁵⁾ American Association of Textile Chemists and Colorists test procedures.

⁽⁶⁾ Permeability Coefficient (ASTM D 4491).

(c) Medians, ditches, slopes, etc. Used to filter sediment-laden water.

(d) Subgrades, embankments, etc. Used to separate granular material from subgrade.

2.03 STAPLES

- A. Staples for the filter fabric, if used, shall be made of 11-gauge or heavier steel wire. The staples shall be "U" shaped with a 1-inch crown, and legs with a minimum of 8-inches in length.
- B. Installation shall be in accordance with the manufacturer's recommendations.

PART 3 EXECUTION

3.01 GEOTEXTILE INSTALLATION

- A. The Contractor shall install all geotextile fabrics according to manufacturer's recommendations and as specified herein.
- B. On side slopes, the geotextile shall be rolled down slope in such a manner as to continually keep geotextile in tension.
- C. In presence of wind, Contractor shall weight geotextile during placement with sufficient sand bags, or equivalent, to keep geotextile in place during placement of granular materials.
- D. During placement of geotextile, care shall be taken not to entrap in or under geotextile, stones, excessive dust, or moisture that could damage clay liner or hamper subsequent seaming operations.
- E. Do not expose geotextile to precipitation prior to or during installation, and do not expose geotextile to direct sunlight for more than 15 days, unless otherwise specified.
- F. All overlaps of geotextile fabrics shall be oriented in direction of earth filling.
- G. The Contractor shall repair all tears in geotextile prior to installation of granular materials. The repair procedures shall be as recommended by manufacturer and as outlined below.
 - 1. On slopes, a fabric patch shall be sewn in place over the tear with a minimum overlap of 24 inches in each direction. The patch shall be continually sewn using a double sewn lock stitch, seams 1/4 to 3/4 inches apart and no closer than 1 inch from any edge.
 - 2. Should any tear exceed 10% of the roll width, the roll shall be removed from the slope and replaced.
 - 3. On non-slopes, the fabric patch may be spot sewn with a minimum overlap of 24 inches in each direction.
 - 4. All soil or granular material, which may have penetrated torn geotextile shall be removed and the area grade smooth.
- H. Geotextile shall be installed around all appurtenances protruding through geotextile as recommended by manufacturer and as specified below.
 - 1. Holes for pipes and appurtenances shall be the minimum size necessary for installation.
 - 2. The Contractor shall patch, seam, sew, or overlap the geotextile material around the pipe or appurtenances to provide a barrier against particle migration into or out of the

geotextile fabric.

PART 4 MEASUREMENT AND PAYMENT

4.01 METHOD OF MEASUREMENT

A. Measurement for geotextile fabric shall be for the area covered as shown on the plans or as adjusted in the field. Measurement shall be on a square yard basis to the nearest whole number.

4.02 BASIS OF PAYMENT

A. Payment for geotextile fabric shall be at the Contract Unit Price, as indicated in the Bid Form, for all work and material required to furnish and install fabric as shown on the plans. Overlaps of fabric rolls are not included in quantities for payment.

* * * END OF SECTION * * *

SECTION 31 34 20 – GEOSYNTHETIC REINFORCEMENT

PART 1 GENERAL

1.01 SUMMARY

- A. This section includes the requirements for furnishing and installing a reinforcing geogrid as shown on the plans.

1.02 RELATED DOCUMENTS

- A. The general provisions of the Contract, including General and Supplementary Conditions, apply to the Work specified in this section.
- B. Related Work specified elsewhere:
 - 1. Aggregate Base Course - Section 32 11 23

1.03 QUALITY ASSURANCE

- A. When reinforcing geogrid or exceeding the required property values have been submitted and approved, the properties used for quality control shall be properties established by geogrid manufacturer for this type of product and not the values specified herein.

1.04 DELIVERY, HANDLING, AND STORAGE

- A. Geogrid shall be provided in rolls wrapped in relatively impermeable and opaque protective covers with the following clearly marked on each roll.
 - 1. Manufacturer's name.
 - 2. Product identification.
 - 3. Lot and roll number.
 - 4. Roll dimensions.
- B. Geogrid shall be stored in a dry location above the ground surface. The geogrid shall not be stored directly on the ground.
- C. Geogrid shall be handled in accordance with the manufacturer's recommendations to prevent damage to material during unloading, handling, and installation operations.
- D. The geogrid shall be furnished and stored at the site in a protective wrapping which shall protect the material from ultraviolet radiation and from abrasion due to shipping and handling. If the geogrid is to be exposed directly to sunlight in excess of two weeks, the fabric shall be ultraviolet stabilized

PART 2 PRODUCTS

2.01 MATERIALS

- A. Structural Soil Reinforcement Geogrid – The geogrid shall be integrally formed and produced from a punched sheet of polypropylene.
- B. The geogrid shall meet or exceed the following requirements as specified in the Table below:

Geogrid Requirements

| Property | Test Method | Specifications ⁽¹⁾ |
|-------------------------------|------------------------|---------------------------------------------------|
| Minimum opening size | N/A ⁽²⁾ | ½ in (13 mm) |
| Maximum opening size | N/A ⁽²⁾ | 3 in (75 mm) |
| Tensile strength at 2% strain | ASTM D6637 | 400 lb/ft (5.8 kN/m) |
| Tensile strength at 5% strain | ASTM D6637 | 800 lb/ft (11.7 kN/m) |
| Ultimate tensile strength | ASTM D6637 | 1300 lb/ft (19.0 kN/m) |
| Junction strength | GRI ⁽³⁾ GG2 | 25 lb (110 N) |
| Ultraviolet stability | ASTM D4355 | 50% retained strength after 500 hours of exposure |

- (1) Strength values are in the weaker principal direction.
- (2) Direct measure with caliper.
- (3) Geosynthetic Research Institute.

- C. The Contractor shall furnish materials with minimum roll values that meet or exceed project requirements.
- D. The Contractor shall provide a Certificate of Compliance verifying that the material meets the above/following specifications.

2.02 STAPLES

- A. Staples for use on the geogrid shall be in accordance with the manufacturer's recommendations.

PART 3 EXECUTION

3.01 EXAMINATION

- A. The Contractor shall check the geogrid upon delivery to verify that the proper material has been received. The geogrid shall be inspected by the Contractor to be free of flaws or damage occurring during manufacturing, shipping, or handling.

3.02 PREPARATION

- A. The subgrade soil shall be prepared as indicated on the construction drawings or as directed by the Engineer. Prior to the placement of the geogrid reinforcement fabric, the area to receive the geogrid fabric shall be excavated to the proper grade and line as shown on plans.

3.03 INSTALLATION

- A. The geogrid shall be installed in accordance with these plans and specifications and any installation guidelines provided by the manufacturer or as directed by the Engineer. The geogrid may be temporarily secured in place with ties, staples, pins, sand bags or backfill as required by fill properties, fill placement procedures or weather conditions or as directed by the Engineer.
- B. Longitudinal joints in the geogrid reinforcement fabric shall be overlapped a minimum of 16 inches.
- C. Transverse joints in the geogrid reinforcement fabric shall be overlapped a minimum of 4 feet.
- D. Construction equipment and/or vehicles will not be permitted on the geogrid reinforcement fabric.
- E. The Contractor shall repair all tears in the geogrid reinforcement fabric prior to placement of aggregates. The repair procedures shall be as recommended by the manufacturer.
 - 1. Should any tear exceed 10% of the roll width, the fabric shall be cut and a transverse joint shall be formed.

3.04 GRANULAR FILL PLACEMENT OVER GEOGRID

- A. Granular fill material (salvaged asphalt and base) shall be placed in lifts and compacted as directed under Section 32 11 26.16. Granular fill material shall be placed, spread, and compacted in such a manner that minimizes the development of wrinkles in the geogrid and/or movement of the geogrid.
- B. A minimum loose fill thickness of 6 inches is required prior to operation of tracked vehicles over the geogrid. Turning of tracked vehicles should be kept to a minimum to prevent tracks from displacing the fill and damaging the geogrid. When underlying substrate is trafficable with minimal rutting, rubber-tired equipment may pass over the geogrid reinforcement at slow speeds (less than 5 mph). Sudden braking and sharp turning movements shall be avoided.

3.05 INSPECTION

- A. The Owner or Owner's representative may randomly inspect geogrid before, during and after (using test pits) installation.

B. Any damaged or defective geogrid (i.e. frayed coating, separated junctions, separated layers, tears, etc.) will be repaired/replaced in accordance with Section 3.06.

3.06 REPAIR

A. Any roll of geogrid damaged before, during and after installation shall be replaced by the Contractor at no additional cost to the Owner.

B. Proper replacement shall consist of replacing the affected area adding 3 feet of geogrid beyond the limits of the affected area.

3.07 PROTECTION

A. Follow the Manufacturer's recommendations regarding protection from exposure to sunlight.

PART 4 MEASUREMENT AND PAYMENT

4.01 METHOD OF MEASUREMENT

A. Measurement for reinforcing geogrid shall be for the area covered as shown on the plans or as adjusted in the field. Measurement shall be on a square yard basis to the nearest whole number.

4.02 BASIS OF PAYMENT

A. Payment for reinforcing geogrid shall be at the Contract Unit Price, as indicated in the Bid Form, for all work and material required to furnish and install fabric as shown on the plans. Overlaps of geogrid rolls are not included in quantities for payment.

* * * END OF SECTION * * *

DIVISION 320000 –EXTERIOR IMPROVEMENTS

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SECTION 32 10 10 - SCARIFYING AND RECOMPACTING EXISTING SURFACE

PART 1 GENERAL

1.01 RELATED DOCUMENTS

- A. Related requirements specified elsewhere:
 - 1. Aggregate Base Course - Section 32 11 23

1.02 DESCRIPTION OF WORK

- A. This work shall consist of scarifying the existing gravel surface, mixing additional aggregate (if required), shaping, and compacting to the lines, grades, and dimensions shown on the plans.
- B. The scarifying of existing surfacing shall be performed to a minimum depth of 5 inches and a maximum depth of 6 inches.

1.02 QUALITY ASSURANCE

- A. The finished grade of the surfacing shall be within 0.05 feet at any point, as staked and checked by the Owner's Representative.

PART 2 PRODUCTS - NONE

PART 3 EXECUTION

3.01 SCARIFICATION

- A. The existing gravel surface shall be scarified and recompacted after placement of additional base course. Additional base course shall be added to the streets as shown on the plans to provide a full 6-inch depth of gravel surfacing.
- B. The surface shall be scarified to a minimum depth of 6 inches for the entire width and length of the roadway. The road shall be shaped to provide a minimum cross slope of 2% from the center of the roadway to each edge.

3.02 COMPACTION

- A. After scarifying and shaping the roadway, the Contractor shall compact the roadway to 95% of the maximum density as tested in accordance with ASTM D 698.
- B. The moisture content of the material during recompaction operations shall be -4 to +2 percentage points of the optimum moisture content as determined by ASTM D 698.
- C. The area will be accepted for density when the field density is at least 95 percent. If the specified density is not attained, the entire area shall be reworked and/or recompacted and two additional random tests made. This procedure shall be followed until the specified density is obtained.

3.03 SAMPLES OF MATERIALS

- A. Samples of all materials proposed for use shall be submitted by the contractor to the

Engineer. The material shall not be used until the Engineer approves it.

PART 4 MEASUREMENT AND PAYMENT

4.01 METHOD OF MEASUREMENT

- A. The area scarified and pulverized shall be measured, in place, and the area calculated in square yards for each different depth of scarification and pulverization required, as shown on plans and in the Bid Form.
- B. Mixing, Placing, and Compacting shall be measured to the nearest square yard of material placed to the specified depth as shown on plans and in the Bid Form.
- C. Additional aggregate, if required, shall be measured to the nearest ton and shall be aggregate base course material as specified in Section 32 11 23.

4.02 BASIS OF PAYMENT

- A. The quantities described above shall be paid for at the contract unit price bid for each item. Payment will be full compensation for furnishing, stockpiling, hauling and handling material for mixing, placing, rolling, all labor and use of equipment, tools, and incidentals necessary to complete the work in accordance with these specifications.

* * * END OF SECTION * * *

SECTION 32 11 23 - AGGREGATE BASE COURSE

PART 1 GENERAL

1.01 RELATED DOCUMENTS

- A. The general provisions of the contract, including General and Special Conditions shall apply to work covered in this section.
- B. Related requirements specified elsewhere:
 - 1. Asphalt Concrete Surfacing - Section 32 12 16

1.02 DESCRIPTION OF WORK

- A. Base course shall be hauled, spread, compacted, and laid to grade as staked by the Owner's representative and shown on plans.

1.03 QUALITY ASSURANCE

- A. The finished grade of the base course shall be within 0.05 feet at any point, as staked and checked by the Owner's Representative.

PART 2 PRODUCTS

2.01 BASE COURSE

- A. The aggregate for base course shall consist of sound durable particles of gravel and sand with which may be included limited amounts of fine soil particles. The physical characteristics and quality of the materials shall conform to the specifications for the particular material required by the contract as follows:

| REQUIREMENT | Aggregate Base Course |
|------------------|--------------------------|
| Sieve | Percent Passing |
| 1" (25.0 mm) | 100 |
| 3/4" (19.0 mm) | 80-100 |
| 1/2" (12.5 mm) | 68-91 |
| No. 4 (4.75 mm) | 46-70 |
| No. 8 (2.36 mm) | 34-58 |
| No. 40 (425 µm) | 13-35 |
| No. 200 (75 µm) | 3.0-12.0 |
| Liquid Limit Max | 25 |
| Plasticity Index | 0-6 |

| REQUIREMENT | Aggregate Base Course |
|-----------------------|--------------------------|
| L.A. Abra. Loss, max. | 40 |
| Foot Notes | 1,2 |
| Processing Required | crushed |

¹ The fraction passing the No. 200 (75 µm) sieve shall not be greater than 2/3 of the fraction passing the No. 40 (425 µm) sieve. In no case shall the upper limit specified for the No. 200 (75 µm) sieve be exceeded.

² Requirements include quarried ledge rock.

B. Granular material of which 30% of the particles retained on the No. 4 sieve shall contain one or more fractured faces. A crushed particle shall be defined to be a fragment of stone showing at least one freshly fractured face.

PART 3 EXECUTION

3.01 SUBGRADE PREPARATION

A. Initially, the subgrade in cut sections to receive new concrete or asphalt concrete paving shall be scarified to a depth of 6 inches. This material shall then be spread over the entire width of the section and manipulated in such a way that the subgrade below is proof rolled to locate and repair unstable subgrade. Contractor shall then alternately blade and roll the loosened materials, adding water where necessary, until the material is compacted to 95% of maximum density between 2% below and 3% above optimum moisture. Contractor shall then cut the subgrade to within 0.1 feet of the grade stakes provided by the Owner's representative.

3.02 BASE COURSE

A. Following the completion of the subgrade preparation, base course material, meeting the requirements as set forth in Part 2 above, shall be furnished and installed to obtain the desired grades, as shown on the plans. The base course material shall then be compacted to 98% of maximum density between 3% below and 3% above optimum moisture.

3.03 UNSUITABLE MATERIAL

A. Unsuitable material shall be excavated and replaced with approved base course material as designated by the Owner or his Representative. No additional compensation shall be considered for this operation.

PART 4 MEASUREMENT AND PAYMENT

4.01 METHOD OF MEASUREMENT

A. Base Course will be measured per ton of material placed on the areas as shown on the plans as verified by scale tickets from a certified scale.

B. Measurement for Base Course shall be based on the weight, in tons, of material placed and hauled on the areas disturbed by construction.

- C. Granular Base Course shall be measured to the nearest 0.01 ton. Contractor shall supply signed scale tickets from an approved scale for payment.
- D. **All scale tickets for granular materials shall be given to the on-site Engineer at time of delivery or by end of the working day.**

4.02 BASIS OF PAYMENT

- A. Payment for all work and material required to furnish and install base course will be at the Contract Unit Price as indicated on the Bid Form.
- B. Payment for Base Course shall be paid for to the nearest 0.1 ton.
- C. **All scale tickets for the base course shall be shall be given to the Engineer at time of delivery or by end of the working day in order to be paid. Tickets not in possession of the Engineer will not be considered and no payment will be made for the material.**

* * * END OF SECTION * * *

SECTION 32 11 26.16 - MILLING/PULVERIZATION OF EXISTING ASPHALT SURFACE AND BASE

PART 1 GENERAL

1.01 RELATED DOCUMENTS

- A. The general provisions of the contract, including General and Supplementary Conditions shall apply to work covered in this section.
- B. Related requirements specified elsewhere:
 - 1. Excavation and Fill – Section 31 23 00

1.02 DESCRIPTION OF WORK

- A. This work shall consist of milling/pulverizing the existing asphalt concrete surface and existing base, placing and compacting to the lines, grades, and dimensions shown on the plans.

PART 2 PRODUCTS

2.01 SALVAGED ASPHALT AND BASE

- A. The material shall consist of a mixture of existing asphalt pavements and base materials lying under the pavement. Existing asphalt pavement and bases shall be milled and pulverized. The material shall have a uniform gradation meeting the following minimum requirements:

| Sieve Designation (square openings) as per ASTM C 136 | Percentage by Weight Passing Sieves |
|-------------------------------------------------------|-------------------------------------|
| 3 inch (75.0 mm) | 100 |
| No. 10 (2.0 mm) | 20-100 |
| No. 40 (0.450 mm) | 5-60 |
| No. 200 (0.075 mm) | 0-15 |

2.02 GEOTEXTILE SEPARATOR

- A. See Section 31 34 19.

PART 3 EXECUTION

3.01 MILLING AND PULVERIZATION

- A. The existing pavement and base shall be milled and/or scarified and pulverized to the depth shown on the plans. The milling or pulverization can be accomplished in a single pass milling operation, or, a multi-step process can be utilized which consists of scarification, windrowing, and pulverization. All material shall be pulverized so that material will have a uniform gradation.

3.02 SPREADING AND COMPACTION

- A. The recycled material shall be uniformly spread over a geotextile fabric. The material shall

be spread to form a minimum compacted depth of 6-inches as shown in the plans or modified in the field by the Engineer. The material will be compacted to a maximum attainable density without jeopardizing the stability of the subgrade.

- B. Test holes may be dug at specified intervals to determine the compacted thickness of the layer being placed. Areas with a deficiency of more than ½-inch compacted thickness shall be reworked with added mixed material sufficient to increase the layer to the depth specified. All irregularities developing in the surface shall be corrected by blading. Blading and compaction shall continue until the surface is true to grade and cross-section. Final compaction shall be obtained by rolling with an appropriately sized steel-wheeled or pneumatic-tired roller.

3.03 EQUIPMENT

- A. The equipment used on the project shall include any of the following pieces which are considered necessary: scarifiers, pulverizing equipment, rotary mixers or travel plants, motor graders, windrow devices, aggregate spreaders, power brooms or power blowers, self-propelled vibratory or steel-tired tandem and pneumatic-tired rollers capable of attaining the required density, and a water distributor. Other equipment may be used in addition to, or instead of, the specified equipment when approved by the Engineer.

3.04 COMPACTION

- A. Rolling operations shall begin immediately upon completion of the spreading. The number, type, and weight of rollers shall be sufficient to compact the material to the maximum attainable density.
- B. The maximum attainable density will be determined by preparing a test area within the construction area for placement of recycled material. After the mixture is spread as specified, the layer of material shall be uniformly compacted by successive passes of roller equipment over the test area. A successive pass shall be one complete pass over the test area with the roller equipment. After four successive passes of the roller, the engineer will perform density tests on the layer. Continue the successive roller passes until 4 successive roller passes fail to increase the average wet density by 1.0 pcf. If in the opinion of the Engineer, the subgrade stability is compromised during the testing procedure, the Contractor will discontinue compaction efforts. The engineer will perform density tests on the layer, and the maximum attainable density will be the highest value obtained during the test procedure. The Contractor will use the same compaction procedures on the remaining site. The maximum attainable density test value will be used for quality control on the site.
- C. The moisture content of the material during placing operations shall be $\nabla 2$ percentage points of the optimum moisture content as determined by ASTM D 698.

3.05 ACCEPTANCE SAMPLING AND TESTING FOR DENSITY

- A. Each area tested will be accepted for density when the field density has achieved the maximum attainable density, without jeopardizing the subgrade, as determined in the field by the Engineer. The in-place field density shall be determined in accordance with ASTM D1556 or D2167.

PART 4 MEASUREMENT AND PAYMENT

4.01 METHOD OF MEASUREMENT

- A. The area milled or scarified and pulverized shall be measured, in place, and the area calculated in square yards for the depth of milling required as shown on plans and in the Bid Form.
- B. Measurement for placement of millings shall be plans quantity on the basis of cubic yards of material placed to the specified depth as shown on plans and in the Bid Form.

4.02 BASIS OF PAYMENT

- A. Payment for Mill, Salvage, and Stockpile Asphalt Concrete & Base (10") shall be paid for at the contract unit price bid per square yard. Payment will be full compensation for milling, stockpiling, hauling, labor, equipment, tools, and incidentals necessary to complete the work in accordance with these specifications.
- B. Payment for Shaping, Fill, and Grading (Salvage Material) shall be paid for at the contract unit price bid per cubic yard. Payment will be full compensation for hauling, placing, grading, compacting, labor, equipment, tools, and incidentals necessary to complete the work in accordance with these specifications.

* * * END OF SECTION * * *

SECTION 32 12 16 - ASPHALT CONCRETE SURFACING

PART 5 GENERAL

1.01 RELATED DOCUMENTS

- A. The general provisions of the contract, including General and Supplementary Conditions shall apply to work covered in this section.
- B. Related requirements specified elsewhere:

1.02 DESCRIPTION OF WORK

- A. The work covered under these specifications shall include the furnishing of all labor, materials, and equipment necessary to lay a compacted 3-inch asphalt concrete mat complete in place, as specified herein.

1.03 SUBMITTALS

- A. The Contractor shall submit for review copies of all certificates from the manufacturer that the materials meet or exceed specified requirements.

1.04 QUALITY ASSURANCE

- A. The contractor shall provide the required control tests of the mineral aggregate for the asphalt surfacing. The cost is considered incidental to the project.
- B. The contractor shall provide the required job mix, prepared by an independent testing laboratory approved by the Engineer for the Class E, Type 1 Asphalt concrete to the Engineer/Owner 14 days prior to start of production. The cost of the job mix designs shall be paid for by the Contractor and are considered incidental to the project.
- C. Following the Engineer's approval of the above-mentioned tests, all remaining tests shall be performed by the Owner's representative with results being given to both the Contractor and the Owner.

PART 2 PRODUCTS

2.01 GENERAL

- A. Specifications to be used for this section shall be the South Dakota Department of Transportation Standard Specifications for Roads and Bridges, 2015 Edition, Division III Materials Details with the following modifications, and/or special provisions.
 - 1. Where the term Engineer, Area Engineer, Department, etc., is used it shall be replaced with Helms and Associates, Owner etc. as applicable.
 - 2. The shale content or other particles of low specific gravity (less than 1.95) passing the No. 4 sieve shall not exceed four (4) percent.

2.02 ASPHALT CONCRETE

- A. The construction requirements and material handling shall conform to the requirements of Section 320.3, Section 880, and Section 890 of the South Dakota Department of Transportation Standard Specifications for Roads and Bridges, 2015 edition.
- B. Mineral aggregate for asphalt concrete shall conform to the requirements of the standard specifications for Class E, Type I. The asphalt cement shall be PG 58-28. The Contractor shall provide a job mix formula with the supporting mix design data prior to production.
- C. A bituminous tack coat (SS-1H or CSS-1H) shall be applied between each lift at a rate of 0.10 to 0.15 gallon per square yard. The bituminous tack coat will be incidental to the asphalt street repair.

PART 3 EXECUTION

3.01 GENERAL

- A. The construction requirements and material handling shall conform to the requirements of Section 320.3, Section 880, and Section 890 of the South Dakota Department of Transportation Standard Specifications for Roads and Bridges, 2015 Edition, except as modified hereinafter.
 - 1. Where the term Engineer, Area Engineer, Department, etc. is used it shall be replaced Helms and Associates, Owner etc. as applicable.

3.02 ASPHALT CONCRETE SURFACE

- A. Asphalt concrete surfaces will be replaced in the areas as designated by the Owner and Engineer.
- B. Placement of asphalt concrete surfaces shall be on an eight (8) inch compacted thickness of granular base course. The compacted thickness of asphalt concrete shall be as shown on the plans or as directed by the Owner and Engineer.
- C. The contractor shall adjust and cover all manholes and valve boxes, prior to tack coat application, with material approved by the Engineer.

3.03 GENERAL

- A. The Asphalt Concrete Paving equipment, weather limitations, job mix formula, mixing, construction methods, compaction, finishing, tolerance, and protection shall conform to the requirements of the appropriate sections of the State Highway Specifications for the type of material specified.

3.04 MIXING ASPHALTIC CONCRETE MATERIALS

- A. Provide hot plant-mixed asphaltic concrete paving materials.
 - 1. Temperature leaving the plant: 143 degrees C(290 degrees F) minimum, 160 degrees C(320 degrees F) maximum.
 - 2. Temperature at time of placing: 138 degrees C(280 degrees F) minimum.

3.05 SUBGRADE

- A. Shape to line and grade and compact with self-propelled rollers.
- B. All depressions that develop under rolling shall be filled with acceptable material and the area re-rolled.
- C. Soft areas shall be removed and filled with acceptable materials and the area re-rolled.
- D. Should the subgrade become rutted or displaced prior to the placing of the subbase, it shall be reworked to bring to line and grade.
- E. Proof-roll the subgrade with maximum 45 tonne (50 ton) gross weight dump truck as directed by Engineer. If pumping, pushing, or other movement is observed, rework the area to provide a stable and compacted subgrade.

3.06 BASE COURSES

- A. Base
 - 1. Spread and compact to the thickness shown on the drawings.
 - 2. Rolling shall begin at the sides and continue toward the center and shall continue until there is no movement ahead of the roller.
 - 3. After completion of the base rolling there shall be no hauling over the base other than the delivery of material for the top course.
- B. Thickness tolerance: Provide the compacted thicknesses shown on the Drawings within a tolerance of minus 0.0mm (0.0") to plus 12.7mm (0.5").
- C. Smoothness tolerance: Provide the lines and grades shown on the Drawings within a tolerance of 5mm in 3m (3/16 inch in ten feet).
- D. Moisture content: Use only the amount of moisture needed to achieve the specified compaction.

3.07 PLACEMENT OF ASPHALTIC CONCRETE PAVING

- A. Remove all loose materials from the compacted base.
- B. Apply the specified prime coat, and tack coat where required, and allow to dry in accordance with the manufacturer's recommendations as approved by the Architect or Engineer.
- C. Receipt of asphaltic concrete materials:
 - 1. Do not accept material unless it is covered with a tarpaulin until unloaded, and unless the material has a temperature of not less than 130 degrees C(280 degrees F).
 - 2. Do not commence placement of asphaltic concrete materials when the atmospheric temperature is below 10 degrees C (50 degrees F), not during fog, rain, or other unsuitable conditions.
- D. Spreading:
 - 1. Spread material in a manner that requires the least handling.
 - 2. Where thickness of finished paving will be 76mm (3") or less, spread in one layer.
- E. Rolling:

1. After the material has been spread to the proper depth, roll until the surface is hard, smooth, unyielding, and true to the thickness and elevations shown on the drawings.
2. Roll in at least two directions until no roller marks are visible.
3. Finished paving smoothness tolerance:
 - a. No depressions which will retain standing water.
 - b. No deviation greater than 3mm in 1.8m (1/8" in six feet).

3.08 PROTECTION

- A. Protect the asphaltic concrete paved areas from traffic until the sealer is set and cured and does not pick up under foot or wheeled traffic.

3.09 FINAL CLEAN-UP

- A. Remove all debris, rubbish, and excess material from the work area.

PART 4 MEASUREMENT AND PAYMENT

4.01 METHOD OF MEASUREMENT

- A. Asphalt material shall be measured and paid to the nearest 0.10 ton used, as verified by Engineer's inspection and refinery tickets. Asphalt material shall be PG 58-28.
- B. Asphalt Concrete Class E, Type 1 shall be measured and paid for on a per ton basis. Payment at the unit price bid shall be full compensation for all labor, equipment, materials, and any incidental work required to properly mix, haul, and lay a compacted asphalt concrete mat, of the thickness as shown on plans, complete in place, as specified herein.
 1. The measurement for bituminous tack on asphalt repair areas shall be incidental to the Asphalt Street Repair bid items.

4.02 BASIS OF PAYMENT

- A. Asphalt Street Repair:
 1. No direct payment will be made for the removal and disposal of asphalt concrete, unless call for on the plans.
 2. Payment shall be per 0.1 ton of asphalt concrete installed as indicated on the Bid Form.
 3. No direct payment for bituminous tack will be made.
 4. **All scale tickets for the asphalt concrete shall be given to the Engineer at time of delivery or by end of the working day in order to be paid. Tickets not in possession of the Engineer will not be considered and no payment will be made for the material.**
- B. Asphalt material shall be paid at the contract unit price per ton as shown in the bid form.
- C. Asphalt Concrete Class E, Type 1 shall be paid at the contract unit price per ton as shown in the bid form.
- D. Compaction samples shall be considered incidental with no separate payment made. This is at the discretion of the engineer.

SECTION 32 16 00 – CURBS, GUTTERS, SIDEWALKS AND DRIVEWAYS

PART 1 GENERAL

1.01 RELATED DOCUMENTS

- A. The general provisions of the Contract, including General and Supplementary Conditions shall apply to the Work covered in this Section.
- B. Related requirements specified elsewhere:
 - 1. Structural Excavating, Filling, and Grading - Section 31 23 16
 - 2. Asphalt Concrete Surfacing – Section 32 12 16

1.02 SCOPE

- A. This section covers the labor, materials, equipment and related services necessary to install or repair pavement and related structures damaged during construction.

1.03 QUALITY ASSURANCE

- A. The Contractor shall be responsible for obtaining the services of a qualified testing firm as may be required to assure compliance with the requirements of these specifications.

1.04 SUBMITTALS

- A. A complete description of the materials to be used in the Work covered by this Section of the specifications shall be submitted to the Engineer for review.
- B. Three (3) copies of all reports and test results completed by the independent testing service shall be submitted directly to the Engineer.

PART 2 PRODUCTS

2.01 CONCRETE

- A. See Division 030000.

PART 3 EXECUTION

3.01 GENERAL

- A. After completing proper compaction of the backfill, the Contractor shall replace the disturbed surfaces to the original grade. Surfacing material, as specified herein shall be replaced to the same depths and limits with the same type of material as the surfacing material removed, unless otherwise shown on plans.
- B. A flush, smooth, adjoining surface transition shall be provided.
- C. Existing asphalt paved surface, sidewalks, curb and gutter, concrete or asphalt driveways and alley approaches shall be scored along a straight line by a concrete saw to a depth of two (2) inches (or by a method previously approved by the Engineer) at a distance of two (2) feet beyond each edge of proposed ditch. The remaining thickness of surfaces shall be fractured

to a true vertical face. All exposed faces shall be adequately cleaned to ensure bonding between new and existing surfaces and cut and fractured to a vertical face immediately prior to placement of the new surfacing.

- D. Placement of concrete or asphalt on frozen surfaces will not be permitted.
- E. Concrete surfaces shall be cured and protected for a sufficient period of time (not less than 3 days) to prevent damage to concrete and insure required compressive strength requirements.

3.02 CONCRETE CURB AND GUTTER OR STRAIGHT GUTTER

- A. Curb and gutter shall be replaced to the thickness, geometric design, and alignment of the existing section with non-reinforced concrete on a 6-inch compacted gravel base.
- B. In the event a joint is encountered within 5 feet of a proposed edge of the trench, the concrete shall be removed to such joint.
- C. Expansion joints shall be placed at changes in direction and at intervals not greater than 50 feet. Expansion joints shall be 1/2 inch wide, filled to within one inch of the surface with bituminous expansion joint material cut to the shape of the curb section. Dowels shall be placed across expansion joints as shown on the drawings or as directed.
- D. Contraction joints shall be provided at intervals of not more than 10 feet. Contraction joints shall consist of a groove at least 1-1/4 inches deep sawed in the green concrete or a plane of weakness formed by inserting a removable metal template.
- E. All expansion and contraction joints shall be filled flush to the surface with joint sealing compound. The joint sealing compound shall be finished slightly concave, and shall not be allowed to overflow the joint.
- F. All exposed edges of curbs and gutters shall be rounded with a suitable edging tool. Exposed surfaces shall be finished smooth and even with a steel trowel, and then given a light broom finish.

3.03 CONTRACTOR'S RESPONSIBILITY

- A. Any repaired areas which will include surface material and/or seeding requiring further repair due to trench settlement shall be repaired by the Contractor at his expense for a period of one year after written final acceptance of the project by the Owner.

PART 4 MEASUREMENT AND PAYMENT

4.01 METHOD OF MEASUREMENT

- A. Concrete Curb and Gutter:
 - 1. The removal and disposal of concrete curb and gutter shall be field measured to the nearest foot of curb and gutter removed. The maximum limits of pay will be a width of ten (10) feet.
 - 2. Measurement for Concrete Curb and Gutter will be per linear foot of concrete curb and gutter installed and conforming to the plan details.
 - 3. The maximum limits of pay will be a width of ten (10) feet by, unless preauthorized by the Engineer.

4. Base will be measured for payment as per 4.01.G.

B. Concrete Valley Gutter:

1. The removal and disposal of concrete valley gutter shall be field measured to the nearest square foot of valley gutter removed. The maximum limits of pay will be a length of ten (10) feet by width of the concrete valley gutter.
2. Measurement for Concrete Valley Gutter will be per square foot of concrete valley gutter installed based on a concrete depth of six (6) inches.
3. The maximum limits of pay will be a length of ten (10) feet by width of the concrete valley gutter, unless preauthorized by the Engineer.
4. Base will be measured for payment as per 4.01.G.

4.02 BASIS OF PAYMENT

A. Concrete Curb and Gutter:

1. The removal and disposal of concrete curb and gutter shall be paid for to the nearest foot of curb and gutter removed.
2. Payment for Concrete Curb and Gutter will be per linear foot of concrete curb and gutter installed.
3. Base will be paid for as per 4.02.G.

B. Concrete Pavement:

1. The removal and disposal of concrete pavement shall be paid for to the nearest square foot of pavement removed.
2. Payment for Concrete Pavement shall be per square foot of pavement installed.
3. Base will be paid for under the basecourse bid item.

C. Concrete Valley Gutter:

1. The removal and disposal of concrete valley gutter shall be paid for to the nearest square foot of valley gutter removed.
2. Payment for Concrete Valley Gutter will be per square foot of concrete valley gutter installed.
3. Base will be paid for under the basecourse bid item.

* * * END OF SECTION * * *

DIVISION 33 00 00 – UTILITIES

SECTION 33 01 00 - EXISTING UNDERGROUND UTILITIES271

SECTION 33 01 00 - EXISTING UNDERGROUND UTILITIES

PART 1 GENERAL

1.01 RELATED DOCUMENTS

- A. The general provisions of the contract, including General and Supplementary Conditions shall apply to the Work covered in this Section.

1.02 SCOPE

- A. This Section describes, but is not limited to, the relationship of the Project to existing underground utilities and the Work associated with the location, adjustment, and repair of underground utilities.
- B. The information and data relative to existing underground utilities are provided to assist the Contractor with the preparation of his bid. This information should not be used by the Contractor for reference during construction of the Work.

PART 2 PRODUCTS - NOT USED

PART 3 EXECUTION

3.01 GENERAL

- A. Existing underground utilities, as shown on the drawings, are located in accordance with available data, but locations may vary and cannot be guaranteed. The exact locations shall be determined by each Contractor as the Work proceeds. Excavation work shall be done carefully so as to avoid damaging the existing utilities and Work.
- B. Each Contractor shall provide for protection, temporary removal and replacement or relocation of obstructions as required for the performance of this Work required in these contract documents.
- C. Other obstructions not shown on the plans and requiring relocation shall be exposed by the Contractor without injury; or if injured, shall be repaired by Contractor at his expense. Removal of such obstruction or its relocation shall be made by the Contractor according to the provisions of the General Conditions.

3.02 UTILITY CONTACT

- A. Prior to Work in a specific area affecting underground utilities, the following individuals shall be notified as appropriate:
- B. All above utilities, excepting water, may be located utilizing the South Dakota One Call Notification Center:
(Locate Phone Number) 1-800-781-7474
(Admin. Phone Number) 1-800-422-1242
- C. The failure of any utility to be present for any reason, at the Pre-Construction Conference, if held, shall not relieve the Contractor of any responsibility described herein.

3.03 UTILITY REPAIR:

- A. When an underground utility is exposed or damaged, the Contractor shall comply with the repair requirements of the affected utility.
- B. When an underground utility is exposed, the Contractor shall compact the backfill beneath the exposed utility before completion of the backfill operation.

PART 4 MEASUREMENT AND PAYMENT

4.01 METHOD OF MEASUREMENT

- A. The cost to repair any underground utilities or other obstructions damaged by the Contractor's activities shall be considered incidental Work with no separate measurement made.

4.02 BASIS OF PAYMENT

- A. The cost to repair any underground utilities or other obstructions damaged by the Contractor's activities shall be considered incidental Work with no separate and payment to be made.

* * * END OF SECTION * * *